

INTERIM FINANCIAL STATEMENTS

GLOBAL X ETF SERIES

GLOBAL X MSCI CHINA ETF

GLOBAL X HANG SENG HIGH DIVIDEND YIELD ETF

GLOBAL X CSI 300 ETF

GLOBAL X CHINA BIOTECH ETF

GLOBAL X CHINA CLOUD COMPUTING ETF

FOR THE SIX MONTHS ENDED 30 SEPTEMBER 2021

(SUB-FUNDS OF GLOBAL X ETF SERIES)

GLOBAL X ETF SERIES

Unaudited Condensed Interim Financial Statements

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IMPORTANT:

Any opinion expressed herein reflects the Manager's view only and is subject to change. For more information about the Global X ETF Series, please refer to the prospectus of Global X ETF Series which is available at our website: www.globalxetfshk.com.

Investors should not rely on the information contained in this report for their investment decisions.

GLOBAL X ETF SERIES

STATEMENT OF NET ASSETS

As at 30 September 2021 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X CSI 300 ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		As at	As at	As at	As at	As at	As at	As at	As at	As at	As at
		30 September 2021 (unaudited) HK\$	31 March 2021 (audited) HK\$	30 September 2021 (unaudited) HK\$	31 March 2021 (audited) HK\$	30 September 2021 (unaudited) RMB	31 March 2021 (audited) RMB	September 2021 (unaudited) RMB	31 March 2021 (audited) RMB	September 2021 (unaudited) RMB	31 March 2021 (audited) RMB
ASSETS											
Financial assets at fair value through profit or loss	6(f)	796,219,260	986,710,267	181,428,795	97,075,758	34,201,582	43,666,287	2,217,228,521	2,486,647,149	911,132,636	1,076,567,695
Dividends receivable		897,853	12,616	1,020,657	169,111	–	–	302,051	–	–	–
Amounts due from brokers	7	21,594	–	–	–	–	–	1,989,699	17,676	5,478	–
Bank balances	6(f)	2,398,049	8,622,716	245,995	2,027,195	362,724	578,485	20,214,904	10,028,380	27,865,508	4,619,198
TOTAL ASSETS		799,536,756	995,345,599	182,695,447	99,272,064	34,564,306	44,244,772	2,239,735,175	2,496,693,205	939,003,622	1,081,186,893
LIABILITIES											
Management fee payable	6(b)	382,196	163,762	81,030	16,614	25,508	10,131	3,112,535	1,114,452	1,219,001	537,515
Trustee fee payable	6(c)	–	3,100	58,818	21,063	48,923	17,649	281,621	100,940	121,900	53,649
Administration fee payable	6(d)	–	4,551	69,373	9,230	61,942	4,862	809,261	289,759	316,939	139,754
Redemption payable	6(h)	–	–	–	–	–	–	14,238,885	4,863,635	3,459,325	–
Amounts due to brokers	7	–	2	–	–	–	–	–	–	21,174,474	–
Registration fee payable	6(g)	30,000	15,000	130,632	15,000	46,036	–	184,000	86,000	222,000	60,000
Distribution payable	10	–	–	–	680,000	–	–	–	–	–	–
TOTAL LIABILITIES		412,196	186,415	339,853	741,907	182,409	32,642	18,626,302	6,454,786	26,513,639	790,918
EQUITY											
Net assets attributable to unitholders	3(a)	<u>799,124,560</u>	<u>995,159,184</u>	<u>182,355,594</u>	<u>98,530,157</u>	<u>34,381,897</u>	<u>44,212,130</u>	<u>2,221,108,873</u>	<u>2,490,238,419</u>	<u>912,489,983</u>	<u>1,080,395,975</u>
TOTAL LIABILITIES AND EQUITY		799,536,756	995,345,599	182,695,447	99,272,064	34,564,306	44,244,772	2,239,735,175	2,496,693,205	939,003,622	1,081,186,893

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the six months ended 30 September 2021 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X CSI 300 ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		For the six months ended 30 September 2021	For the six months ended 30 September 2020	For the six months ended 30 September 2021	For the six months ended 30 September 2020	For the six months ended 30 September 2021	For the six months ended 30 September 2020	For the six months ended 30 September 2021	For the six months ended 30 September 2020	For the six months ended 30 September 2021	For the six months ended 30 September 2020
		(unaudited) HK\$	(unaudited) HK\$	(unaudited) HK\$	(unaudited) HK\$	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB
INCOME											
Dividend income		13,293,154	14,964,575	6,829,350	7,218,721	735,311	1,616,023	7,437,316	3,273,596	1,383,086	1,896,192
Interest income		473	3,001	51	137	1,354	2,125	11,009	12,911	14,946	14,955
Net (loss)/gain on financial assets at fair value through profit or loss	5	(161,519,115)	212,480,137	(9,527,328)	(4,725,294)	(1,591,844)	18,968,911	(87,891,742)	399,024,378	(328,994,970)	250,001,226
Net exchange (loss)/gain		(15,376)	(104,586)	(53)	401	25	(4)	(322,054)	(591,923)	60,238	(496,912)
Other income	6(i)	–	608,667	–	7,806	–	–	–	381,342	–	301,468
		(148,240,864)	227,951,794	(2,697,980)	2,501,771	(855,154)	20,587,055	(80,765,471)	402,100,304	(327,536,700)	251,716,929
EXPENSES											
Management fee	6(b)	(820,522)	(213,809)	(144,013)	(110,964)	(53,530)	(96,689)	(6,457,134)	(3,574,594)	(2,510,982)	(2,496,745)
Trustee fee	6(c)	–	(218,173)	(116,904)	(103,583)	(97,240)	(459,693)	(581,388)	(345,406)	(251,098)	(248,501)
Bank charges		(29,611)	(413)	–	–	(255)	(1,509)	–	–	–	–
Transaction costs on financial assets at fair value through profit or loss	6(a)	(398,584)	(392,411)	(292,816)	(145,337)	(28,698)	(187,281)	(1,637,830)	(2,076,270)	(1,268,603)	(1,877,926)
Other administration fee	6(d)	–	(338,288)	(219,685)	(54,833)	(190,252)	(41,647)	(1,621,788)	(900,114)	(639,677)	(619,874)
Auditor's remuneration	6(d)	–	(33,291)	(13,996)	(6,813)	(12,561)	(4,764)	(57,067)	(29,280)	(13,178)	(29,280)
Other expenses		–	2	–	–	–	–	–	–	–	–
		(1,248,717)	(1,196,383)	(787,414)	(421,530)	(382,536)	(791,583)	(10,355,207)	(6,925,664)	(4,683,538)	(5,272,326)
Operating (loss)/profit		(149,489,581)	226,755,411	(3,485,394)	2,080,241	(1,237,690)	19,795,472	(91,120,678)	395,174,640	(332,220,238)	246,444,603
FINANCE COSTS											
Interest expense		(65)	(90)	(10)	–	–	–	(84,019)	(572)	(2,989)	(298)
(Loss)/profit before tax		(149,489,646)	226,755,321	(3,485,404)	2,080,241	(1,237,690)	19,795,472	(91,204,697)	395,174,068	(332,223,227)	246,444,305
Withholding tax on dividend income	9	(924,325)	(1,100,789)	(343,759)	(343,523)	(73,866)	(162,500)	(639,036)	(261,004)	(100,910)	(144,869)
Withholding tax on interest income		–	–	–	–	(135)	–	–	–	–	–
(Loss)/profit after tax and total comprehensive income for the period		(150,413,971)	225,654,532	(3,829,163)	1,736,718	(1,311,691)	19,632,972	(91,843,733)	394,913,064	(332,324,137)	246,299,436

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS

For the six months ended 30 September 2021 (unaudited)

	Notes	<u>Global X MSCI China ETF</u>		<u>Global X Hang Seng High Dividend Yield ETF</u>		<u>Global X CSI 300 ETF</u>		<u>Global X China Biotech ETF</u>		<u>Global X China Cloud Computing ETF</u>	
		For the six months ended	For the six months ended	For the six months ended	For the six months ended	For the six months ended	For the six months ended 30	For the six months ended	For the six months ended	For the six months ended	For the six months ended
		30 September 2021 (unaudited) HK\$	30 September 2020 (unaudited) HK\$	30 September 2021 (unaudited) HK\$	30 September 2020 (unaudited) HK\$	30 September 2021 (unaudited) RMB	September 2020 (unaudited) RMB	30 September 2021 (unaudited) RMB	30 September 2020 (unaudited) RMB	30 September 2021 (unaudited) RMB	30 September 2020 (unaudited) RMB
Balance at the beginning of the period		995,159,184	843,108,634	98,530,157	129,666,546	44,212,130	102,543,827	2,490,238,419	851,720,925	1,080,395,975	449,976,711
Issue of units during the period											
- cash creation and cash component	4	92,826,978	–	97,398,600	10,328,059	–	–	231,173,353	913,476,656	442,796,936	848,802,083
Redemption of units during the period											
- cash redemption and cash component	4	(138,447,631)	(232,568,255)	–	(23,448,383)	(8,518,542)	(82,325,603)	(408,459,166)	(188,416,827)	(278,378,791)	(115,712,480)
Net (redemption)/issue of units		(45,620,653)	(232,568,255)	97,398,600	(13,120,324)	(8,518,542)	(82,325,603)	(177,285,813)	725,059,829	164,418,145	733,089,603
Distribution to unitholders	10	–	–	(9,744,000)	–	–	–	–	–	–	–
(Loss)/profit after tax and total comprehensive income for the period		(150,413,971)	225,654,532	(3,829,163)	1,736,718	(1,311,691)	19,632,972	(91,843,733)	394,913,064	(332,324,137)	246,299,436
Balance at the end of the period		799,124,560	836,194,911	182,355,594	118,282,940	34,381,897	39,851,196	2,221,108,873	1,971,693,818	912,489,983	1,429,365,750
Number of units in issue at beginning of period		22,600,000	27,400,000	3,400,000	5,600,000	2,500,000	8,000,000	25,050,000	13,750,000	11,500,000	6,550,000
Issue of units during the period (units)	3(b)	2,200,000	–	3,600,000	400,000	–	–	2,150,000	9,600,000	5,150,000	9,300,000
Redemption of units during the period (units)	3(b)	(3,200,000)	(6,400,000)	–	(1,000,000)	(500,000)	(5,500,000)	(3,900,000)	(2,250,000)	(3,550,000)	(1,200,000)
Number of units in issue at end of period		21,600,000	21,000,000	7,000,000	5,000,000	2,000,000	2,500,000	23,300,000	21,100,000	13,100,000	14,650,000

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF CASH FLOWS

For the six months ended 30 September 2021 (unaudited)

	Notes	Global X MSCI China ETF	
		For the six months ended 30 September 2021 (unaudited) HK\$	For the six months ended 30 September 2020 (unaudited) HK\$
CASH FLOWS FROM OPERATING ACTIVITIES			
(Loss)/profit before tax		(149,489,646)	226,755,321
Adjustments for:			
Dividend income		(13,293,154)	(14,964,575)
Interest income		(473)	(3,001)
Interest expense		65	90
		<u>(162,783,208)</u>	<u>211,787,835</u>
Decrease in financial assets at fair value through profit or loss		190,491,007	20,105,800
(Increase)/decrease in amounts due from brokers		(21,594)	18,020
Increase/(decrease) in management fee payable		218,434	(102,118)
Decrease in trustee fee payable		(3,100)	(712,869)
Decrease in administration fee payable		(4,551)	(138,409)
Decrease in amounts due to brokers		(2)	–
Increase/(decrease) in registration fee payable		15,000	(60,000)
Cash generated from operations		<u>27,911,986</u>	<u>230,898,259</u>
Dividends received, net of withholding tax		11,483,592	12,932,644
Interest received		473	3,001
Interest paid		(65)	(90)
Net cash flows generated from operating activities		<u>39,395,986</u>	<u>243,833,814</u>
CASH FLOWS FROM FINANCING ACTIVITIES			
Cash received from issue of units		92,826,978	–
Cash paid on redemptions of units		<u>(138,447,631)</u>	<u>(244,762,535)</u>
Net cash flows used in financing activities		<u>(45,620,653)</u>	<u>(244,762,535)</u>
NET DECREASE IN CASH AND CASH EQUIVALENTS			
		<u>(6,224,667)</u>	<u>(928,721)</u>
Cash and cash equivalents at the beginning of the period		<u>8,622,716</u>	<u>16,624,984</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD			
		<u>2,398,049</u>	<u>15,696,263</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS			
Bank balances	6(f)	<u>2,398,049</u>	<u>15,696,263</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2021 (unaudited)

	<u>Global X Hang Seng</u> <u>High Dividend Yield ETF</u>	
	For the six months ended 30 September 2021 (unaudited) HK\$	For the six months ended 30 September 2020 (unaudited) HK\$
Notes		
CASH FLOWS FROM OPERATING ACTIVITIES		
(Loss)/profit before tax	(3,485,404)	2,080,241
Adjustments for:		
Dividend income	(6,829,350)	(7,218,721)
Interest income	(51)	(137)
Interest expense	10	–
	<u>(10,314,795)</u>	<u>(5,138,617)</u>
(Increase)/decrease in financial assets at fair value through profit or loss	(84,353,037)	13,032,451
Increase/(decrease) in management fee payable	64,416	(49,067)
Increase/(decrease) in trustee fee payable	37,755	(11,061)
Increase/(decrease) in administration fee payable	60,143	(27,259)
Increase in registration fee payable	115,632	–
Cash (used in)/generated from operations	<u>(94,389,886)</u>	<u>7,806,447</u>
Dividends received, net of withholding tax	5,634,045	6,225,113
Interest received	51	137
Interest paid	(10)	–
Net cash flows (used in)/generated from operating activities	<u>(88,755,800)</u>	<u>14,031,697</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of units	97,398,600	10,328,059
Cash paid on redemptions of units	–	(23,448,383)
Cash paid on distribution to unitholders	<u>(10,424,000)</u>	<u>–</u>
Net cash flows generated from/(used in) financing activities	<u>86,974,600</u>	<u>(13,120,324)</u>
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS		
	<u>(1,781,200)</u>	<u>911,373</u>
Cash and cash equivalents at the beginning of the period	<u>2,027,195</u>	<u>597,316</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>245,995</u>	<u>1,508,689</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(f) <u>245,995</u>	<u>1,508,689</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2021 (unaudited)

	<u>Global X CSI 300 ETF</u>	
	For the six months ended 30 September 2021 (unaudited) RMB	For the six months ended 30 September 2020 (unaudited) RMB
Notes		
CASH FLOWS FROM OPERATING ACTIVITIES		
(Loss)/profit before tax	(1,237,690)	19,795,472
Adjustments for:		
Dividend income	(735,311)	(1,616,023)
Interest income	(1,354)	(2,125)
	<u>(1,974,355)</u>	<u>18,177,324</u>
Decrease in financial assets at fair value through profit or loss	9,464,705	63,043,864
Decrease in deposit reserve – China Exchange Clearing	–	18,587
Increase/(decrease) in management fee payable	15,377	(58,730)
Increase in trustee fee payable	31,274	367,291
Increase/(decrease) in administration fee payable	57,080	(28,192)
Increase in registration fee payable	46,036	6,000
Cash generated from operations	7,640,117	81,526,144
Dividends received, net of withholding tax	661,445	1,453,523
Interest received, net of withholding tax	1,219	2,125
Net cash flows generated from operating activities	<u>8,302,781</u>	<u>82,981,792</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash paid on redemptions of units	(8,518,542)	(82,361,757)
Net cash flows used in financing activities	<u>(8,518,542)</u>	<u>(82,361,757)</u>
NET (DECREASE)/ INCREASE IN CASH AND CASH EQUIVALENTS		
Cash and cash equivalents at the beginning of the period	578,485	14,997
	<u>(215,761)</u>	<u>620,035</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>362,724</u>	<u>635,032</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(f) <u>362,724</u>	<u>635,032</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2021 (unaudited)

	<u>Global X China Biotech ETF</u>	
	For the six months ended 30 September 2021 (unaudited) RMB	For the six months ended 30 September 2020 (unaudited) RMB
Notes		
CASH FLOWS FROM OPERATING ACTIVITIES		
(Loss)/profit before tax	(91,204,697)	395,174,068
Adjustments for:		
Dividend income	(7,437,316)	(3,273,596)
Interest income	(11,009)	(12,911)
Interest expense	84,019	572
	<u>(98,569,003)</u>	<u>391,888,133</u>
Decrease/(increase) in financial assets at fair value through profit or loss	269,418,628	(1,110,772,906)
(Increase)/decrease in amounts due from brokers	(1,972,023)	6,000
Increase/(decrease) in management fee payable	1,998,083	(441,560)
Increase/(decrease) in trustee fee payable	180,681	(430,290)
Increase/(decrease) in administration fee payable	519,502	(114,806)
Increase in amounts due to brokers	–	4,056,933
Increase/(decrease) in registration fee payable	98,000	(212,000)
Cash generated from/(used in) operations	<u>171,673,868</u>	<u>(716,020,496)</u>
Dividends received, net of withholding tax	6,496,229	3,012,592
Interest received	11,009	12,911
Interest paid	(84,019)	(572)
Net cash flows generated from/(used in) operating activities	<u>178,097,087</u>	<u>(712,995,565)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of units	231,173,353	913,476,656
Cash paid on redemptions of units	(399,083,916)	(197,668,722)
Net cash flows (used in)/generated from financing activities	<u>(167,910,563)</u>	<u>715,807,934</u>
NET INCREASE IN CASH AND CASH EQUIVALENTS		
Cash and cash equivalents at the beginning of the period	<u>10,186,524</u>	<u>2,812,369</u>
	10,028,380	10,882,416
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD		
	<u>20,214,904</u>	<u>13,694,785</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(f) <u>20,214,904</u>	<u>13,694,785</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2021 (unaudited)

	<u>Global X China Cloud Computing ETF</u>	
	For the six months ended 30 September 2021 (unaudited) RMB	For the six months ended 30 September 2020 (unaudited) RMB
Notes		
CASH FLOWS FROM OPERATING ACTIVITIES		
(Loss)/profit before tax	(332,223,227)	246,444,305
Adjustments for:		
Dividend income	(1,383,086)	(1,896,192)
Interest income	(14,946)	(14,955)
Interest expense	2,989	298
	<u>(333,618,270)</u>	<u>244,533,456</u>
Decrease/(increase) in financial assets at fair value through profit or loss	165,435,059	(972,654,239)
Increase in amounts due from brokers	(5,478)	–
Increase in management fee payable	681,486	6,170
Increase/(decrease) in trustee fee payable	68,251	(301,324)
Increase in administration fee payable	177,185	1,604
Increase in amounts due to brokers	21,174,474	7,991,506
Increase/(decrease) in registration fee payable	162,000	(232,000)
Cash used in operations	<u>(145,925,293)</u>	<u>(720,654,827)</u>
Dividends received, net of withholding tax	1,282,176	1,751,323
Interest received	14,946	14,955
Interest paid	(2,989)	(298)
Net cash flows used in operating activities	<u>(144,631,160)</u>	<u>(718,888,847)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Cash received from issue of units	442,796,936	850,814,916
Cash paid on redemptions of units	<u>(274,919,466)</u>	<u>(115,712,480)</u>
Net cash flows generated from financing activities	<u>167,877,470</u>	<u>735,102,436</u>
NET INCREASE IN CASH AND CASH EQUIVALENTS	23,246,310	16,213,589
Cash and cash equivalents at the beginning of the period	<u>4,619,198</u>	<u>1,785,069</u>
CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	<u>27,865,508</u>	<u>17,998,658</u>
ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS		
Bank balances	6(f) <u>27,865,508</u>	<u>17,998,658</u>

The accompanying notes are an integral part of these financial statements.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

1. GENERAL INFORMATION

Global X ETF Series (the “Trust”) is an umbrella unit trust governed by its trust deed dated 23 December 2010 as amended by the supplemental deeds dated 16 December 2011, 23 December 2011, 5 November 2012, 14 May 2013, 3 September 2014, 16 November 2015, 11 August 2016, 29 September 2016, 5 October 2016, 24 November 2016, 11 July 2019, 1 November 2019 and 31 December 2019 (collectively, the “Trust Deed”) between Mirae Asset Global Investments (Hong Kong) Limited (the “Manager”) and Cititrust Limited (the “Trustee”). The Trust Deed is governed by Hong Kong law.

As at 30 September 2021, the Trust had five sub-funds, each a separate sub-fund of the Trust, which are authorised by the Securities and Futures Commission of Hong Kong (the “SFC”) pursuant to section 104(1) of the Securities and Futures Ordinance. The five sub-funds, including Global X MSCI China ETF, Global X Hang Seng High Dividend Yield ETF, Global X CSI 300 ETF, Global X China Biotech ETF and Global X China Cloud Computing ETF, are referred to individually or collectively as the “Sub-Fund(s)”. The Sub-Funds are listed on the Stock Exchange of Hong Kong Limited (the “SEHK”) (a subsidiary of The Hong Kong Exchanges and Clearing Limited) with details below:

<u>Name of Sub-Funds</u>	<u>Listing date on the SEHK</u>
Global X MSCI China ETF (“MSCI China ETF”)	17 June 2013
Global X Hang Seng High Dividend Yield ETF (“Hang Seng High Dividend Yield ETF”)	17 June 2013
Global X CSI 300 ETF (“CSI 300 ETF”)	26 September 2014
Global X China Biotech ETF (“China Biotech ETF”)	25 July 2019
Global X China Cloud Computing ETF (“China Cloud Computing ETF”)	25 July 2019

These financial statements relate to the above-mentioned Sub-Funds. The Manager is responsible for the preparation of the financial statements.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

1. GENERAL INFORMATION (CONTINUED)

MSCI China ETF

The objective of MSCI China ETF (stock code: 3040) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the MSCI China Index by investing all, or substantially all, of the assets of MSCI China ETF in index securities in substantially the same weightings as constituted in the underlying index.

Hang Seng High Dividend Yield ETF

The objective of Hang Seng High Dividend Yield ETF (stock code: 3110) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Hang Seng High Dividend Yield Index by investing all, or substantially all, of the assets of Hang Seng High Dividend Yield ETF in index securities in substantially the same weightings as constituted in the underlying index.

CSI 300 ETF

The objective of CSI 300 ETF (Renminbi counter stock code: 83127, Hong Kong dollar counter stock code: 3127) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the CSI 300 Index by investing all, or substantially all, of the assets of CSI 300 ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Biotech ETF

The objective of China Biotech ETF (United States dollar counter stock code: 9820, Hong Kong dollar counter stock code: 2820) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Solactive China Biotech Index NTR by investing all, or substantially all, of the assets of China Biotech ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Cloud Computing ETF

The objective of China Cloud Computing ETF (United States dollar counter stock code: 9826, Hong Kong dollar stock code: 2826) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Solactive China Cloud Computing Index NTR by investing all, or substantially all, of the assets of China Cloud Computing ETF in index securities in substantially the same weightings as constituted in the underlying index.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

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2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The condensed interim financial statements are unaudited and are prepared in accordance with International Accounting Standard (“IAS”) 34 Interim Financial Reporting and the relevant disclosure provisions of the Trust Deed, and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the Securities and Futures Commission of Hong Kong (the “SFC Code”).

The unaudited condensed interim financial statements do not include all the information and disclosures required in the annual financial statements.

The principal accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the years presented, unless otherwise stated.

(a)(i) Basis of preparation

The financial statements of the Sub-Funds have been prepared in accordance with International Financial Reporting Standards (“IFRSs”) as issued by the International Accounting Standards Board (“IASB”), and interpretations issued by the International Financial Reporting Interpretations Committee of the IASB and the relevant disclosure provisions of the Trust Deed and the relevant disclosure provisions specified in Appendix E of the *Code on Unit Trusts and Mutual Funds* of the SFC (the “UT Code”).

The financial statements have been prepared under the historical cost convention, except for financial assets classified as at fair value through profit or loss (“FVPL”) that have been measured at fair value. The financial statements are presented in Hong Kong dollars (“HK\$”) for MSCI China ETF and Hang Seng High Dividend Yield ETF and Renminbi (“RMB”) for CSI 300 ETF, China Biotech ETF and China Cloud Computing ETF. All values are rounded to the nearest HK\$ and RMB respectively except where otherwise indicated.

(a)(ii) Significant accounting judgements, estimates and assumptions

The preparation of financial statements, in conformity with IFRSs, requires the Management to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts recognised in the financial statements and disclosure of contingent liabilities. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstances, the results of which form the basis of making the judgements about carrying values of assets and liabilities that are not readily apparent from other sources. Actual results may differ from these estimates.

Taxes

Uncertainties exist with respect to the interpretation of complex tax regulations and changes in tax laws on foreign withholding tax. Given the wide range of international investments, differences arising between the actual investment income and the assumptions made, or future changes to such assumptions, could necessitate future adjustments to tax expense that is already recorded. The Sub-Funds establish provisions, based on reasonable estimates, for possible consequences of audits by the tax authorities of the respective countries in which the Sub-Funds invest. The amounts of such provisions are based on various factors, such as experience of previous tax audits and differing interpretations of tax regulations by the taxable entity and the responsible tax authority. Such differences of interpretation may arise on a wide variety of issues depending on the conditions prevailing in the respective investments’ domiciles.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(a)(ii) Significant accounting judgements, estimates and assumptions (continued)

Taxes (continued)

All references to net assets or net asset value throughout the financial statements refer to net assets attributable to unitholders unless otherwise stated.

(a)(iii) Changes in accounting policies and disclosures

The accounting principles adopted in the current period are consistent with the financial statements as at 31 March 2021.

(a)(iv) Issued but not yet effective IFRSs

In the opinion of the Manager, the standards and interpretations that are issued, but not yet effective up to the date of the Sub-Funds' financial statements, will clearly not impact the Sub-Funds. The Sub-Funds intend to adopt those standards, if applicable, when they become effective

(b) Financial instruments

(i) **Classification**

In accordance with IFRS 9, the Sub-Funds classify their financial assets and financial liabilities at initial recognition into the categories of financial assets and financial liabilities as set out below.

In applying that classification, a financial asset or financial liability is considered to be held for trading if:

- (a) It is acquired or incurred principally for the purpose of selling or repurchasing it in the near term; or
- (b) On initial recognition, it is part of a portfolio of identified financial instruments that are managed together and for which, there is evidence of a recent actual pattern of short-term profit-taking; or
- (c) It is a derivative (except for a derivative that is a financial guarantee contract or a designated and effective hedging instrument).

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(i) *Classification (continued)*

Financial assets

The Sub-Funds classify their financial assets as subsequently measured at amortised cost or measured at FVPL on the basis of both:

- The entity's business model for managing the financial assets; and
- The contractual cash flow characteristics of the financial asset

Financial assets measured at amortised cost

A debt instrument is measured at amortised cost if it is held within a business model whose objective is to hold financial assets in order to collect contractual cash flows and its contractual terms give rise on specified dates to cash flows that are solely payments of principal and interest ("SPPI") on the principal amount outstanding. The Sub-Funds include in this category short-term non-financing receivables including dividends receivable, a deposit reserve – China Exchange Clearing, amounts due from brokers, subscription receivable and bank balances.

Financial assets measured at FVPL

A financial asset is measured at FVPL if:

- (a) Its contractual terms do not give rise to cash flows on specified dates that are solely SPPI on the principal amount outstanding; or
- (b) It is not held within a business model whose objective is either to collect contractual cash flows, or to both collect contractual cash flows and sell; or
- (c) At initial recognition, it is irrevocably designated as measured at FVPL when doing so eliminates or significantly reduces a measurement or recognition inconsistency that would otherwise arise from measuring assets or liabilities or recognising the gains and losses on them on different bases.

The Sub-Funds include in this category:

- Instruments held for trading. This category includes equity instruments which are acquired principally for the purpose of generating a profit from short-term fluctuations in price.

Financial liabilities

Financial liabilities measured at amortised cost

This category includes all financial liabilities. The Sub-Funds include in this category management fee payable, trustee fee payable, administration fee payable, redemption payable, amounts due to brokers, registration fee payable and distribution payable.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(ii) *Recognition*

The Sub-Funds recognise a financial asset or a financial liability when, and only when, they become a party to the contractual provisions of the instrument. Purchases and sales of financial assets at fair value through profit or loss are accounted for on the trade date basis.

(iii) *Initial measurement*

Financial assets at fair value through profit or loss are recorded in the statement of net assets at fair value. All transaction costs for such instruments are recognised directly in profit or loss.

Financial assets and liabilities (other than those classified as at fair value through profit or loss) are measured initially at their fair value plus any directly attributable incremental costs of acquisition or issue.

(iv) *Subsequent measurement*

After initial measurement, the Sub-Funds measure financial instruments which are classified as at FVPL at fair value. Subsequent changes in the fair values of those financial instruments are recorded in “net change in unrealised gain/loss on financial assets at FVPL”. Interest and dividends earned on these instruments are recorded separately in “interest income” and “dividend income” in the statement of profit or loss and other comprehensive income.

Debt instruments, other than those classified as at FVPL, are measured at amortised cost using the effective interest method less any allowance for impairment. Gains and losses are recognised in profit or loss when the debt instruments are derecognised or impaired, as well as through the amortisation process.

Financial liabilities, other than those classified as at FVPL, are measured at amortised cost using the effective interest method. Gains and losses are recognised in profit or loss when the liabilities are derecognised, as well as through the amortisation process.

The effective interest method is a method of calculating the amortised cost of a financial asset or a financial liability and of allocating and recognising the interest income or interest expense in profit or loss over the relevant period. The effective interest rate is the rate that exactly discounts estimated future cash payments or receipts through the expected life of the financial asset or financial liability to the gross carrying amount of the financial asset or to the amortised cost of the financial liability. When calculating the effective interest rate, the Sub-Funds estimate cash flows considering all contractual terms of the financial instruments, but does not consider expected credit losses. The calculation includes all fees paid or received between parties to the contract that are an integral part of the effective interest rate, transaction costs and all other premiums or discounts.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(v) *Derecognition*

A financial asset is derecognised when the rights to receive cash flows from the financial asset have expired, or where the Sub-Funds have transferred its rights to receive cash flows from the financial asset, or has assumed an obligation to pay the received cash flows in full without material delay to a third party under a pass-through arrangement and either the Sub-Funds have transferred substantially all the risks and rewards of the asset or the Sub-Funds have neither transferred nor retained substantially all the risks and rewards of the financial asset, but has transferred control of the asset.

When the Sub-Funds have transferred its rights to receive cash flows from an asset (or has entered into a pass-through arrangement), and has neither transferred nor retained substantially all the risks and rewards of the asset nor transferred control of the asset, the asset is recognised to the extent of the Sub-Funds' continuing involvement in the asset. In that case, the Sub-Funds also recognise an associated liability. The transferred asset and the associated liability are measured on a basis that reflects the rights and obligations that the Sub-Funds have retained. The Sub-Funds derecognised a financial liability when the obligation under the liability is discharged or cancelled, or expires.

(c) Fair value measurement

The Sub-Funds measure their investments in financial instruments at fair value at the end of each reporting period.

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- in the principal market for the asset or liability; or
- in the absence of a principal market, in the most advantageous market for the asset or liability.

The principal or the most advantageous market must be accessible by the Sub-Funds.

The fair value of an asset or a liability is measured using the assumptions that market participants would use when pricing the asset or liability, assuming that market participants act in their economic best interest.

The fair value for financial instruments that are listed or traded on an exchange is based on quoted last traded market prices that are within the bid-ask spread.

For all other financial instruments not traded in an active market, the fair value is determined using valuation techniques deemed to be appropriate in the circumstances. Valuation techniques include the market approach (i.e., using recent arm's length market transactions, adjusted as necessary, and reference to the current market value of another instrument that is substantially the same) and the income approach (i.e., discounted cash flow analysis and option pricing models making as much use of available and supportable market data as possible).

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(c) Fair value measurement (continued)

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair value hierarchy, described as follows, based on the lowest level input that is significant to the fair value measurement as a whole:

- Level 1 — Quoted (unadjusted) market prices in active markets for identical assets or liabilities
- Level 2 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is directly or indirectly observable
- Level 3 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is unobservable

For assets and liabilities that are recognised in the financial statements on a recurring basis, the Sub-Funds determine whether transfers have occurred between levels in the hierarchy by re-assessing categorisation (based on the lowest level input that is significant to the fair value measurement as a whole) at the end of each reporting period.

(d) Income

Interest income is recognised in profit or loss on a time-proportionate basis using the effective interest method.

Dividend income on equity securities is recognised on the date on which the investments are quoted ex-dividend. Dividend income on equity securities where no ex-dividend date is quoted is accounted for when the Sub-Funds' right to receive payment is established. Dividend income is presented gross of any non-recoverable withholding taxes, which are disclosed separately in profit or loss.

Other income is recognised in profit or loss when the right to receive payment has been established, it is probable that the economic benefits associated with the income will flow to the Sub-Funds and the amount of the income can be measured reliably.

(e) Expenses

Expenses are recognised on an accrual basis.

(f) Cash component

Cash component represents the aggregate net asset value of all the units in connection with a creation or a redemption application less the application basket value.

(g) Cash and cash equivalents

Cash and cash equivalents in the statement of net assets comprise short-term deposits in banks which are readily convertible to known amounts of cash and are subject to an insignificant risk of changes in value.

For the purpose of the statement of cash flows, cash and cash equivalents consist of cash and cash equivalents as defined above, net of outstanding bank overdrafts when applicable.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(h) Translation of foreign currencies

Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Sub-Funds operate (the “functional currency”). The performance of MSCI China ETF and Hang Seng High Dividend Yield ETF is measured and reported to the unitholders in HK\$. The performance of CSI 300 ETF, China Biotech ETF and China Cloud Computing ETF is measured and reported to the unitholders in RMB. The Management considers the HK\$ and RMB as the currencies that most faithfully represent the economic effects of the underlying transactions, events and conditions. The financial statements of the MSCI China ETF and Hang Seng High Dividend Yield ETF are presented in HK\$ and the financial statements of the CSI 300 ETF, China Biotech ETF and China Cloud Computing ETF are presented in RMB respectively, which are the Sub-Funds’ functional and presentation currencies.

Transactions and balances

Transactions during the period, including purchases and sales of securities, income and expenses, are translated at the rates of exchange prevailing on the dates of the transactions.

Monetary assets and liabilities expressed in foreign currencies are translated at the functional currency rates of exchange ruling at the end of the reporting period. Differences arising on settlement or transaction of monetary items are recognised in the statement of profit or loss and other comprehensive income.

Non-monetary items that are measured in terms of historical cost in a foreign currency are translated using the exchange rates at the dates of the initial transactions. Non-monetary items measured at fair value in a foreign currency are translated using the exchange rates at the date when the fair value is determined.

Foreign currency transaction gains and losses on financial instruments classified as at FVPL are included in profit or loss in the statement of profit or loss and other comprehensive income.

(i) Redeemable units

Redeemable units are classified as an equity instrument when:

- (i) The redeemable units entitle the holder to a pro-rata share of the Sub-Fund’s net assets in the event of the Sub-Fund’s liquidation;
- (ii) The redeemable units are in the class of instruments that is subordinate to all other classes of instruments;
- (iii) All redeemable units in the class of instruments that is subordinate to all other classes of instruments have identical features;
- (iv) The redeemable units do not include any contractual obligation to deliver cash or another financial asset other than the holder’s rights to a pro-rata share of the Sub-Fund’s net assets; or
- (v) The total expected cash flows attributable to the redeemable units over the life of the instrument are based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds over the life of the instrument.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(i) Redeemable units (continued)

In addition to the redeemable units having all the above features, the Sub-Funds must have no other financial instrument or contract that has:

- (i) Total cash flows based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds; and
- (ii) The effect of substantially restricting or fixing the residual return to the redeemable unitholders.

The Sub-Funds' redeemable units meet the definition of puttable instruments classified as equity instruments under the revised IAS 32 and are classified as equity.

The Sub-Funds continuously assess the classification of the redeemable units. If the redeemable units cease to have all the features or meet all the conditions set out to be classified as equity, the Sub-Funds will reclassify them as financial liabilities and measure them at fair value at the date of reclassification, with any differences from the previous carrying amount recognised in equity. If the redeemable units subsequently have all the features and meet the conditions to be classified as equity, the Sub-Funds will reclassify them as equity instruments and measure them at the carrying amount of the liabilities at the date of the reclassification.

The issuance, acquisition and cancellation of redeemable units are accounted for as equity transactions. Upon issuance of redeemable units, the consideration received is included in equity.

Transaction costs incurred by the Sub-Funds in issuing its own equity instruments are accounted for as a deduction from equity to the extent that they are incremental costs directly attributable to the equity transaction that otherwise would have been avoided.

The Sub-Funds' own equity instruments which are reacquired are deducted from equity and accounted for at amounts equal to the consideration paid, including any directly attributable incremental costs.

No gain or loss is recognised in profit or loss on the purchase, sale, issuance or cancellation of the Sub-Funds' own equity instruments.

Redeemable units can be redeemed in-kind and/or in cash equal to a proportionate share of the Sub-Funds' net asset value ("NAV"). The Sub-Funds' net asset value per unit is calculated by dividing the net assets attributable to unitholders with the total number of outstanding units of the respective Sub-Funds. Units are created or redeemed in multiples of 500,000 units for CSI 300 ETF, 200,000 units for MSCI China ETF and Hang Seng High Dividend Yield ETF and 50,000 units for China Biotech ETF and China Cloud Computing ETF respectively.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(j) Segmental reporting

Operating segments are reported in a manner consistent with the internal reporting used by the chief operating decision-maker. The Manager, who is responsible for allocating resources and assessing performance of the operating segments, has been identified as the chief operating decision-maker that makes strategic decisions.

(k) Impairment of financial assets

The Sub-Funds recognise an allowance for expected credit losses (“ECLs”) for all debt instruments not held at fair value through profit or loss. ECLs are based on the difference between the contractual cash flows due in accordance with the contract and all the cash flows that the Sub-Funds expect to receive, discounted at an approximation of the original effective interest rate. The expected cash flows will include cash flows from the sale of collateral held or other credit enhancements that are integral to the contractual terms.

ECLs are recognised in two stages. For credit exposures for which there has not been a significant increase in credit risk since initial recognition, ECLs are provided for credit losses that result from default events that are possible within the next 12 months (a 12-month ECL). For those credit exposures for which there has been a significant increase in credit risk since initial recognition, a loss allowance is required for credit losses expected over the remaining life of the exposure, irrespective of the timing of the default (a lifetime ECL).

The Sub-Funds may also consider a financial asset to be in default when internal or external information indicates that the Sub-Funds are unlikely to receive the outstanding contractual amounts in full before taking into account any credit enhancements held by the Sub-Funds. A financial asset is written off when there is no reasonable expectation of recovering the contractual cash flows.

(l) Net change in unrealised gains or losses on financial assets at fair value through profit or loss

This item includes changes in the fair value of financial assets as at fair value through profit or loss and excludes dividend income and interest expense.

Unrealised gains and losses comprise changes in the fair value of financial instruments for the period and from reversal of prior year unrealised gains and losses for financial instruments which were realised in the reporting period.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(m) Net realised gains or losses on disposal of financial assets

Net realised gains or losses on disposal of financial assets classified as at fair value through profit or loss are calculated using the weighted average method. They represent the difference between an instrument's average cost and disposal amount.

(n) Offsetting financial instruments

Financial assets and financial liabilities are offset and the net amount is reported in the statement of net assets if there is a currently enforceable legal right to offset the recognised amounts and there is an intention to settle on a net basis, or to realise the assets and settle the liabilities simultaneously.

(o) Taxes

In some jurisdictions, investment income and capital gains are subject to withholding tax deducted from the source of the income. The Sub-Funds present the withholding tax separately from the gross investment income in profit or loss. For the purpose of the statement of cash flows, cash inflows from investments are presented net of withholding taxes, when applicable.

(p) Distributions to unitholders

Distributions are at the discretion of the Manager. A distribution to the Sub-Funds' unitholders is accounted for as a deduction from net assets attributable to unitholders. A proposed distribution is recognised as a liability in the period in which it is approved by the Manager. No distribution will be paid out of or effectively out of the MSCI China ETF's and CSI 300 ETF's capital. The Manager of Hang Seng High Dividend Yield ETF, China Biotech ETF and China Cloud Computing ETF may also, at its discretion, pay dividend out of gross income while all or part of the fees and expenses of the relevant Sub-Funds are charged to/paid out of the capital of such Sub-Funds, resulting in an increase in distributable income for the payment of dividends by the relevant Sub-Funds. Therefore, the Sub-Funds may effectively pay dividend out of capital.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(q) Transaction costs

Transaction costs are costs incurred to acquire financial assets or liabilities at fair value through profit or loss. They include fees and commissions paid to agents, brokers and dealers. Transaction costs, when incurred, are immediately recognised in profit or loss as an expense.

(r) Related parties

A party is considered to be related to the Sub-Funds if:

- (a) the party is a person or a close member of that person's family and that person
 - (i) has control or joint control over the Sub-Funds;
 - (ii) has significant influence over the Sub-Funds; or
 - (iii) is a member of the key management personnel of the Sub-Funds or of a parent of the Sub-Funds;

or

- (b) the party is an entity where any of the following conditions applies:
 - (i) the entity and the Sub-Funds are members of the same group;
 - (ii) one entity is an associate or joint venture of the other entity (or of a parent, subsidiary or fellow subsidiary of the other entity);
 - (iii) the entity and the group are joint ventures of the same third party;
 - (iv) one entity is a joint venture of a third entity and the other entity is an associate of the third entity;
 - (v) the entity is a post-employment benefit plan for the benefit of employees of either the Sub-Fund or an entity related to the Sub-Funds;
 - (vi) the entity is controlled or jointly controlled by a person identified in (a);
 - (vii) a person identified in (a)(i) has significant influence over the entity or is a member of the key management personnel of the entity (or of a parent of the entity); and
 - (viii) the entity, or any member of a group of which it is a part, provides key management personnel services to the Sub-Funds or to the parent of the Sub-Funds.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS

(a) Net assets attributable to unitholders

The creation and redemption of units of the Sub-Funds can only be facilitated by or through participating dealers. Investors other than the participating dealers make a request to create or redeem units through a participating dealer, and if the investor is a retail investor, such request must be made through a stockbroker which has opened an account with a participating dealer.

The Trustee shall receive subscription proceeds or index securities constituting baskets plus remaining subscription proceeds from the participating dealers for the creation of units and pay redemption proceeds or index securities constituting baskets plus remaining redemption proceeds for the redemption of units to the relevant participating dealer in such form and manner as prescribed by the Trust Deed. Units are created or redeemed in multiples of 500,000 units for CSI 300 ETF, 200,000 units for MSCI China ETF and Hang Seng High Dividend Yield ETF and 50,000 units for China Biotech ETF and China Cloud Computing ETF respectively. Subscriptions and redemptions of units during the period are shown on the statement of changes in net assets attributable to unitholders.

As stated in note 2(i), units of the Sub-Funds, which are represented by assets less liabilities, are classified as equity and accounted for in accordance with IFRSs (the "Accounting NAV"). For the purpose of determining the NAV per unit for subscriptions and redemptions and for various fee calculations (the "Dealing NAV"), the Administrator calculates the Dealing NAV in accordance with the provisions of the Trust Deed, which may be different from the accounting policies under IFRSs.

The formation fees for establishing MSCI China ETF, Hang Seng High Dividend Yield ETF and CSI 300 ETF were HK\$529,997, HK\$529,997 and RMB1,062,987 respectively, which were fully borne by the Sub-Funds. The formation fees for China Biotech ETF and China Cloud Computing ETF were RMB641,111 and RMB641,110 respectively, which were fully borne by the Manager.

Per the prospectus of the Sub-Funds, the formation fees are amortised over the first three financial years of the Sub-Funds. However, with respect to the Sub-Funds for the purpose of financial statements preparation in compliance with IFRSs, its accounting policy is to expense the formation fee in profit or loss as incurred.

From dealing NAV perspective, there were no unamortised amounts (31 March 2021: nil) nor any remaining amortisation periods for MSCI China ETF, Hang Seng High Dividend Yield ETF and CSI 300 ETF as at 30 September 2021 (31 March 2021: nil).

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

(a) Net assets attributable to unitholders (continued)

There is no reconciliation between the Accounting NAV and Dealing NAV for Hang Seng High Dividend Yield ETF and CSI 300 ETF as there was no difference between the Accounting NAV and Dealing NAV as at 30 September 2021 and 31 March 2021.

The Accounting NAV and Dealing NAV for MSCI China ETF, China Biotech ETF and China Cloud Computing ETF are different as at 30 September 2021 and 31 March 2021 as shown below:

	As at 30 September 2021 (unaudited) HK\$	As at 31 March 2021 (audited) HK\$
<u>MSCI China ETF</u>		
Accounting NAV as reporting in the statement of net assets	799,124,560	995,159,184
Adjustment for unrealised loss on late trades	—	2
Dealing NAV	<u>799,124,560</u>	<u>995,159,186</u>
	As at 30 September 2021 (unaudited) RMB	As at 31 March 2021 (audited) RMB
<u>China Biotech ETF</u>		
Accounting NAV as reporting in the statement of net assets	2,221,108,873	2,490,238,419
Adjustment for unrealised gain on late trades	(4,560)	—
Dealing NAV	<u>2,221,104,313</u>	<u>2,490,238,419</u>
	As at 30 September 2021 (unaudited) RMB	As at 31 March 2021 (audited) RMB
<u>China Cloud Computing ETF</u>		
Accounting NAV as reporting in the statement of net assets	912,489,983	1,080,395,975
Adjustment for late subscription	(31,347,135)	—
Adjustment on transaction cost	25,978	—
Adjustment for unrealised loss on late trades	32,753	—
Dealing NAV	<u>881,201,579</u>	<u>1,080,395,975</u>

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3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

(b) Movement of units

The table below summarises the movement of units for the period/year ended 30 September 2021 and 31 March 2021, and the Accounting NAV per unit and the Dealing NAV per unit for each of the Sub-Funds as at 30 September 2021 and 31 March 2021.

As at 30 September 2021 (unaudited)	Number of units				Net assets attributable to unitholders	
	Units in issue at beginning of period	Creation during the period	Redemption during the period	Units in issue at end of period	Accounting NAV	Dealing NAV
					Per unit at period end	Per unit at period end
MSCI China ETF	22,600,000	2,200,000	(3,200,000)	21,600,000	HK\$36.9965	HK\$36.9965
Hang Seng High Dividend Yield ETF	3,400,000	3,600,000	-	7,000,000	HK\$26.0508	HK\$26.0508
CSI 300 ETF	2,500,000	-	(500,000)	2,000,000	RMB17.1909	RMB17.1909
China Biotech ETF	25,050,000	2,150,000	(3,900,000)	23,300,000	RMB95.3266	RMB95.3264
China Cloud Computing ETF	11,500,000	5,150,000	(3,550,000)	13,100,000	RMB69.6557	RMB67.2673
As at 31 March 2021 (audited)	Number of units				Net assets attributable to unitholders	
Units in issue at beginning of year	Creation during the year	Redemption during the year	Units in issue at end of year	Accounting NAV	Dealing NAV	
				Per unit at year end	Per unit at year end	
MSCI China ETF	27,400,000	2,600,000	(7,400,000)	22,600,000	HK\$44.0336	HK\$44.0336
Hang Seng High Dividend Yield ETF	5,600,000	600,000	(2,800,000)	3,400,000	HK\$28.9795	HK\$28.9795
CSI 300 ETF	8,000,000	-	(5,500,000)	2,500,000	RMB17.6849	RMB17.6849
China Biotech ETF	13,750,000	16,000,000	(4,700,000)	25,050,000	RMB99.4107	RMB99.4107
China Cloud Computing ETF	6,550,000	10,550,000	(5,600,000)	11,500,000	RMB93.9475	RMB93.9475

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4. CREATION AND REDEMPTION OF UNITS

MSCI China ETF

For the period ended 30 September 2021, MSCI China ETF:

- Issued 2,200,000 units (2020: nil units) in exchange for cash balances of HK\$92,826,978 (2020: HK\$nil); and
- redeemed 3,200,000 units (2020: 6,400,000 units) in exchange for cash balances of HK\$138,447,631 (2020: HK\$232,568,255).

Hang Seng High Dividend Yield ETF

For the period ended 30 September 2021, Hang Seng High Dividend Yield ETF:

- issued 3,600,000 units (2020: 400,000 units) in exchange for cash balances of HK\$97,398,600 (2020: HK\$10,328,059); and
- redeemed nil units (2020: 1,000,000) in exchange for cash balances of HK\$nil (2020: HK\$23,448,383).

CSI 300 ETF

For the period ended 30 September 2021, CSI 300 ETF:

- redeemed 500,000 units (2020: 5,500,000 units) in exchange for cash balances of RMB8,518,542 (2020: RMB82,325,603).

China Biotech ETF

For the period ended 30 September 2021, China Biotech ETF:

- issued 2,150,000 units (2020: 9,600,000 units) in exchange for cash balances of RMB231,173,353 (2020: RMB913,476,656); and
- redeemed 3,900,000 units (2020: 2,250,000 units) in exchange for cash balances of RMB408,459,166 (2020: RMB188,416,827).

China Cloud Computing ETF

For the period ended 30 September 2021, China Cloud Computing ETF:

- issued 5,150,000 units (2020: 9,300,000 units) in exchange for cash balances of RMB442,796,936 (2020: RMB848,802,083); and
- redeemed 3,550,000 units (2020: 1,200,000 units) in exchange for cash balances of RMB278,378,791 (2020: RMB115,712,480).

As at 30 September 2021 and 31 March 2021, there were no subscription receivable for all Sub-Funds.

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5. NET (LOSS)/GAIN ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

The following is a breakdown of the net (loss)/gain on financial assets at fair value through profit or loss:

	<u>MSCI China ETF</u> For the six months ended 30 September 2021 (unaudited) HK\$	<u>Hang Seng High Dividend Yield ETF</u> For the six months ended 30 September 2021 (unaudited) HK\$	<u>CSI 300 ETF</u> For the six months ended 30 September 2021 (unaudited) RMB	<u>China Biotech ETF</u> For the six months ended 30 September 2021 (unaudited) RMB	<u>China Cloud Computing ETF</u> For the six months ended 30 September 2021 (unaudited) RMB
Net realised gain/(loss) on sale of financial assets at fair value through profit or loss	31,680,883	4,768,943	4,152,812	142,683,189	(92,029,184)
Net change in unrealised loss on financial assets at fair value through profit or loss	(193,199,998)	(14,296,271)	(5,744,656)	(230,574,931)	(236,965,786)
	<u>(161,519,115)</u>	<u>(9,527,328)</u>	<u>(1,591,844)</u>	<u>(87,891,742)</u>	<u>(328,994,970)</u>
	<u>MSCI China ETF</u> For the six months ended 30 September 2020 (unaudited) HK\$	<u>Hang Seng High Dividend Yield ETF</u> For the six months ended 30 September 2020 (unaudited) HK\$	<u>CSI 300 ETF</u> For the six months ended 30 September 2020 (unaudited) RMB	<u>China Biotech ETF</u> For the six months ended 30 September 2020 (unaudited) RMB	<u>China Cloud Computing ETF</u> For the six months ended 30 September 2020 (unaudited) RMB
Net realised gain/(loss) on sale of financial assets at fair value through profit or loss	17,857,090	(12,160,982)	29,384,409	179,139,948	182,558,943
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	194,623,047	7,435,688	(10,415,498)	219,884,430	67,442,283
	<u>212,480,137</u>	<u>(4,725,294)</u>	<u>18,968,911</u>	<u>399,024,378</u>	<u>250,001,226</u>

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6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS

Related parties are those as defined in note 2(r). Related parties of the Sub-Funds also include the Manager of the Sub-Funds and its connected persons. Connected persons of the Manager are those as defined in the UT Code. All transactions entered into during the period between the Sub-Funds and their related parties, including the Manager and its connected persons were carried out in the ordinary course of business and on normal commercial terms. To the best of the Manager's knowledge, the Sub-Funds do not have any other transactions with their related parties, including the Manager and its connected persons except for those disclosed below.

(a) Brokerage commission

The Sub-Funds utilise the trading services of brokers who are related to the Trustee and the Manager in their purchases and sales of investments. The details of such transactions and the brokerage commission are shown below:

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>MSCI China ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2021 (unaudited):</i>				
Citibank, N.A. [#]	55,305,672	6.67%	29,138	0.05%
<i>For the six months ended 30 September 2020 (unaudited):</i>				
Citibank, N.A. [#]	112,590,215	17.49%	53,049	0.05%
<u>China Biotech ETF (in RMB)</u>				
<i>For the six months ended 30 September 2021 (unaudited):</i>				
Citibank, N.A. [#]	1,264,355,056	46.63%	603,568	0.05%
Mirae Asset Securities (HK) Limited*	40,954,169	1.51%	20,726	0.05%
<i>For the six months ended 30 September 2020 (unaudited):</i>				
Citibank, N.A. [#]	1,803,263,533	54.38%	1,065,456	0.06%
<u>China Cloud Computing ETF (in RMB)</u>				
<i>For the six months ended 30 September 2021 (unaudited):</i>				
Citibank, N.A. [#]	1,158,904,665	38.49%	487,103	0.04%
Mirae Asset Securities (HK) Limited*	46,243,648	1.54%	22,890	0.05%
<i>For the six months ended 30 September 2020 (unaudited):</i>				
Citibank, N.A. [#]	1,736,154,037	50.54%	970,538	0.06%

[#] Affiliate of the Trustee

* Affiliate of the Manager

During the period ended 30 September 2021 and 30 September 2020, Hang Seng High Dividend Yield ETF and CSI 300 ETF did not incur any brokerage commission from brokers who are related to the Trustee and the Manager in their purchases and sales of investments.

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6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(b) Management fee

The Manager is entitled to receive a management fee, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears. The Manager is also entitled to receive a servicing fee which is waived by the Manager.

	<u>Maximum rate of the net asset value in %</u>	<u>Annual rate of the net asset value in %</u>	
		For the period ended 30 September 2021 (unaudited)	For the period ended 30 September 2020 (unaudited)
MSCI China ETF	2%	0.18% ¹	0.049%
Hang Seng High Dividend Yield ETF	2%	0.18%	0.18%
CSI 300 ETF	2%	0.25%	0.25%
China Biotech ETF	2%	0.50%	0.50%
China Cloud Computing ETF	2%	0.50%	0.50%

The management fee entitled to the Manger for the periods ended 30 September 2021 and 30 September 2020 are as follows:

	<u>Management fee</u>	
	For the period ended 30 September 2021 (unaudited)	For the period ended 30 September 2020 (unaudited)
MSCI China ETF (in HK\$)	820,522	213,809
Hang Seng High Dividend Yield ETF (in HK\$)	144,013	110,964
CSI 300 ETF (in RMB)	53,530	96,689
China Biotech ETF (in RMB)	6,457,134	3,574,594
China Cloud Computing ETF (in RMB)	2,510,982	2,496,745

The management fee payable as at 30 September 2021 and 31 March 2021 are as follows:

	<u>Management fee payable</u>	
	As at 30 September 2021 (unaudited)	As at 31 March 2021 (audited)
MSCI China ETF (in HK\$)	382,196	163,762
Hang Seng High Dividend Yield ETF (in HK\$)	81,030	16,614
CSI 300 ETF (in RMB)	25,508	10,131
China Biotech ETF (in RMB)	3,112,535	1,114,452
China Cloud Computing ETF (in RMB)	1,219,001	537,515

¹The Sub-Fund has adopted a single management fee structure. The Manager will retain the single management fee to pay for all of the costs, fees and expenses associated with the Sub-Fund, including the fees payable to the Manager for portfolio management services, Manager's servicing fee (if any), Trustee's fee, Registrar's fee, Service Agent's fees and operational fees of the Sub-Fund. Any costs, fees and expenses associated with the Sub-Fund exceeding the single management fee shall be borne by the Manager and shall not be charged to the Sub-Fund.

(c) Trustee fee

The Trustee is entitled to receive trustee fee, which includes trustee, custody and fund accounting fees, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears.

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6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(c) Trustee fee (continued)

Under the terms of the Trust Deed, the Trustee may, after consulting the Manager, on giving not less than one month's notice to unitholders, increase the rate of the trustee fee payable in respect of the Sub-Funds up to or towards the maximum rate of 1% per annum of the Dealing NAV of the Sub-Funds. The Trustee shall also be entitled to be reimbursed out of the assets of the Sub-Funds all out-of-pocket expenses incurred.

	<u>Maximum rate of the net asset value in %</u>	<u>Annual rate of the net asset value in %</u>	
		For the period ended 30 September 2021 (unaudited)	For the period ended 30 September 2020 (unaudited)
MSCI China ETF	1%	- ¹	0.05% ²
Hang Seng High Dividend Yield ETF	1%	0.06%	0.06% ³
CSI 300 ETF	1%	0.06%	0.06% ³
China Biotech ETF	1%	0.05%	0.05% ⁴
China Cloud Computing ETF	1%	0.05%	0.05% ⁴

The trustee fee is borne by both the Sub-Funds and the Manager as follows:

	<u>For the period ended 30 September 2021 (unaudited)</u>	
	<u>Portion borne by the Sub-Funds</u>	<u>Portion borne by the Manager</u>
MSCI China ETF (in HK\$)	- ¹	-
Hang Seng High Dividend Yield ETF (in HK\$)	116,904	-
CSI 300 ETF (in RMB)	97,240	-
China Biotech ETF (in RMB)	581,388	-
China Cloud Computing ETF (in RMB)	251,098	-
	<u>For the period ended 30 September 2020 (unaudited)</u>	
	<u>Portion borne by the Sub-Funds</u>	<u>Portion borne by the Manager</u>
MSCI China ETF (in HK\$)	218,173	-
Hang Seng High Dividend Yield ETF (in HK\$)	103,583	-
CSI 300 ETF (in RMB)	459,693	-
China Biotech ETF (in RMB)	345,406	-
China Cloud Computing ETF (in RMB)	248,501	-

¹The Sub-Fund has adopted a single management fee structure. The Manager will retain the single management fee to pay for all of the costs, fees and expenses associated with the Sub-Fund, including the fees payable to the Manager for portfolio management services, Manager's servicing fee (if any), Trustee's fee, Registrar's fee, Service Agent's fees and operational fees of the Sub-Fund. Any costs, fees and expenses associated with the Sub-Fund exceeding the single management fee shall be borne by the Manager and shall not be charged to the Sub-Fund.

²The current standard annual rate for MSCI China ETF is 0.05% (2020: 0.05%) for the first US\$200 million of the net asset value, 0.04% (2020: 0.04%) for the next US\$300 million (i.e. between US\$200 million and US\$500 million), and 0.03% (2020: 0.03%) for the remainder (i.e. US\$500 million onwards). It is also subject to a minimum fee of US\$2,500 (2020: US\$2,500) per month. However, the applied minimum monthly fee can be revised downwards upon concession from the Trustee. The minimum fee is waived for 24 months from 1 May 2018.

³The current standard annual rate for Hang Seng High Dividend Yield ETF and CSI 300 ETF is 0.06% (2020: 0.06%) for the first US\$200 million of the net asset value, 0.05% (2020: 0.05%) for the next US\$300 million (i.e. between US\$200 million and US\$500 million), and 0.04% (2020: 0.04%) for the remainder (i.e. US\$500 million onwards). It is also subject to a minimum fee of US\$2,500 (2020: US\$2,500) per month. However, the applied minimum monthly fee can be revised downwards upon concession from the Trustee. The minimum fee is waived for 24 months from 1 May 2018.

⁴The current standard annual rate for China Biotech ETF and China Cloud Computing ETF is 0.05% (2020: 0.05%) for the first US\$200 million of the net asset value, 0.04% (2020: 0.04%) for the next US\$300 million (i.e. between US\$200 million and US\$500 million), and 0.03% (2020: 0.03%) for the remainder (i.e. US\$500 million onwards). It is also subject to a minimum fee of US\$2,500 (2020: US\$2,500) per month. However, the applied minimum monthly fee can be revised downwards upon concession from the Trustee. The minimum fee is waived for 24 months from 24 July 2019.

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6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(c) Trustee fee (continued)

The trustee fee payable as at 30 September 2021 and 31 March 2021 are as follows:

	<u>Trustee fee payable</u>	
	As at 30 September 2021 (unaudited)	As at 31 March 2021 (audited)
MSCI China ETF (in HK\$)	- ¹	3,100
Hang Seng High Dividend Yield ETF (in HK\$)	58,818	21,063
CSI 300 ETF (in RMB)	48,923	17,649
China Biotech ETF (in RMB)	281,621	100,940
China Cloud Computing ETF (in RMB)	121,900	53,649

(d) Administration fee

The Manager is responsible for the payment of several fees, such as audit fee and index licensing fee, for the administration of the Sub-Funds. The Manager is entitled to receive a recharge of the administration fee, calculated at an annual rate on the net asset value of the Sub-Funds at each dealing day and payable monthly in arrears, as illustrated in the table below:

	<u>Annual rate of the net asset value in %</u>	
	For the period ended 30 September 2021 (unaudited)	For the period ended 30 September 2020 (unaudited)
MSCI China ETF	- ¹	0.04%
Hang Seng High Dividend Yield ETF	0.20%	0.05%
CSI 300 ETF	0.47%	0.06%
China Biotech ETF	0.07%	0.07%
China Cloud Computing ETF	0.07%	0.07%

The administration fee payable as at 30 September 2021 and 31 March 2021 are as follows:

	<u>Administration fee payable</u>	
	As at 30 September 2021 (unaudited)	As at 31 March 2021 (audited)
MSCI China ETF (in HK\$)	- ¹	4,551
Hang Seng High Dividend Yield ETF (in HK\$)	69,373	9,230
CSI 300 ETF (in RMB)	61,942	4,862
China Biotech ETF (in RMB)	809,261	289,759
China Cloud Computing ETF (in RMB)	316,939	139,754

¹The Sub-Fund has adopted a single management fee structure. The Manager will retain the single management fee to pay for all of the costs, fees and expenses associated with the Sub-Fund, including the fees payable to the Manager for portfolio management services, Manager's servicing fee (if any), Trustee's fee, Registrar's fee, Service Agent's fees and operational fees of the Sub-Fund. Any costs, fees and expenses associated with the Sub-Fund exceeding the single management fee shall be borne by the Manager and shall not be charged to the Sub-Fund.

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30 September 2021

6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(d) Administration fee (continued)

The auditor's remuneration is borne by both the Sub-Fund and the Manager as follows:

	For the period ended 30 September 2021 (unaudited)	
	Portion borne by the Sub-Funds	Portion borne by the Manager
Hang Seng High Dividend Yield ETF (in HK\$)	13,996	20,599
CSI 300 ETF (in RMB)	12,561	1,784
China Biotech ETF (in RMB)	57,067	–
China Cloud Computing ETF (in RMB)	13,178	15,434
	For the period ended 30 September 2020 (unaudited)	
	Portion borne by the Sub-Funds	Portion borne by the Manager
MSCI China ETF (in HK\$)	33,291	–
Hang Seng High Dividend Yield ETF (in HK\$)	6,813	26,478
CSI 300 ETF (in RMB)	4,764	24,515
China Biotech ETF (in RMB)	29,280	–
China Cloud Computing ETF (in RMB)	29,280	–

The tables below list the breakdown of other administration fee incurred by the Sub-Funds:

<u>MSCI China ETF</u>	For the period ended 30 September 2020 (unaudited)		
	Portion borne by the Sub-Fund HK\$	Portion borne by the Manager HK\$	Total HK\$
Index licensing fee	174,538	14,159	188,697
Others	163,750	–	163,750
	<u>338,288</u>	<u>14,159</u>	<u>352,447</u>
<u>Hang Seng High Dividend Yield ETF</u>	For the period ended 30 September 2021 (unaudited)		
	Portion borne by the Sub-Fund HK\$	Portion borne by the Manager HK\$	Total HK\$
Index licensing fee	50,137	–	50,137
Others	169,548	249,536	419,084
	<u>219,685</u>	<u>249,536</u>	<u>469,221</u>
<u>Hang Seng High Dividend Yield ETF</u>	For the period ended 30 September 2020 (unaudited)		
	Portion borne by the Sub-Fund HK\$	Portion borne by the Manager HK\$	Total HK\$
Index licensing fee	24,659	25,341	50,000
Others	30,174	117,270	147,444
	<u>54,833</u>	<u>142,611</u>	<u>197,444</u>

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6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(d) Administration fee (continued)

<u>CSI 300 ETF</u>	For the period ended 30 September 2021 (unaudited)		
	Portion borne by the Sub-Fund RMB	Portion borne by the Manager RMB	Total RMB
Index licensing fee	50,137	–	50,137
Others	140,115	85,224	225,339
	<u>190,252</u>	<u>85,224</u>	<u>275,476</u>

<u>CSI 300 ETF</u>	For the period ended 30 September 2020 (unaudited)		
	Portion borne by the Sub-Fund RMB	Portion borne by the Manager RMB	Total RMB
Index licensing fee	15,470	34,530	50,000
Others	26,177	134,691	160,868
	<u>41,647</u>	<u>169,221</u>	<u>210,868</u>

<u>China Biotech ETF</u>	For the period ended 30 September 2021 (unaudited)		
	Portion borne by the Sub-Fund RMB	Portion borne by the Manager RMB	Total RMB
Index licensing fee	645,714	32,019	677,733
Others	976,074	–	976,074
	<u>1,621,788</u>	<u>32,019</u>	<u>1,653,807</u>

<u>China Biotech ETF</u>	For the period ended 30 September 2020 (unaudited)		
	Portion borne by the Sub-Fund RMB	Portion borne by the Manager RMB	Total RMB
Index licensing fee	357,459	31,320	388,779
Others	542,655	–	542,655
	<u>900,114</u>	<u>31,320</u>	<u>931,434</u>

<u>China Cloud Computing ETF</u>	For the period ended 30 September 2021 (unaudited)		
	Portion borne by the Sub-Fund RMB	Portion borne by the Manager RMB	Total RMB
Index licensing fee	251,098	32,019	283,117
Others	388,579	455,103	843,682
	<u>639,677</u>	<u>487,122</u>	<u>1,126,799</u>

<u>China Cloud Computing ETF</u>	For the period ended 30 September 2020 (unaudited)		
	Portion borne by the Sub-Fund RMB	Portion borne by the Manager RMB	Total RMB
Index licensing fee	249,675	30,951	280,626
Others	370,199	–	370,199
	<u>619,874</u>	<u>30,951</u>	<u>650,825</u>

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6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(e) Bank charges

The bank charges of the Sub-Funds for the periods ended 30 September 2021 and 30 September 2020 were charged by Citibank, N.A., a related company of the Trustee.

(f) Bank balances and financial assets at fair value through profit or loss

Bank balances

The bank balances included in the statement of net assets of all the Sub-Funds were placed with interest-bearing accounts in Citibank, N.A., a related company of the Trustee, except for the bank balances of CSI 300 ETF of RMB359,528 (31 March 2021: RMB575,264) placed with Industrial and Commercial Bank of China Limited. The carrying amounts of the bank balances approximate to their fair values. Interest was earned on these bank balances during the period/year ended 30 September 2021 and 31 March 2021.

Financial assets at fair value through profit or loss

Financial assets at fair value through profit or loss included in the statement of net assets of all the Sub-Funds are held with Citibank, N.A., a related company of the Trustee as of 30 September 2021 and 31 March 2021.

(g) Registration fee payable

For each application of unit creation and unit redemption, the Trustee is entitled to a registration fee of HK\$7,500, for MSCI China ETF and Hang Seng Dividend Yield ETF, and RMB6,000, for CSI 300 ETF, China Biotech ETF and China Cloud Computing ETF. These fees are borne by the participating dealer. The registration fee would be paid to the Sub-Funds or may be set off and deducted against any cash components. The Sub-Funds would pay the registration fee to the Trustee on behalf of the participating dealer. The details of the registration fee due to the Trustee by the Sub-Funds as at the period/year end are shown below.

	As at 30 September 2021 (unaudited)	As at 31 March 2021 (audited)
MSCI China ETF (in HK\$)	30,000	15,000
Hang Seng High Dividend Yield ETF (in HK\$)	130,632	15,000
CSI 300 ETF (in RMB)	46,036	–
China Biotech ETF (in RMB)	184,000	86,000
China Cloud Computing ETF (in RMB)	222,000	60,000

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30 September 2021

6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(h) Redemption payable

As at 30 September 2021, the redemption payable of China Biotech ETF of RMB14,238,885 (31 March 2021: RMB4,863,635) was to Mirae Asset Securities (HK) Limited, a participating dealer of this Sub-Fund and a related company of the Manager.

As at 30 September 2021, the redemption payable of China Cloud Computing ETF of RMB3,459,325 (31 March 2021: RMBnil) was to Mirae Asset Securities (HK) Limited, a participating dealer of this Sub-Fund and a related company of the Manager.

(i) Other income

For the period ended 30 September 2020, the other income of MSCI China ETF of HK\$608,667, Hang Seng Dividend Yield ETF of HK\$7,806, China Biotech ETF of RMB381,342 and China Cloud Computing ETF of RMB301,468 pertains to recharges to the Manager of the custody fee portion of trustee fee payable to Citibank, N.A., which is a related party of the Trustee as at 30 September 2020.

7. AMOUNTS DUE FROM/TO BROKERS

MSCI China ETF

As at 30 September 2021, the amounts due from brokers of MSCI China ETF, Citibank, N.A., which is a related party of the Trustee, of HK\$21,594 represented receivable for securities with shares buyback but not yet settled on the reporting date. The balance is receivable in 3 to 12 months. As at 31 March 2021, there were no amounts due from brokers.

As at 30 September 2021, there were no amounts due to brokers. As at 31 March 2021, the amounts due to brokers of MSCI China ETF, Citibank, N.A., which is a related party of the Trustee, of HK\$2 represented payables for securities purchased that have been contracted for but not yet settled on the reporting date. The balance is repayable in less than 1 month.

China Biotech ETF

As at 30 September 2021, the amounts due from brokers of China Biotech ETF, Mirae Asset Securities (HK) Limited, which is a participating dealer of this Sub-Fund and a related company of the Manager, of RMB1,216,058, Citibank, N.A., which is a related party of the Trustee, of RMB4,562 and UBS Securities Co., Ltd, which is a participating dealer of this Sub-Fund, of RMB769,079 represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balance is receivable in less than 1 month.

As at 31 March 2021, the amounts due from brokers of China Biotech ETF, Mirae Asset Securities (HK) Limited, which is a participating dealer of this Sub-Fund and a related company of the Manager, of RMB17,676 represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balance is receivable in less than 1 month.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

7. AMOUNTS DUE FROM/TO BROKERS (CONTINUED)

China Cloud Computing ETF

As at 30 September 2021, the amounts due from brokers of China Cloud Computing ETF, Citibank, N.A., which is a related party of the Trustee, of HK\$5,478 represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balance is receivable in less than 1 month. As at 31 March 2021, there were no amounts due from brokers

As at 30 September 2021, the amounts due to brokers of China Cloud Computing ETF, Mirae Asset Securities (HK) Limited, which is a participating dealer of this Sub-Fund and a related company of the Manager, of RMB1,960,627 and UBS Securities Co., Ltd, which is a participating dealer of this Sub-Fund, of RMB19,213,847 represented payables for securities purchased that have been contracted for but not yet settled on the reporting date. The balance is repayable in less than 1 month. As at 31 March 2021, there were no amounts due to brokers.

8. SOFT COMMISSION ARRANGEMENTS

The Manager may effect transactions, provided that any such transaction is consistent with standards of “best execution”, by or through the agency of another person for the accounts of the Sub-Funds with whom the Manager or any of its connected persons has an arrangement under which that party will from time to time provide to or procure for the Manager or any of its connected persons goods, services or other benefits (such as advisory services, computer hardware associated with specialised software or research services and performance measures), the nature of which is such that their provision can reasonably be expected to benefit the Sub-Funds as a whole and may contribute to an improvement in the performance of the Sub-Funds. For the avoidance of doubt, such goods and services may not include travel, accommodation, entertainment, general administrative goods or services, general office equipment or premises, membership fees, employees’ salaries and direct money payments. Since the inception of the Sub-Funds, the Manager has not participated in any soft commission arrangements in respect of any transactions for the accounts of the Sub-Funds.

9. TAXATION

No provision for Hong Kong profits tax has been made for the Sub-Funds as they are authorised as collective investment schemes under section 104 of the Hong Kong Securities and Futures Ordinance and are therefore exempt from Hong Kong profits tax under section 26A(1A) of the Hong Kong Inland Revenue Ordinance.

The Sub-Funds invest in shares of companies in the People’s Republic of China (“PRC”) listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange (“A-shares”) and the SEHK (“H-shares”). Under the PRC Corporate Income Tax Law, the Sub-Funds may be liable to pay PRC tax on the capital gains realised from the trading of A-shares and H-shares.

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NOTES TO THE FINANCIAL STATEMENTS

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9. TAXATION (CONTINUED)

PRC taxes on capital gains

The Ministry of Finance (“MOF”), the State Administration of Taxation of the PRC (“SAT”) and the China Securities Regulatory Commission (“CSRC”) jointly issued “Caishui [2014] No. 79 – The Notice on the issues of temporary exemption from the imposition of corporate income tax (“CIT”) arising from gains from the transfer of equity investment assets such as PRC domestic stocks by qualified foreign institutional investor (“QFII”) and RMB Qualified Foreign Institutional Investor (“RQFII”)” (“Circular 79”).

Under Circular 79, the capital gains realised by the QFIIs and the RQFIIs from the disposal of equity investments (including shares in PRC enterprises) are temporarily exempt from PRC withholding income tax (“WIT”) effective from 17 November 2014. Circular 79 also states that gains realised by QFIIs and RQFIIs prior to 17 November 2014 from disposal of equity investments should be subject to PRC WIT according to the PRC CIT Law.

The MOF, SAT and CSRC have also jointly issued a circular concerning the tax treatment for the Shanghai-Hong Kong Stock Connect (Caishui [2014] No. 81 - The Circular Concerning the Tax Treatment for the Pilot Programme of the Shanghai-Hong Kong Stock Connect (“Circular 81”)) which states that the CIT, individual income tax and business tax (“BT”) will be temporarily exempt on gains derived by Hong Kong and overseas investors (including the Sub-Funds) on the trading of China A-shares through the Shanghai-Hong Kong Stock Connect. Also, the MOF, SAT and CSRC have jointly issued a circular concerning the tax treatment for the Shenzhen-Hong Kong Stock Connect (Caishui [2016] No. 127 – The Circular Concerning the Tax Treatment for the Pilot Programme of the Shenzhen-Hong Kong Stock Connect (“Circular 127”)), which states that CIT and individual income tax will be temporarily exempt on gains derived by Hong Kong and overseas investors (including the Sub-Funds) on the trading of China A-shares through the Shenzhen-Hong Kong Stock Connect. The above-mentioned BT would only apply to income derived by the Sub-Funds before 1 May 2016. The value-added tax (“VAT”) reform was fully rolled out and the Circular Caishui [2016] 36 (“Circular 36”) came into effective from 1 May 2016 and it states that income derived by taxpayers from investments in PRC securities would be subject to VAT instead of BT. For capital gains derived from investments in China A-shares via Stock Connect, the Sub-Funds are also temporarily exempt from PRC VAT according to Circular 36 and Circular 127.

With the temporary exemption in Circular 79, Circular 81 and Circular 127, the Sub-Funds did not accrue any PRC CIT and VAT provisions for gains made on China A-shares through RQFII quotas, the Shanghai-Hong Kong Stock Connect or the Shenzhen-Hong Kong Stock Connect.

The Sub-Funds were subject to PRC WIT at a rate of 10% on the dividend income received from China A-shares and China H-shares.

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NOTES TO THE FINANCIAL STATEMENTS

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10. DISTRIBUTIONS TO UNITHOLDERS

	<u>Hang Seng High Dividend Yield ETF</u>	
	For the period ended 30 September 2021 (unaudited)	For the period ended 30 September 2020 (unaudited)
	HK\$	HK\$
Undistributed income at the beginning of period	-	-
(Loss)/profit after tax and total comprehensive income/(loss) for the period	(3,829,163)	1,736,718
Net change in unrealized losses/(gains) on financial assets at fair value through profit or loss	14,296,271	(7,435,688)
Net distributable income before distribution	<u>10,467,108</u>	<u>(5,698,970)</u>
Interim distribution to unitholders dated 30 September 2021, HK\$1.68 per unit (2020:nil)	(9,744,000)	-
Transfer (to)/from net assets attributable to unitholders	<u>(723,108)</u>	<u>5,698,970</u>
Undistributed income at the period end	<u>-</u>	<u>-</u>

There were no distributions made to unitholders of MSCI China ETF, CSI 300 ETF, China Biotech ETF and China Cloud Computing ETF for the periods ended 30 September 2021 and 30 September 2020.

As at 30 September 2021, no distribution (31 March 2021: HK\$680,000) was payable by Hang Seng High Dividend Yield ETF. There were no distribution payable by MSCI China ETF, CSI 300 ETF, China Biotech ETF and China Cloud Computing ETF as at 30 September 2021 and 31 March 2021.

The Manager may in its absolute discretion distribute income to unitholders at such time or times as it may determine in each financial period or determine that no distribution shall be made in any financial period. The amount to be distributed to unitholders, if any, will be derived from the net income of the Sub-Funds.

11. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE

The UT Code allows the Sub-Funds to invest in constituent securities issued by a single issuer for more than 10% of the Sub-Funds' net asset value provided that:

- (a) the investment is limited to any constituent securities that each accounts for more than 10% of the weighting of the tracked index; and
- (b) the Sub-Funds' holding of any such constituent securities may not exceed their respective weightings in the tracked index, except where weightings are exceeded as a result of changes in the composition of the tracked index and the excess is only transitional and temporary in nature.

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NOTES TO THE FINANCIAL STATEMENTS

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11. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE (CONTINUED)

Constituent securities that account for more than 10% of the net asset value of the Sub-Funds as at year/period end were as follows:

<u>As at 30 September 2021 (unaudited)</u>	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>MSCI China ETF</i>		
Alibaba Group Holding Ltd	10.36%	10.29%
Tencent Holdings Ltd	12.75%	12.66%
<i>China Biotech ETF</i>		
BeiGene Ltd	11.20%	11.19%
WuXi AppTec Co Ltd	10.34%	10.33%
<i>China Cloud Computing ETF</i>		
Meituan	10.05%	10.03%
<u>As at 31 March 2021 (audited)</u>	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>MSCI China ETF</i>		
Alibaba Group Holding Ltd	14.07%	13.95%
Tencent Holdings Ltd	14.78%	14.66%
<i>China Biotech ETF</i>		
BeiGene Ltd	11.66%	11.81%
<i>China Cloud Computing ETF</i>		
Tencent Holdings Ltd	11.07%	11.05%

Hang Seng High Dividend Yield ETF and CSI 300 ETF did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 30 September 2021 and 31 March 2021.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2021

12. SEGMENT INFORMATION

The Manager makes the strategic resource allocations on behalf of the Sub-Funds and has determined the operating segments based on the reports reviewed which are used to make strategic decisions.

The Manager considers that each Sub-Fund has a single operating segment which is investing in securities. The objectives of the Sub-Funds are to track the performance of their respective indices and invest in substantially all the index constituents with security weight and industry weight that are closely aligned with the characteristics of the tracked indices.

The internal financial information used by the Manager for the Sub-Funds' assets, liabilities and performance is the same as that disclosed in the statement of net assets and statement of profit or loss and other comprehensive income.

There were no changes in the reportable segment during the period.

The Sub-Funds are domiciled in Hong Kong. Majority of the Sub-Funds' income is derived from investments in securities which constitute the relevant tracked indices. The Sub-Funds' investments have been categorised by the relevant industry but no industrial information for performance is provided as the Manager considers that the cost to develop it would be excessive and the information is generally not used by the Manager in managing the Sub-Funds.

The Sub-Funds have no assets and liabilities classified as non-current (31 March 2021: nil). The Sub-Funds have portfolios that closely correspond to the security weight and industry weight of the relevant tracked indices. Refer to note 11 for unit holdings that account for more than 10% of each Sub-Fund's net asset value.

13. EVENTS AFTER THE REPORTING PERIOD

The fee structure of the following Sub-Funds has been changed such that the Sub-Funds have employed a single management fee structure, whereby a single flat fee will be paid out of the assets of the Sub-Funds to cover all of the costs, fees and expenses of the Sub-Funds (the "Single Management Fee"). The ongoing charges of the Sub-Funds will also be adjusted from the Effective Date (as shown below) such that it will be equal to the rate of the Single Management Fee.

	Effective date	Management fee (p.a.)
Hang Seng High Dividend Yield ETF	1 October 2021	0.68%
China Biotech ETF	1 October 2021	0.68%
China Cloud Computing ETF	1 November 2021	0.68%

Note: MSCI China ETF has been charging a single management fee of 0.18% since 1 March 2021.

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2021

MSCI China ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities			
China			
360 SECURITY TECHNOLOGY IN-A	16,300	233,569	0.03%
ADDSIND CO LTD -A	3,700	67,255	0.01%
ADVANCED MICRO-FABRICATION-A	1,405	257,252	0.03%
AECC AVIATION POWER CO LTD	6,100	391,355	0.05%
AGRICULTURAL BANK OF CHINA-A	170,200	603,556	0.08%
AIER EYE HOSPITAL GROUP CO-A	12,229	787,667	0.10%
AIR CHINA LTD-A	15,200	136,404	0.02%
ALUMINUM CORP OF CHINA LTD	29,900	279,501	0.03%
ANGEL YEAST CO LTD-A	1,900	114,976	0.01%
ANHUI CONCH CEMENT CO LTD-A	9,100	447,829	0.06%
ANHUI GUJING DISTILLERY CO-A	900	258,927	0.03%
ANHUI GUJING DISTILLERY CO-B	3,397	344,422	0.04%
ANHUI HONGLU STEEL CONSTRU-A	1,200	63,541	0.01%
ANHUI KOUZI DISTILLERY CO -A	1,400	85,243	0.01%
APELOA PHARMACEUTICAL CO-A	2,700	124,340	0.02%
ASYMCHEM LABORATORIES TIAN-A	600	322,700	0.04%
AUTOBIO DIAGNOSTICS CO LTD-A	1,340	86,148	0.01%
AVARY HOLDING SHENZHEN CO -A	3,500	140,706	0.02%
AVIC AERO-ENGINE CONTROLS-A	2,600	75,485	0.01%
AVIC CAPITAL CO LTD -A	20,400	94,241	0.01%
AVIC ELECTROMECHANICAL SY-A	8,900	142,131	0.02%
BANK OF BEIJING CO LTD -A	48,300	254,006	0.03%
BANK OF CHENGDU CO LTD-A	8,300	118,734	0.01%
BANK OF CHINA LTD-A	80,300	295,411	0.04%
BANK OF COMMUNICATIONS-A	89,700	486,873	0.06%
BANK OF HANGZHOU CO LTD-A	13,540	243,831	0.03%
BANK OF JIANGSU CO LTD-A	33,700	236,572	0.03%
BANK OF NANJING CO LTD -A	22,900	249,974	0.03%
BANK OF NINGBO CO LTD -A	13,700	580,840	0.07%
BANK OF SHANGHAI CO LTD-A	32,436	285,993	0.04%
BAOSHAN IRON & STEEL CO-A	50,900	534,131	0.07%
BBMG CORPORATION-A	19,100	64,967	0.01%
BEIJING BDSTAR NAVIGATION -A	1,200	58,490	0.01%
BEIJING DABEINONG TECHNOLO-A	9,500	86,628	0.01%
BEIJING E-HUALU INFO TECH-A	1,480	53,090	0.01%
BEIJING ENLIGHT MEDIA CO L-A	6,700	80,167	0.01%
BEIJING KINGSOFT OFFICE SO-A	878	300,042	0.04%
BEIJING KUNLUN TECH CO LTD-A	2,700	55,201	0.01%
BEIJING NEW BUILDING MATER-A	3,900	150,296	0.02%
BEIJING ORIGINWATER TECHNO-A	7,200	67,478	0.01%
BEIJING ROBOROCK TECHNOLOG-A	200	170,536	0.02%
BEIJING SHIJI INFORMATION -A	2,280	70,072	0.01%
BEIJING SHUNXIN AGRICULT-A	1,700	72,465	0.01%
BEIJING SINNET TECHNOLOGY-A	3,500	54,332	0.01%
BEIJING TANTAN BIOLOGICAL-A	3,180	122,779	0.02%
BEIJING UNITED INFORMATION-A	800	110,119	0.01%
BEIJING WANGFUJING DEPT ST-A	1,800	64,482	0.01%
BEIJING WANTAI BIOLOGICAL-A	900	240,994	0.03%
BEIJING YANJING BREWERY CO-A	6,400	50,563	0.01%
BEIJING YUANLIU HONGYUAN E-A	500	91,066	0.01%
BEIJING-SHANGHAI HIGH SPE-A	93,500	536,821	0.07%
BETTA PHARMACEUTICALS CO L-A	900	101,619	0.01%
BGI GENOMICS CO LTD-A	900	94,997	0.01%
BOC INTERNATIONAL CHINA CO-A	4,200	76,090	0.01%
BOE TECHNOLOGY GROUP CO LT-A	85,700	522,015	0.06%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
BYD CO LTD -A	4,100	1,233,907	0.15%
BY-HEALTH CO LTD-A	3,900	132,843	0.02%
C&S PAPER CO LTD-A	3,000	62,528	0.01%
CAITONG SECURITIES CO LTD-A	8,200	106,918	0.01%
CANSINO BIOLOGICS INC-A	221	90,310	0.01%
CHACHA FOOD CO LTD - A	1,200	67,276	0.01%
CHANGCHUN HIGH & NEW TECH-A	900	298,159	0.04%
CHANGJIANG SECURITIES CO L-A	12,600	116,263	0.01%
CHANGSHA ZOOMLION HEAVY INDS	16,200	161,205	0.02%
CHANGZHOU XINGYU AUTOMOTIV-A	600	130,991	0.02%
CHAOZHOU THREE-CIRCLE GROU-A	4,200	187,946	0.02%
CHIFENG JILONG GOLD MINING-A	3,800	70,356	0.01%
CHINA BAOAN GROUP-A	5,900	136,493	0.02%
CHINA COMMON RICH RENEWABLE ENERGY INVES ¹	583,345	-	-
CHINA CONSTRUCTION BANK-A	21,900	157,699	0.02%
CHINA COSCO HOLDINGS-A	35,040	730,329	0.09%
CHINA CSSC HOLDINGS LTD-A	10,200	308,805	0.04%
CHINA EASTERN AIRLINES CO-A	25,600	145,436	0.02%
CHINA EVERBRIGHT BANK CO-A	94,500	386,405	0.05%
CHINA FORTUNE LAND DEVELOP-A	8,950	43,289	0.01%
CHINA GALAXY SECURITIES CO-A	9,800	123,879	0.02%
CHINA GREAT WALL SECURITIE-A	5,900	84,259	0.01%
CHINA GREATWALL TECHNOLOGY-A	6,700	106,593	0.01%
CHINA INTERNATIONAL CAPTAL-A	2,400	165,642	0.02%
CHINA JUSHI CO LTD-A	9,115	193,280	0.02%
CHINA LIFE INSURANCE CO- A	6,300	226,447	0.03%
CHINA MERCHANTS BANK-A	47,163	2,869,943	0.36%
CHINA MERCHANTS ENERGY -A	18,460	122,908	0.02%
CHINA MERCHANTS PROPERTY O-A	2,400	45,130	0.01%
CHINA MERCHANTS SECURITIES-A	17,000	375,651	0.05%
CHINA MERCHANTS SHEKOU IND-A	18,100	282,503	0.03%
CHINA MINSHENG BANKING-A	80,980	381,914	0.05%
CHINA MOLYBDENUM CO LTD-A	40,400	294,326	0.04%
CHINA NATIONAL CHEMICAL-A	11,300	147,202	0.02%
CHINA NATIONAL MEDICINES-A	1,700	60,121	0.01%
CHINA NATIONAL NUCLEAR POW-A	33,200	288,725	0.04%
CHINA NATIONAL SOFTWARE -A	1,100	65,517	0.01%
CHINA NORTHERN RARE EARTH-A	8,300	443,199	0.06%
CHINA PACIFIC INSURANCE GR-A	15,600	510,676	0.06%
CHINA PETROLEUM & CHEMICAL-A	72,800	391,631	0.05%
CHINA RAILWAY GROUP LTD -A	46,500	317,453	0.04%
CHINA RAILWAY SIGNAL & COM-A	16,290	101,387	0.01%
CHINA RESOURCES SANJIU MED-A	2,200	75,043	0.01%
CHINA SHENHUA ENERGY CO -A	15,100	412,712	0.05%
CHINA SHIPPING DEVELOPMENT-A	7,900	65,272	0.01%
CHINA SOUTH PUBLISHING -A	4,100	44,310	0.01%
CHINA SOUTHERN AIRLINES CO-A	29,000	202,179	0.02%
CHINA STATE CONSTRUCTION -A	95,880	555,111	0.07%
CHINA TOURISM GROUP DUTY F-A	4,500	1,411,226	0.18%
CHINA TRANSINFO TECHNOLOGY-A	3,600	64,178	0.01%
CHINA UNITED NETWORK-A	70,900	351,478	0.04%
CHINA VANKE CO LTD -A	22,200	570,620	0.07%
CHINA YANGTZE POWER CO LTD-A	52,000	1,379,865	0.17%
CHINA ZHESHANG BANK CO LTD-A	38,200	163,570	0.02%
CHONGQING BREWERY CO-A	1,100	174,115	0.02%
CHONGQING CHANGAN AUTOMOB-A	14,560	293,284	0.04%

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2021.

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
CHONGQING FULING ZHACAI - A	2,000	74,228	0.01%
CHONGQING RURAL COMMERCIAL-A	20,200	94,048	0.01%
CHONGQING ZHIFEI BIOLOGICA-A	3,700	709,549	0.09%
CITIC SECURITIES CO-A SHARES	24,308	741,203	0.09%
CONTEMPORARY AMPEREX TECHN-A	5,300	3,360,851	0.42%
CRRC CORP LTD	55,600	416,464	0.05%
CSC FINANCIAL CO LTD-A	9,900	368,981	0.05%
DA AN GENE CO LTD SUN YAT-A	3,232	72,393	0.01%
DASHENLIN PHARMACEUTICAL G-A	1,800	92,077	0.01%
DHC SOFTWARE CO LTD -A	7,100	65,171	0.01%
DONG-E E-JIAO-A	1,500	63,360	0.01%
DONGFANG ELECTRIC CORP LTD-A	6,400	141,267	0.02%
DONGXING SECURITIES CO LT-A	6,300	86,096	0.01%
EAST MONEY INFORMATION CO-A	23,568	977,041	0.12%
ECOVACS ROBOTICS CO LTD-A	1,100	201,540	0.02%
ENN ECOLOGICAL HOLDINGS CO-A	4,300	94,810	0.01%
EVE ENERGY CO LTD-A	4,292	512,669	0.06%
EVERBRIGHT SECURITIE CO -A	8,900	166,714	0.02%
FANGDA CARBON NEW MATERIAL-A	8,717	106,720	0.01%
FAW CAR COMPANY LIMITED-A	7,100	92,061	0.01%
FIBERHOME TELECOM TECH CO-A	2,600	55,320	0.01%
FINANCIAL STREET HOLDING-A	6,800	49,212	0.01%
FIRST CAPITAL SECURITIES C-A	9,600	83,834	0.01%
FIRST SENTIER BRIDGE	3,600	286,370	0.04%
FLAT GLASS GROUP CO LTD-A	3,200	178,128	0.02%
FOCUS MEDIA INFORMATION TE-A	33,560	296,308	0.04%
FOSHAN HAITIAN FLAVOURING -A	8,206	1,088,767	0.14%
FOUNDER SECURITIES CO LTD-A	18,800	185,491	0.02%
FOXCONN INDUSTRIAL INTERNE-A	16,600	232,662	0.03%
FU JIAN ANJOY FOODS CO LTD-A	600	138,944	0.02%
FUJIAN SUNNER DEVELOPMENT-A	2,800	72,950	0.01%
FUYAO GROUP GLASS INDUSTR-A	4,600	234,420	0.03%
G-BITS NETWORK TECHNOLOGY-A	200	94,391	0.01%
GCL SYSTEM INTEGRATION TEC-A	13,400	66,267	0.01%
GEM CO LTD-A	10,900	146,987	0.02%
GEMDALE CORP-A	10,300	139,144	0.02%
GF SECURITIES CO LTD-A	13,500	341,300	0.04%
GIANT NETWORK GROUP CO LTD-A	3,900	48,923	0.01%
GIGADEVICE SEMICONDUCTOR B-A	1,596	279,114	0.03%
GOERTEK INC -A	7,800	405,492	0.05%
GREAT WALL MOTOR CO LTD-A	5,100	323,569	0.04%
GREENLAND HOLDINGS CORP LT-A	19,465	110,348	0.01%
GRG BANKING EQUIPMENT CO -A	5,700	67,446	0.01%
GUANGDONG HAID GROUP CO-A	3,800	308,926	0.04%
GUANGDONG HONGDA BLASTING -A	1,700	55,630	0.01%
GUANGDONG KINLONG HARDWARE-A	700	115,985	0.01%
GUANGDONG LY INTELLIGENT M-A	16,100	125,450	0.02%
GUANGDONG XINBAO ELECTRICA-A	1,900	42,099	0.00%
GUANGZHOU BAIYUNSHAN PHAR-A	3,200	114,905	0.01%
GUANGZHOU HAIGE COMMUNICAT-A	5,300	65,462	0.01%
GUANGZHOU KINGMED DIAGNOST-A	1,100	135,890	0.02%
GUANGZHOU TINCI MATERIALS -A	2,210	405,499	0.05%
GUANGZHOU WONDFO BIOTECH C-A	970	50,310	0.01%
GUANGZHOU YUEXIU FINANCIAL-A	5,670	57,790	0.01%
GUANGZOU SHIYUAN ELECTRON-A	1,500	141,756	0.02%
GUOLIAN SECURITIES CO LTD-A	3,700	59,222	0.01%
GUOSEN SECURITIES CO LTD-A	14,600	208,152	0.03%
GUOTAI JUNAN SECURITIES CO-A	17,200	369,905	0.05%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
GUOXUAN HIGH-TECH CO LTD	2,900	166,116	0.02%
GUOYUAN SECURITIES CO LTD-A	10,000	93,479	0.01%
HAFEI AVIATION INDUSTRY CO-A	1,300	87,182	0.01%
HAIER SMART HOME CO LTD-A	14,400	454,198	0.06%
HAITONG SECURITIES CO LTD-A	22,100	323,610	0.04%
HANG ZHOU GREAT STAR INDUS-A	2,600	86,179	0.01%
HANGZHOU FIRST APPLIED MAT-A	2,100	321,181	0.04%
HANGZHOU OXYGEN PLANT GROU-A	2,200	75,043	0.01%
HANGZHOU ROBAM APPLIANCES-A	2,200	89,691	0.01%
HANGZHOU SILAN MICROELECTR-A	3,000	206,582	0.03%
HANGZHOU TIGERMED CONSULTI-A	850	178,393	0.02%
HEFEI MEIYA OPTOELECTRONIC	1,500	68,806	0.01%
HEILONGJIANG AGRICULTURE-A	4,100	74,922	0.01%
HENAN SHUANGHUI INVESTMENT-A	6,600	216,135	0.03%
HENGLI PETROCHEMICAL CO L-A	13,380	420,250	0.05%
HENGYI PETROCHEMICAL CO -A	8,460	117,349	0.01%
HESTEEL CO LTD	24,300	81,482	0.01%
HITHINK ROYALFLUSH INFORM-A	1,200	173,530	0.02%
HONGFA TECHNOLOGY CO LTD-A	1,700	127,910	0.02%
HUAAN SECURITIES CO LTD-A	10,800	69,823	0.01%
HUADIAN POWER INTL CORP-A	15,500	88,431	0.01%
HUADONG MEDICINE CO LTD-A	3,980	142,481	0.02%
HUAGONG TECH CO LTD-A	2,300	81,035	0.01%
HUALAN BIOLOGICAL ENGINEER-A	4,210	141,676	0.02%
HUANENG POWER INTL INC-A	16,800	167,581	0.02%
HUATAI SECURITIES CO LTD-A	16,818	344,650	0.04%
HUAXI SECURITIES VCO LTD -A	6,000	69,620	0.01%
HUAXIA BANK CO LTD-A	29,300	196,849	0.02%
HUAXIN CEMENT CO LTD-A	3,100	76,466	0.01%
HUAYU AUTOMOTIVE SYSTEMS -A	7,200	198,180	0.02%
HUBEI BIOCAUSE PHARMACEUTI-A	11,300	45,660	0.01%
HUIZHOU DESAY SV AUTOMOTIV-A	1,000	101,415	0.01%
HUNAN VALIN STEEL CO LTD -A	14,000	111,957	0.01%
HUNDSUN ELECTRONIC CO LTD-A	3,311	228,756	0.03%
IFLYTEK CO LTD	5,300	338,176	0.04%
IMEIK TECHNOLOGY DEVELOPME-A	400	286,013	0.04%
IND & COMM BK OF CHINA - A	143,700	807,706	0.10%
INDUSTRIAL BANK CO LTD -A	47,500	1,048,468	0.13%
INDUSTRIAL SECURITIES CO-A	15,300	181,592	0.02%
INGENIC SEMICONDUCTOR CO -A	1,100	170,493	0.02%
INNER MONGOLIA JUNZHENG EN-A	19,300	133,157	0.02%
INNER MONGOLIA YILI INDUS-A	13,900	632,072	0.08%
INNER MONGOLIAN BAOTOU STEEL	104,200	398,417	0.05%
INSPUR ELECTRONIC INFORMAT-A	3,300	113,520	0.01%
INTCO MEDICAL TECHNOLOGY C-A	1,200	84,862	0.01%
JAFRON BIOMEDICAL CO LTD-A	1,860	131,379	0.02%
JASON FURNITURE HANGZHOU C-A	1,400	101,319	0.01%
JIANGSU CHANGJIANG ELECTRONI	4,100	158,596	0.02%
JIANGSU CHANGSHU RURAL COM-A	6,300	48,709	0.01%
JIANGSU EASTERN SHENGHONG -A	7,400	250,991	0.03%
JIANGSU HENGLI HIGHPRESSUR-A	2,952	301,158	0.04%
JIANGSU HENGRUI MEDICINE C-A	14,568	882,620	0.11%
JIANGSU KING'S LUCK BREWER-A	2,900	158,455	0.02%
JIANGSU SHAGANG CO LTD -A	5,000	41,372	0.00%
JIANGSU YANGHE BREWERY -A	3,400	681,094	0.08%
JIANGSU YANGNONG CHEMICAL -A	700	88,527	0.01%
JIANGSU YOKE TECHNOLOGY-A	1,100	101,314	0.01%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
JIANGSU YUYUE MEDICAL EQU-A	2,300	89,912	0.01%
JIANGSU ZHONGNAN CONSTRUCT-A	8,600	46,160	0.01%
JIANGSU ZHONGTIAN TECHNOLO-A	7,000	76,833	0.01%
JIANGXI COPPER CO LTD-A	4,700	138,664	0.02%
JIANGXI GANFENG LITHIUM CO-A	2,600	510,989	0.06%
JIANGXI ZHENGBANG TECH-A	7,200	81,895	0.01%
JILIN AODONG MEDICINE INDUST	2,700	54,842	0.01%
JINKE PROPERTIES CO - A	12,200	75,931	0.01%
JIUGUI LIQUOR CO LTD-A	700	209,164	0.03%
JOINCARE PHARMACEUTICAL GR-A	4,500	61,768	0.01%
JOINN LABORATORIES CHINA C-A	700	133,403	0.02%
JOINTOWN PHARMACEUTICAL-A	4,300	80,288	0.01%
JONJEE HIGH-TECH INDUSTRIA-A	1,800	69,128	0.01%
JOYOUNG CO LTD -A	1,800	47,157	0.01%
JUEWEI FOOD CO LTD-A	1,400	107,989	0.01%
KINGFA SCI.& TECH CO LTD-A	5,900	104,398	0.01%
KUANG-CHI TECHNOLOGIES CO-A	4,900	132,744	0.02%
KWEICHOW MOUTAI CO LTD-A	2,900	6,401,176	0.80%
LAKALA PAYMENT CO LTD-A	1,800	53,692	0.01%
LAOBAIXING PHARMACY CHAIN-A	900	50,804	0.01%
LENS TECHNOLOGY CO LTD-A	11,400	287,658	0.04%
LEO GROUP CO LTD-A	15,400	46,624	0.01%
LEPU MEDICAL TECHNOLOGY-A	4,100	132,683	0.02%
LEYARD OPTO ELECTRONIC CO L-A	5,800	72,477	0.01%
LIAONING CHENG DA CO LTD-A	3,500	104,274	0.01%
LIVON PHARMACEUTICAL GROU-A	1,400	65,536	0.01%
LOMON BILLIONS GROUP CO-A	5,100	176,610	0.02%
LONGI GREEN ENERGY TECHNOL-A	12,336	1,227,252	0.15%
LUXI CHEMICAL GROUP CO LT-A	4,400	99,775	0.01%
LUXSHARE PRECISION INDUSTR-A	16,040	690,884	0.09%
LUZHOU LAOJIAO CO LTD-A	3,300	881,973	0.11%
MACCURA BIOTECH CO LTD-A	1,300	45,191	0.01%
MANGO EXCELLENT MEDIA CO L-A	4,270	224,505	0.03%
MAXSCEND MICROELECTRONICS -A	720	305,693	0.04%
MEINIAN ONEHEALTH HEALTHCA-A	8,953	80,128	0.01%
METALLURGICAL CORP OF CHIN-A	40,790	214,020	0.03%
MIDEA GROUP CO LTD-A	8,000	671,599	0.08%
MING YANG SMART ENERGY GRO-A	4,500	135,423	0.02%
MONTAGE TECHNOLOGY CO LTD-A	1,758	125,976	0.02%
MUYUAN FOODSTUFF CO LTD-A	12,012	751,958	0.09%
NANJI E-COMMERCE CO LTD-A	5,600	44,580	0.01%
NANJING KING-FRIEND BIOCHE-A	2,823	122,581	0.01%
NANJING SECURITIES CO LTD-A	8,400	100,914	0.01%
NANYANG TOPSEC TECHNOLOGIE-A	2,700	52,107	0.01%
NARI TECHNOLOGY DEVELOPMEN-A	12,660	548,352	0.07%
NATIONAL SILICON INDUSTRY -A	4,723	163,269	0.02%
NAURA TECHNOLOGY GROUP CO-A	1,100	485,195	0.06%
NAVINFO CO LTD - A	5,200	69,119	0.01%
NEW CHINA LIFE INSURANCE C-A	4,800	232,512	0.03%
NEW HOPE LIUHE CO LTD-A	10,300	183,373	0.02%
NINESTAR CORP-A	2,500	112,627	0.01%
NINGBO JOYSON ELECTRONIC-A	3,100	65,211	0.01%
NINGBO TUOPU GROUP CO LTD-A	2,500	109,883	0.01%
NINGXIA BAOFENG ENERGY GRO-A	14,000	271,534	0.03%
NORTHEAST SECURITIES CO LT-A	5,300	55,169	0.01%
OFFCN EDUCATION TECHNOLOGY-A	5,200	67,990	0.01%
OFFSHORE OIL ENGINEERING-A	10,100	58,354	0.01%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
OPPEIN HOME GROUP INC-A	1,160	183,109	0.02%
ORIENT SECURITIES CO LTD-A	13,600	248,192	0.03%
OVCTEK CHINA INC-A	1,989	195,909	0.02%
PACIFIC SECURITIES CO/THE-A	15,600	61,341	0.01%
PANGANG GROUP VANADIUM TIT-A	19,600	113,241	0.01%
PERFECT WORLD CO LTD-A	4,400	80,032	0.01%
PETROCHINA CO LTD-A	49,300	357,382	0.04%
PHARMARON BEIJING CO LTD-A	1,500	389,734	0.05%
PICC HOLDING CO-A	13,500	82,394	0.01%
PING AN BANK CO LTD-A	44,300	958,064	0.12%
PING AN INSURANCE GROUP CO-A	24,800	1,446,601	0.18%
POLY REAL ESTATE GROUP CO -A	27,325	462,411	0.06%
POSTAL SAVINGS BANK OF CHI-A	60,800	372,544	0.05%
POWER CONSTRUCTION CORP OF-A	35,000	357,571	0.04%
PROYA COSMETICS CO LTD-A	500	104,636	0.01%
QIANHE CONDIMENT AND FOOD-A	1,820	43,136	0.01%
QINGDAO RURAL COMMERCIAL B-A	12,700	59,589	0.01%
RAYTRON TECHNOLOGY CO LTD-A	928	98,165	0.01%
RISESUN REAL ESTATE DEVEL-A	9,900	57,556	0.01%
RIYUE HEAVY INDUSTRY CO LT-A	2,200	91,814	0.01%
RONGSHENG PETRO CHEMICAL-A	23,100	523,818	0.07%
S F HOLDING CO LTD-A	10,400	819,765	0.10%
SAIC MOTOR CORPORATION LTD-A	17,800	409,646	0.05%
SAILUN GROUP CO LTD-A	7,000	96,759	0.01%
SANAN OPTOELECTRONICS CO L-A	10,200	389,882	0.05%
SANGFOR TECHNOLOGIES INC-A	900	254,672	0.03%
SANY HEAVY INDUSTRY CO LTD-A	19,400	595,291	0.07%
SDIC ESSENCE HOLDINGS CO L-A	14,652	155,521	0.02%
SDIC POWER HOLDINGS CO LTD-A	15,900	231,289	0.03%
SEALAND SECURITIES CO LTD -A	12,460	61,769	0.01%
SEAZEN HOLDINGS CO LTD-A	5,200	233,824	0.03%
SG MICRO CORP-A	500	200,665	0.02%
SHAANXI COAL INDUSTRY CO L-A	22,800	407,012	0.05%
SHANDONG BUCHANG PHARMACEU-A	2,654	59,030	0.01%
SHANDONG GOLD MINING CO LT-A	8,304	196,415	0.02%
SHANDONG HUALU HENGSHENG-A	4,870	193,433	0.02%
SHANDONG LINGLONG TYRE CO -A	3,100	131,842	0.02%
SHANDONG NANSHAN ALUMINUM-A	27,300	143,898	0.02%
SHANDONG PHARMACEUTICAL - A	1,400	50,322	0.01%
SHANDONG SUN PAPER INDUSTR-A	6,000	86,555	0.01%
SHANGHAI BAIRUN FLAVOR & F-A	1,680	150,945	0.02%
SHANGHAI BAOSIGHT SOFTWARE-A	2,500	199,019	0.02%
SHANGHAI CONSTRUCTION CO-A	20,300	100,390	0.01%
SHANGHAI ELECTRIC GRP CO L-A	29,200	183,146	0.02%
SHANGHAI FOSUN PHARMACEUTI-A	4,600	290,182	0.04%
SHANGHAI FRIENDSHIP GROUP-A	3,700	64,399	0.01%
SHANGHAI INTERNATIONAL AIR-A	2,200	117,421	0.01%
SHANGHAI INTERNATIONAL POR-A	21,200	155,727	0.02%
SHANGHAI JAHWA UNITED CO -A	1,500	79,336	0.01%
SHANGHAI JINJIANG INTERNET-A	2,100	115,123	0.01%
SHANGHAI LINGANG HOLDINGS-A	3,620	65,714	0.01%
SHANGHAI LUJIAZUI FIN&TRAD-B	270,946	1,910,952	0.24%
SHANGHAI M&G STATIONERY IN-A	2,100	172,191	0.02%
SHANGHAI PHARMACEUTICALS-A	4,400	101,526	0.01%
SHANGHAI PUDONG DEVEL BANK-A	67,100	728,410	0.09%
SHANGHAI PUTAILAI NEW ENER-A	1,580	327,790	0.04%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
SHANGHAI RAAS BLOOD PRODUC-A	15,400	126,682	0.02%
SHANGHAI YUYUAN TOURIST-A	7,400	91,131	0.01%
SHANGHAI ZHANGJIANG HIGH-A	3,500	71,261	0.01%
SHANXI LU'AN ENVIRONMENTAL-A	6,800	123,112	0.02%
SHANXI MEIJIN ENERGY CO LT -A	9,800	127,307	0.02%
SHANXI SECURITIES CO LTD-A	8,200	64,883	0.01%
SHANXI TAIGANG STAINLESS-A	13,000	152,256	0.02%
SHANXI XINGHUACUN FEN WINE-A	2,740	1,042,703	0.13%
SHANXI XISHAN COAL & ELEC-A	9,360	133,897	0.02%
SHENERGY COMPANY LIMITED-A	11,200	106,993	0.01%
SHENGHE RESOURCES HOLDINGS-A	4,000	96,977	0.01%
SHENGYI TECHNOLOGY CO LTD -A	5,200	135,854	0.02%
SHENNAN CIRCUITS CO LTD-A	1,080	123,519	0.02%
SHENWAN HONGYUAN GROUP CO-A	51,500	341,649	0.04%
SHENZHEN CAPCHEM TECHNOLOG-A	900	168,262	0.02%
SHENZHEN ENERGY GROUP CO L-A	9,080	104,264	0.01%
SHENZHEN GOODIX TECHNOLOGY- A	1,000	133,620	0.02%
SHENZHEN HEPALINK PHARMACE-A	2,400	44,870	0.01%
SHENZHEN INOVANCE TECHNOLO-A	5,900	448,336	0.06%
SHENZHEN KAIFA TECHNOLOGY-A	3,600	61,008	0.01%
SHENZHEN KANGTAI BIOLOGICA-A	1,600	212,538	0.03%
SHENZHEN MINDRAY BIO-MEDIC-A	2,800	1,301,676	0.16%
SHENZHEN MTC CO LTD -A	10,300	61,497	0.01%
SHENZHEN O-FILM TECH CO-A	6,200	62,818	0.01%
SHENZHEN OVERSEAS CHINESE-A	18,700	168,941	0.02%
SHENZHEN SALUBRIS PHARM-A	2,500	87,297	0.01%
SHENZHEN SC NEW ENERGY TEC-A	800	132,583	0.02%
SHENZHEN SUNLORD ELECTRONI-A	1,800	73,666	0.01%
SHENZHEN SUNWAY COMMUNICAT-A	2,200	59,812	0.01%
SHIJIAZHUANG YILING PHARMA-A	3,880	77,828	0.01%
SICHUAN CHUANYOU ENERGY CO-A	8,400	145,595	0.02%
SICHUAN KELUN PHARMACEUTIC-A	3,300	71,527	0.01%
SICHUAN ROAD&BRIDGE CO LT-A	10,900	110,963	0.01%
SICHUAN SWELLFUN CO LTD-A	1,100	168,702	0.02%
SINOLINK SECURITIES CO LTD-A	6,900	95,044	0.01%
SINOMA SCIENCE&TECHNOLOGY -A	3,800	162,255	0.02%
SINOPEC SHANGHAI PETROCHE-A	14,000	71,767	0.01%
SINOTRANS LIMITED-A	10,000	65,857	0.01%
SKSHU PAINT CO LTD-A	868	104,696	0.01%
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	5,960	101,218	0.01%
SOOCHOW SECURITIES CO LTD-A	8,900	107,028	0.01%
SOUTHWEST SECURITIES CO LT-A	15,200	93,686	0.01%
SPRING AIRLINES CO LTD-A	2,100	138,249	0.02%
STARPOWER SEMICONDUCTOR LT-A	400	196,665	0.02%
SUNGROW POWER SUPPLY CO LT-A	3,300	590,609	0.07%
SUNING APPLIANCE CO LTD-A	21,233	127,798	0.02%
SUNWODA ELECTRONIC CO LTD-A	3,700	166,911	0.02%
SUOFEIYA HOME COLLECTION C-A	1,000	20,059	0.00%
SUZHOU DONGSHAN PRECISION-A	3,900	98,221	0.01%
SUZHOU GOLD MANTIS CONSTR -A	6,100	46,427	0.01%
SUZHOU MAXWELL TECHNOLOGIE-A	200	147,040	0.02%
TANGSHAN JIDONG CEMENT INV-A	3,200	49,675	0.01%
TBEA CO LTD-A	8,500	248,623	0.03%
TCL CORP-A	32,100	242,376	0.03%
THUNDER SOFTWARE TECHNOLOG-A	1,000	151,001	0.02%
TIANFENG SECURITIES CO LTD-A	19,800	104,127	0.01%
TIANJIN ZHONGHUAN SEMICOND-A	6,900	381,758	0.05%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
TIANJIN712 COMMUNICATION &-A	1,800	79,810	0.01%
TIANMA MICROELECTRONICS-A	5,600	91,660	0.01%
TIANSHUI HUATIAN TECHNOLOG-A	6,300	91,871	0.01%
TOLY BREAD CO LTD-A	2,160	75,737	0.01%
TONGFANG GUOXIN ELECTRONIC-A	1,400	349,212	0.04%
TONGFU MICROELECTRONIC CO-A	3,000	68,643	0.01%
TONGHUA DONGBAO PHARMACEUT-A	4,600	58,369	0.01%
TONGKUN GROUP CO LTD-A	5,200	137,610	0.02%
TONGLING NONFERROUS METALS-A	24,100	113,659	0.01%
TONGWEI CO LTD-A	10,300	632,859	0.08%
TOPCHOICE MEDICAL INVESTME-A	700	255,002	0.03%
TRANSFAR ZHILIAN CO LTD-A	7,600	76,361	0.01%
TSINGHUA UNISPLENDOUR CO-A	6,576	197,819	0.02%
TSINGTAO BREWERY CO LTD-A	1,600	155,413	0.02%
UNIVERSAL SCIENTIFIC INDUS-A	3,400	56,840	0.01%
WALVAX BIOTECHNOLOGY CO-A	3,500	266,722	0.03%
WANHUA CHEMICAL GROUP CO -A	7,200	927,067	0.12%
WEICHAJ POWER CO LTD-A	15,500	320,819	0.04%
WEIFU HIGH-TECHNOLOGY GRP-A	1,900	47,393	0.01%
WEIHAI GUANGWEI COMPOSITES-A	1,200	94,834	0.01%
WENS FOODSTUFFS GROUP CO - A	14,520	253,423	0.03%
WESTERN SECURITIES CO LTD-A	10,200	101,869	0.01%
WILL SEMICONDUCTER LTD-A	2,000	585,261	0.07%
WINGTECH TECHNOLOGY CO LTD-A	2,800	316,317	0.04%
WINNING HEALTH TECHNOLOGY-A	4,880	85,526	0.01%
WUCHAN ZHONGDA GROUP CO LTD	11,600	90,666	0.01%
WUHAN GUIDE INFRARED CO LT-A	5,360	151,154	0.02%
WUHAN HUMANWELL HEALTHCARE-A	3,700	89,971	0.01%
WUHU SHUNRONG SANQI INTERA-A	5,100	129,120	0.02%
WUHU TOKEN SCIENCE CO LTD-A	5,600	50,051	0.01%
WULIANGYE YIBIN CO LTD-A	8,900	2,355,144	0.29%
WUS PRINTED CIRCUIT KUNSHA-A	4,370	59,088	0.01%
WUXI APPTTEC CO LTD-A	5,880	1,083,706	0.14%
WUXI LEAD INTELLIGENT EQUI-A	1,800	151,587	0.02%
WUXI SHANGJI AUTOMATION CO-A	600	202,276	0.02%
XCMG CONSTRUCTION MACHIN-A	17,900	150,918	0.02%
XIAMEN C & D INC-A	6,500	65,544	0.01%
XIAMEN INTRETECH INC-A	1,500	60,972	0.01%
XIAMEN TUNGSTEN CO LTD-A	3,200	91,399	0.01%
XINJIANG GOLDWIND SCI&TECH-A	7,900	165,706	0.02%
XINJIANG GUANGHUI INDUSTRY-A	15,400	161,232	0.02%
YANGO GROUP CO LTD-A	9,500	47,783	0.01%
YANTAI EDDIE PRECISION MAC-A	1,960	85,155	0.01%
YANTAI JEREH OILFIELD-A	2,200	128,487	0.02%
YANZHOU COAL MINING CO-A	5,700	199,312	0.02%
YEALINK NETWORK TECHNOLOGY-A	2,050	200,904	0.02%
YIFAN PHARMACEUTICALCO LT-A	2,800	52,990	0.01%
YIFENG PHARMACY CHAIN CO L-A	1,686	105,911	0.01%
YIHAI KERRY ARAWANA HOLDIN-A	2,900	242,825	0.03%
YINTAI RESOURCES CO LTD-A	6,380	65,334	0.01%
YIXINTANG PHARMACEUTICAL G-A	1,400	50,862	0.01%
YONGHUI SUPERSTORES CO LTD-A	21,700	102,602	0.01%
YONYOU SOFTWARE CO LTD-A	7,447	297,587	0.04%
YOUNGOR GROUP CO-A	10,600	81,955	0.01%
YTO EXPRESS GROUP CO LTD-A	7,200	120,975	0.01%
YUAN LONGPING HIGH-TECH AG-A	3,000	77,545	0.01%
YUNDA HOLDING CO LTD-A	6,580	152,780	0.02%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
China (continued)			
YUNNAN ALUMINIUM CO LTD-A	7,100	127,002	0.02%
YUNNAN BAIYAO GROUP CO LTD-A	2,900	342,061	0.04%
YUNNAN ENERGY NEW MATERIAL-A	2,000	675,748	0.08%
ZHANGZHOU PIENZHEHUANG PHA-A	1,400	638,646	0.08%
ZHEFU HOLDING GROUP CO LTD-A	12,300	112,160	0.01%
ZHEJIANG CENTURY HUATONG -A	17,000	151,737	0.02%
ZHEJIANG CHINA COMMODITIES-A	12,507	70,903	0.01%
ZHEJIANG CHINT ELECTRICS-A	4,900	334,521	0.04%
ZHEJIANG DAHUA TECHNOLOGY-A	6,800	194,551	0.02%
ZHEJIANG DINGLI MACHINERY -A	1,080	91,838	0.01%
ZHEJIANG HANGKE TECHNOLOGY-A	926	92,425	0.01%
ZHEJIANG HUAFENG SPANDEX -A	10,600	155,599	0.02%
ZHEJIANG HUAHAI PHARMACEUT-A	3,320	70,760	0.01%
ZHEJIANG HUAYOU COBALT CO - A	2,814	350,958	0.04%
ZHEJIANG JINGSHENG MECHANI-A	2,900	225,091	0.03%
ZHEJIANG JUZHOU PHARMACEU-A	1,900	126,252	0.02%
ZHEJIANG JUHUA CO-A	6,200	111,651	0.01%
ZHEJIANG LONGSHENG GROUP C-A	7,400	120,676	0.01%
ZHEJIANG NHU CO LTD-A	5,940	192,443	0.02%
ZHEJIANG SANHUA INTELLIGEN-A	8,240	226,209	0.03%
ZHEJIANG SATELLITE PETROCH-A	3,920	184,542	0.02%
ZHEJIANG SEMIR GARMENT CO-A	5,100	46,997	0.01%
ZHEJIANG SUPOR COOKWARE CO-A	1,200	67,609	0.01%
ZHEJIANG WEIXING NEW BUILD-A	3,600	73,340	0.01%
ZHEJIANG WOLVO BIO-PHARMAC-A	1,200	84,818	0.01%
ZHENGZHOU YUTONG BUS CO - A	5,200	71,063	0.01%
ZHESHANG SECURITIES CO LTD-A	8,900	133,650	0.02%
ZHONGJI INNOLIGHT CO LTD-A	1,600	67,314	0.01%
ZHONGJIN GOLD CORP-A	11,100	112,062	0.01%
ZHONGTAI SECURITIES CO LTD-A	13,300	153,684	0.02%
ZHONGTIAN FINANCIAL GROUP CO LTD	16,000	52,493	0.01%
ZHUZHOU KIBING GROUP CO LT-A	6,100	127,508	0.02%
ZIJIN MINING GROUP CO LTD-A	45,100	548,881	0.07%
ZTE CORP-A	8,800	351,653	0.04%
		120,074,313	15.08%
Hong Kong			
3SBIO INC	48,528	371,239	0.05%
AAC TECHNOLOGIES HOLDINGS IN	27,613	1,025,823	0.13%
AGILE GROUP HOLDINGS LTD	44,750	325,780	0.04%
AGRICULTURAL BANK OF CHINA-H	995,000	2,666,600	0.33%
AIR CHINA LIMITED-H	69,502	357,935	0.04%
AKESO INC	10,890	466,092	0.06%
ALIBABA GROUP HOLDING LTD	578,167	82,215,347	10.29%
ALIBABA HEALTH INFORMATION T	154,094	1,725,853	0.22%
ALIBABA PICTURES GROUP LTD	459,865	404,681	0.05%
A-LIVING SMART CITY SERVICES	21,630	599,151	0.07%
ALUMINUM CORP OF CHINA LTD-H	150,193	884,637	0.11%
ANTA SPORTS PRODUCTS LTD	41,179	6,045,077	0.76%
AVICHINA INDUSTRY & TECH-H	94,605	465,457	0.06%
BANK OF CHINA LTD-H	3,025,259	8,349,715	1.04%
BANK OF COMMUNICATIONS CO-H	333,328	1,539,975	0.19%
BEIJING CAPITAL INTL AIRPO-H	71,569	328,502	0.04%
BEIJING ENTERPRISES HLDGS	19,224	598,828	0.07%
BEIJING ENTERPRISES WATER GR	171,738	525,518	0.07%
BOC AVIATION LTD	7,929	516,971	0.06%
BOSIDENG INTL HLDGS LTD	123,371	683,475	0.09%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
Hong Kong (continued)			
BRILLIANCE CHINA AUTOMOTIVE	126,624	924,355	0.12%
BYD CO LTD-H	29,932	7,273,476	0.91%
BYD ELECTRONIC INTL CO LTD	25,742	706,618	0.09%
CANSINO BIOLOGICS INC-H	3,031	833,525	0.10%
CGN POWER CO LTD-H	403,874	957,181	0.12%
CHINA AOYUAN GROUP LTD	46,199	189,878	0.02%
CHINA BOHAI BANK CO LTD-H	118,064	358,915	0.04%
CHINA CINDA ASSET MANAGEME-H	335,841	443,310	0.06%
CHINA CITIC BANK CORP LTD-H	340,043	1,196,951	0.15%
CHINA COMMUNICATIONS SERVI-H	91,069	393,418	0.05%
CHINA CONCH VENTURE HOLDINGS	61,855	2,229,873	0.28%
CHINA CONSTRUCTION BANK-H	3,662,206	20,435,109	2.56%
CHINA EAST EDUCATION HOLDING	20,920	167,988	0.02%
CHINA EDUCATION GROUP HOLDIN	30,295	406,559	0.05%
CHINA EVERBRIGHT BANK CO L-H	120,707	331,944	0.04%
CHINA EVERBRIGHT ENVIRONMENT	140,361	825,323	0.10%
CHINA EVERBRIGHT LIMITED	35,298	324,742	0.04%
CHINA EVERGRANDE GROUP	75,648	223,162	0.03%
CHINA FEIHE LTD	136,080	1,788,091	0.22%
CHINA GALAXY SECURITIES CO-H	133,531	604,895	0.08%
CHINA GAS HOLDINGS LTD	117,383	2,699,809	0.34%
CHINA HONGQIAO GROUP LTD	86,839	870,127	0.11%
CHINA HUARONG ASSET MANAGEME	417,394	425,742	0.05%
CHINA HUIZHAN DAIRY INVESTMENTS HK LTD ¹	296,760	-	-
CHINA INTERNATIONAL CAPITA-H	54,373	1,111,928	0.14%
CHINA JINMAO HOLDINGS GROUP LTD	217,415	608,762	0.08%
CHINA LESSO GROUP HOLDINGS LTD	41,351	516,060	0.06%
CHINA LIFE INSURANCE CO-H	283,373	3,621,507	0.45%
CHINA LITERATURE LTD	15,519	921,829	0.12%
CHINA LONGYUAN POWER GROUP-H	127,194	2,447,213	0.31%
CHINA MEDICAL SYSTEM HOLDING	51,750	734,850	0.09%
CHINA MEIDONG AUTO HOLDINGS	21,327	833,886	0.10%
CHINA MENGNIU DAIRY CO	120,284	6,032,243	0.75%
CHINA MERCHANTS BANK - H	148,605	9,220,940	1.15%
CHINA MERCHANTS PORT HOLDINGS	55,768	745,060	0.09%
CHINA MINSHENG BANKING-H	221,796	694,221	0.09%
CHINA MOLYBDENUM CO LTD-H	127,324	617,521	0.08%
CHINA NATIONAL BUILDING MA-H	147,327	1,552,827	0.19%
CHINA OILFIELD SERVICES-H	68,971	512,455	0.06%
CHINA OVERSEAS LAND & INVEST	145,879	2,587,893	0.32%
CHINA OVERSEAS PROPERTY HOLDINGS	50,068	323,439	0.04%
CHINA PACIFIC INSURANCE GR-H	100,404	2,324,353	0.29%
CHINA PETROLEUM & CHEMICAL CORP	923,017	3,562,846	0.45%
CHINA POWER INTERNATIONAL	149,385	616,960	0.08%
CHINA RAILWAY GROUP LTD-H	152,214	590,590	0.07%
CHINA RESOURCES BEER (HOLDINGS) CO LTD	55,595	3,196,712	0.40%
CHINA RESOURCES CEMENT	93,073	698,978	0.09%
CHINA RESOURCES GAS GROUP	35,249	1,441,684	0.18%
CHINA RESOURCES LAND LTD	122,201	4,014,303	0.50%
CHINA RESOURCES MIXC LIFESTY	21,730	939,823	0.12%
CHINA RESOURCES PHARMACEUTIC	59,812	229,080	0.03%
CHINA RESOURCES POWER HOLDIN	73,276	1,645,046	0.21%
CHINA SHENHUA ENERGY CO - H	128,620	2,343,456	0.29%
CHINA SOUTHERN AIRLINES CO-H	65,122	286,537	0.04%

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2021.

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
Hong Kong (continued)			
CHINA STATE CONSTRUCTION INT	76,737	523,346	0.07%
CHINA TAIPING INSURANCE HOLD	61,590	729,226	0.09%
CHINA TOWER CORP LTD-H	1,599,338	1,631,325	0.20%
CHINA TRADITIONAL CHINESE MEDICINE HOLDI	105,475	416,626	0.05%
CHINA VANKE CO LTD-H	64,898	1,382,327	0.17%
CHINA YOUZAN LTD	525,833	515,316	0.06%
CHINA YUHUA EDUCATION CORP L	57,496	217,335	0.03%
CHINASOFT INTERNATIONAL LTD	99,589	1,370,345	0.17%
CHONGQING RURAL COMMERCIAL-H	86,141	244,640	0.03%
CIFI EVER SUNSHINE SERVICES	28,625	444,260	0.06%
CIFI HOLDINGS GROUP CO LTD	125,503	665,166	0.08%
CITIC LTD	221,562	1,838,965	0.23%
CITIC SECURITIES	86,763	1,721,378	0.22%
CONCH CEMENT CO LTD	47,017	1,974,714	0.25%
COSCO PACIFIC LTD	69,439	468,019	0.06%
COSCO SHIPPING HOLDINGS CO-H	127,756	1,525,407	0.19%
COUNTRY GARDEN HOLDINGS CO	293,870	2,365,653	0.30%
COUNTRY GARDEN SERVICES HOLD	58,343	3,588,095	0.45%
CSPC PHARMACEUTICAL GROUP LTD	341,987	3,194,159	0.40%
DALI FOODS GROUP CO LTD	78,224	367,653	0.05%
DONGFENG MOTOR GRP CO LTD-H	103,314	718,032	0.09%
ENN ENERGY HOLDINGS LTD	30,105	3,865,482	0.48%
FAR EAST HORIZON LTD	63,366	500,591	0.06%
FLAT GLASS GROUP CO LTD-H	15,423	566,795	0.07%
FOSUN INTERNATIONAL	95,707	906,345	0.11%
FUYAO GLASS INDUSTRY GROUP-H	23,106	962,365	0.12%
GANFENG LITHIUM CO LTD-H	9,880	1,367,392	0.17%
GEELY AUTOMOBILE HOLDINGS LTD	224,385	5,015,005	0.63%
GENSCRIPT BIOTECH CORP	43,150	1,294,500	0.16%
GF SECURITIES CO LTD-H	42,125	572,058	0.07%
GOME RETAIL HOLDINGS LTD	453,794	331,270	0.04%
GREAT WALL MOTOR COMPANY-H	118,036	3,387,633	0.42%
GREENTOWN CHINA HOLDINGS	33,246	391,638	0.05%
GREENTOWN SERVICE GROUP CO L	55,578	466,855	0.06%
GUANGDONG INVESTMENT LTD	112,037	1,138,296	0.14%
GUANGZHOU AUTOMOBILE GROUP-H	112,101	771,255	0.10%
GUANGZHOU R&F PROPERTIES - H	64,304	384,538	0.05%
HAILAO INTERNATIONAL HOLDI	40,367	1,200,918	0.15%
HAIER SMART HOME CO LTD-H	85,870	2,357,132	0.29%
HAITIAN INTERNATIONAL HLDGS	24,311	584,680	0.07%
HAITONG SECURITIES	103,874	740,622	0.09%
HANGZHOU TIGERMED CONSULTI-H	4,689	776,967	0.10%
HANSOH PHARMACEUTICAL GROUP	45,107	893,119	0.11%
HENGAN INTL GROUP CO LTD	24,848	1,036,162	0.13%
HENGTEEN NETWORKS GROUP LTD	105,501	243,707	0.03%
HOPSON DEVELOPMENT HOLDINGS	25,035	693,470	0.09%
HUA HONG SEMICONDUCTOR LTD	19,806	800,162	0.10%
HUABAO INTERNATIONAL HOLDING	35,506	541,822	0.07%
HUANENG POWER INTL INC-H	134,249	562,503	0.07%
HUATAI SECURITIES CO LTD-H	58,918	708,194	0.09%
IND & COMM BK OF CHINA-H	2,148,426	9,302,685	1.16%
INNOVENT BIOLOGICS INC	44,345	3,348,048	0.42%
JD HEALTH INTERNATIONAL INC	13,339	1,001,759	0.13%
JIANGSU EXPRESS CO LTD-H	46,536	367,169	0.05%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
Hong Kong (continued)			
JIANGXI COPPER COMPANY LTD-H	42,270	594,316	0.07%
JINXIN FERTILITY GROUP LTD	47,747	553,865	0.07%
JIUMAOJIU INTERNATIONAL HOLD	27,674	651,723	0.08%
KAISA GROUP HOLDINGS LTD	106,837	226,494	0.03%
KINGBOARD HOLDINGS LTD	25,301	891,860	0.11%
KINGBOARD LAMINATES HOLDING	35,645	459,108	0.06%
KINGDEE INTERNATIONAL SFTWR	99,095	2,581,425	0.32%
KINGSOFT CORP LTD	36,593	1,136,213	0.14%
KUAISHOU TECHNOLOGY	10,336	854,787	0.11%
KUNLUN ENERGY COMPANY LTD	148,384	1,204,878	0.15%
KWG GROUP HOLDINGS LTD	48,448	368,689	0.05%
LEE & MAN PAPER MANUFACTURIN	49,658	284,540	0.04%
LENOVO GROUP LTD	275,142	2,302,939	0.29%
LI NING CO LTD	85,473	7,705,391	0.96%
LOGAN GROUP CO LTD	52,562	427,855	0.05%
LONGFOR GROUP HOLDINGS LTD	69,293	2,487,619	0.31%
MEITUAN-CLASS B	153,531	37,860,745	4.74%
MICROPORT SCIENTIFIC CORP	24,194	1,062,117	0.13%
MING YUAN CLOUD GROUP HOLDIN	14,678	396,306	0.05%
MINTH GROUP LTD	28,709	763,659	0.10%
MMG LTD	115,119	378,742	0.05%
NEW CHINA LIFE INSURANCE C-H	33,474	769,902	0.10%
NINE DRAGONS PAPER HOLDINGS	62,541	599,768	0.07%
NONGFU SPRING CO LTD-H	15,338	608,152	0.08%
PEOPLE'S INSURANCE	315,695	763,982	0.10%
PETROCHINA CO LTD-H	803,483	2,964,852	0.37%
PHARMARON BEIJING CO LTD-H	5,104	950,875	0.12%
PICC PROPERTY & CASUALTY -H	262,737	1,983,664	0.25%
PING AN HEALTHCARE AND TECHN	17,476	884,286	0.11%
PING AN INSURANCE GROUP CO-H	241,074	12,837,190	1.61%
POLY PROPERTY SERVICES CO LT	4,671	216,734	0.03%
POSTAL SAVINGS BANK OF CHI-H	302,463	1,627,251	0.20%
POWERLONG REAL ESTATE HOLD	55,213	322,996	0.04%
SANY HEAVY EQUIPMENT INTL	41,881	386,143	0.05%
SEAZEN GROUP LTD	82,771	515,663	0.06%
SHANDONG GOLD MINING CO LT-H	24,534	338,079	0.04%
SHANDONG WEIGAO GP MEDICAL-H	94,720	1,318,502	0.16%
SHANGHAI FOSUN PHARMACEUTI-A	18,917	757,626	0.09%
SHANGHAI PHARMACEU	29,750	449,820	0.06%
SHENZHEN INTL HOLDINGS	46,040	461,321	0.06%
SHENZHEN INVESTMENT LTD	101,677	199,287	0.02%
SHENZHOU INTERNATIONAL GROUP	31,485	5,220,213	0.65%
SHIMAO GROUP HOLDINGS LTD	47,153	672,402	0.08%
SHIMAO SERVICES HOLDINGS LTD	22,506	362,347	0.04%
SINO BIOPHARMACEUTICAL	395,054	2,552,049	0.32%
SINOPHARM GROUP CO-H	51,099	1,037,310	0.13%
SINOTRUK HONG KONG LTD	26,286	304,918	0.04%
SMOORE INTERNATIONAL HOLDING	68,181	2,474,970	0.31%
SSY GROUP LTD	51,858	218,322	0.03%
SUN ART RETAIL GRO	72,658	261,569	0.03%
SUNAC CHINA HOLDINGS LTD	97,701	1,621,837	0.20%
SUNAC SERVICES HOLDINGS LTD	29,547	475,707	0.06%
SUNNY OPTICAL TECH	27,150	5,560,320	0.70%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
Hong Kong (continued)			
TENCENT HOLDINGS LTD	219,239	101,156,875	12.66%
TINGYI (CAYMAN ISLN) HLDG CO	74,974	1,085,624	0.14%
TONGCHENG-ELONG HOLDINGS LTD	37,652	708,611	0.09%
TOPSPORTS INTERNATIONAL HOLD	59,038	525,438	0.07%
TRAVELSKY TECHNOLOGY LTD-H	35,514	532,710	0.07%
TSINGTAO BREWERY CO LTD-H	19,957	1,217,377	0.15%
UNI-PRESIDENT CHINA HOLDINGS	49,346	365,654	0.05%
VENUS MEDTECH HANGZHOU INC-H	8,220	330,444	0.04%
VINDA INTERNATIONAL HOLDINGS	13,713	315,399	0.04%
WANT WANT CHINA HOLDINGS LTD	183,177	1,078,913	0.13%
WEICHAJ POWER CO LTD-H	73,994	1,197,223	0.15%
WEIMOB INC	64,820	731,170	0.09%
WHARF HOLDINGS LTD	52,340	1,352,989	0.17%
WUXI APPTTEC CO LTD-H	12,987	2,364,933	0.30%
WUXI BIOLOGICS CAYMAN INC	137,186	17,354,029	2.17%
XIAOMI CORP-CLASS B	546,823	11,674,671	1.46%
XINJIANG GOLDWIND	29,459	483,128	0.06%
XINYI SOLAR HLDGS	184,540	2,945,258	0.37%
YADEA GROUP HOLDINGS LTD	45,622	580,312	0.07%
YANZHOU COAL MINING CO-H	57,884	853,210	0.11%
YIHAI INTERNATIONAL HOLDING	17,941	777,742	0.10%
YUEXIU PROPERTY CO LTD	53,063	388,421	0.05%
ZHAOJIN MINING INDUSTRY - H	39,751	217,835	0.03%
ZHEJIANG EXPRESSWAY CO-H	54,604	350,558	0.04%
ZHENRO PROPERTIES GROUP LTD	49,900	212,075	0.03%
ZHONGAN ONLINE P&C INSURAN-H	18,924	606,514	0.08%
ZHONGSHENG GROUP HOLDINGS	22,043	1,382,096	0.17%
ZHUZHOU CRRC TIMES ELECTRIC	20,843	749,306	0.09%
ZIJIN MINING GROUP CO LTD-H	218,473	2,101,710	0.26%
ZOOMLION HEAVY INDUSTRY SCIENC	51,207	356,913	0.04%
ZTE CORP-H	28,771	736,538	0.09%
		<u>550,308,777</u>	68.84%
United States			
21VIANET GROUP INC-ADR	3,675	495,214	0.06%
360 DIGITECH INC	3,275	518,053	0.06%
51JOB INC-ADR	1,156	625,704	0.08%
AGORA INC-ADR	1,844	416,292	0.05%
AUTOHOME INC-ADR	2,910	1,063,120	0.13%
BAIDU INC - SPON ADR	10,568	12,648,726	1.58%
BAOZUN INC-SPN ADR	2,252	307,319	0.04%
BEIGENE LTD-ADR	1,754	4,956,499	0.62%
BILIBILI INC-SPONSORED ADR	6,267	3,228,194	0.40%
BURNING ROCK BIOTECH LTD-ADR	1,661	231,194	0.03%
CHINDATA GROUP HOLDINGS-ADR	3,317	217,160	0.03%
DADA NEXUS LTD-ADR	2,257	352,102	0.04%
DAQO NEW ENERGY CORP-ADR	2,240	993,944	0.12%
DIDI GLOBAL INC	11,606	703,816	0.09%
GAOTU TECHEDU INC (Formerly known as GSX TECHEDU INC- ADR GSX)	4,714	112,659	0.01%
GDS HOLDINGS LTD - ADR	3,398	1,497,460	0.19%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities (continued)			
United States (continued)			
HELLO GROUP INC	6,004	494,499	0.06%
HUAZHU GROUP LTD-ADR	6,740	2,406,206	0.30%
HUTCHMED CHINA LTD (Formerly known as HUTCHISON CHINA MEDITECH-ADR HCM)	3,231	920,822	0.11%
HUYA INC-ADR	3,035	197,044	0.02%
I-MAB-SPONSORED ADR	1,241	700,307	0.09%
IQIYI INC-ADR IQ	10,807	675,553	0.08%
JD.COM INC-ADR JD.COM INC-ADR	33,091	18,609,147	2.33%
JOYY INC	2,121	905,311	0.11%
KE HOLDINGS INC	13,645	1,939,604	0.24%
KINGSOFT CLOUD HOLDINGS-ADR	2,126	468,700	0.06%
LI AUTO INC - ADR	20,578	4,211,459	0.53%
LUFAX HOLDING LTD	6,564	356,667	0.04%
NETEASE INC-ADR	15,306	10,175,562	1.27%
NEW ORIENTAL EDUCATIO-SP ADR	58,752	937,595	0.12%
NIO INC - ADR	51,857	14,383,416	1.80%
NOAH HOLDINGS LTD-SPON ADS	1,270	367,086	0.05%
ONECONNECT FINANCIAL TECHNO	4,455	142,190	0.02%
PINDUODUO INC-ADR	16,705	11,790,954	1.48%
RLX TECHNOLOGY INC-ADR	23,580	829,700	0.10%
TAL EDUCATION GROUP- ADR	16,062	605,179	0.08%
TENCENT MUSIC ENTERTAINM-ADR TME	25,439	1,435,744	0.18%
TRIP.COM GROUP LTD	19,420	4,648,718	0.58%
UP FINTECH HOLDING LTD - ADR TIGR	3,025	249,379	0.03%
VIPSHOP HOLDINGS LTD - ADS	17,417	1,510,419	0.19%
WEIBO CORP-SPON ADR	2,400	887,263	0.11%
XPENG INC - ADR	14,644	4,051,501	0.51%
YUM CHINA HOLDINGS INC	16,017	7,245,542	0.91%
ZAI LAB LTD-ADR	2,891	2,371,845	0.30%
ZTO EXPRESS CAYMAN INC-ADR	16,555	3,951,302	0.49%
		<u>125,836,170</u>	15.72%
Warrants & Rights			
Hong Kong			
LEGEND HOLDINGS CORP-H-RTS	3,349	-	-
		-	-
Total investments		<u>796,219,260</u>	<u>99.64%</u>
Total investments, at cost		<u>782,270,459</u>	

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

Hang Seng High Dividend Yield ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
Listed equities			
Hong Kong			
AGILE GROUP HOLDINGS LTD	581,172	4,230,932	2.32%
AGRICULTURAL BANK OF CHINA-H	1,255,287	3,364,169	1.84%
BANK OF CHINA LTD-H	1,535,769	4,238,722	2.32%
BANK OF COMMUNICATIONS CO-H	768,005	3,548,183	1.95%
BOC HONG KONG HOLDINGS LTD	95,534	2,245,049	1.23%
CHINA AOYUAN GROUP LTD	576,374	2,368,897	1.30%
CHINA CINDA ASSET MANAGEME-H	2,560,723	3,380,154	1.85%
CHINA CITIC BANK CORP LTD-H	946,052	3,330,103	1.83%
CHINA CONSTRUCTION BANK-H	525,901	2,934,528	1.61%
CHINA EVERBRIGHT BANK CO L-H	1,283,544	3,529,746	1.94%
CHINA EVERBRIGHT LIMITED	318,559	2,930,743	1.61%
CHINA JINMAO HOLDINGS GROUP LTD	1,815,209	5,082,585	2.79%
CHINA MERCHANTS PORT HOLDINGS	266,701	3,563,125	1.95%
CHINA MINSHENG BANKING-H	808,636	2,531,031	1.39%
CHINA MOBILE LTD	76,212	3,578,153	1.96%
CHINA PETROLEUM & CHEMICAL CORP	730,705	2,820,521	1.55%
CHINA POWER INTERNATIONAL	2,280,266	9,417,499	5.16%
CHINA RESOURCES CEMENT	555,408	4,171,114	2.29%
CHINA SHENHUA ENERGY CO - H	391,058	7,125,077	3.91%
CHINA STATE CONSTRUCTION INT	755,182	5,150,341	2.82%
CITIC LTD	377,705	3,134,952	1.72%
CK INFRASTRUCTURE HOLDINGS LTD	63,836	2,776,866	1.52%
CLP HOLDINGS LTD	30,500	2,287,500	1.25%
CNOOC LTD	326,554	2,841,020	1.56%
COSCO PACIFIC LTD	526,274	3,547,087	1.94%
FORTUNE REIT	430,073	3,328,765	1.83%
GUANGZHOU R&F PROPERTIES - H	668,913	4,000,100	2.19%
HAITONG INTERNATIONAL SECURITIES	1,753,339	3,611,878	1.98%
HANG SENG BANK	12,725	1,700,060	0.93%
HKBN LTD 1310	422,535	3,883,097	2.13%
HUANENG POWER INTL INC-H	1,540,569	6,454,984	3.54%
IND & COMM BK OF CHINA-H	680,456	2,946,375	1.62%
KINGBOARD HOLDINGS LTD	83,577	2,946,089	1.62%
LEE & MAN PAPER MANUFACTURIN	400,388	2,294,223	1.26%
LOGAN GROUP CO LTD	394,139	3,208,292	1.76%
MIDEA REAL ESTATE HOLDING LTD	284,733	3,815,422	2.09%
NEW WORLD DEVELOPMENT	70,741	2,253,101	1.24%
NWS HOLDINGS LTD	469,813	3,387,352	1.86%
PCCW LTD	1,105,345	4,421,380	2.42%
PEOPLE'S INSURANCE	1,235,838	2,990,728	1.64%
PETROCHINA CO LTD-H	1,063,829	3,925,529	2.15%
POWER ASSETS HOLDINGS LTD	76,183	3,489,181	1.91%
SHANGHAI INDUSTRIAL HLDG LTD	297,261	3,472,009	1.90%
SINO LAND CO	217,400	2,278,352	1.25%
SINO-OCEAN GROUP HOLDING LTD	3,499,492	5,354,223	2.94%
TIMES CHINA HOLDINGS LTD	498,696	3,226,563	1.77%
VTECH HOLDINGS LTD	38,396	2,184,732	1.20%
YANZHOU COAL MINING CO-H	320,176	4,719,394	2.59%
YUEXIU PROPERTY CO LTD	398,241	2,915,124	1.60%
YUZHOU GROUP HOLDINGS CO LTD	3,907,604	4,493,745	2.46%
Total investments		181,428,795	99.49%
Total investments, at cost		193,563,063	

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

CSI 300 ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
360 SECURITY TECHNOLOGY IN-A	3,700	43,956	0.13%
ADVANCED MICRO-FABRICATION-A	600	91,080	0.26%
AECC AVIATION POWER CO LTD	1,832	97,444	0.28%
AGRICULTURAL BANK OF CHINA-A	49,355	145,104	0.42%
AIER EYE HOSPITAL GROUP CO-A	4,657	248,684	0.72%
AIR CHINA LTD-A	5,094	37,899	0.11%
ALUMINUM CORP OF CHINA LTD	11,325	87,769	0.26%
ANHUI CONCH CEMENT CO LTD-A	4,190	170,952	0.50%
ANHUI GUJING DISTILLERY CO-A	200	47,704	0.14%
ASYMCHAM LABORATORIES TIAN-A	200	89,180	0.26%
AUTOBIO DIAGNOSTICS CO LTD-A	290	15,457	0.04%
AVARY HOLDING SHENZHEN CO -A	1,200	39,996	0.12%
AVIC INDUSTRY-FINANCE HOLD-A (Formerly known as AVIC CAPITAL CO LTD -A 'A'CNY1)	9,152	35,052	0.10%
AVIC JONHON OPTRONIC TECH-A	920	75,477	0.22%
AVIC SHENYANG HEIBAO CO LT-A	960	65,606	0.19%
AVIC XI'AN AIRCRAFT INDUST-A	2,378	73,789	0.21%
BANK OF BEIJING CO LTD -A	25,386	110,683	0.32%
BANK OF CHENGDU CO LTD-A	3,700	43,882	0.13%
BANK OF CHINA LTD-A	36,300	110,715	0.32%
BANK OF COMMUNICATIONS-A	47,355	213,097	0.62%
BANK OF HANGZHOU CO LTD-A	5,040	75,247	0.22%
BANK OF JIANGSU CO LTD-A	20,300	118,146	0.34%
BANK OF NANJING CO LTD -A	8,636	78,156	0.23%
BANK OF NINGBO CO LTD -A	6,183	217,332	0.63%
BANK OF SHANGHAI CO LTD-A	17,134	125,250	0.36%
BAOSHAN IRON & STEEL CO-A	15,351	133,554	0.39%
BEIJING KINGSOFT OFFICE SO-A	300	84,996	0.25%
BEIJING NEW BUILDING MATER-A	1,800	57,510	0.17%
BEIJING ORIENTAL YUHONG-A	3,000	132,960	0.39%
BEIJING SHIJI INFORMATION -A	746	19,008	0.05%
BEIJING SHUNXIN AGRICULT-A	900	31,806	0.09%
BEIJING TIAN TAN BIOLOGICAL-A	1,200	38,412	0.11%
BEIJING TONGRENTANG CO-A	1,209	37,914	0.11%
BEIJING WANTAI BIOLOGICAL-A	140	31,080	0.09%
BEIJING-SHANGHAI HIGH SPE-A	33,800	160,888	0.47%
BETTA PHARMACEUTICALS CO L-A	400	37,444	0.11%
BGI GENOMICS CO LTD-A	300	26,253	0.08%
BLOOMAGE BIOTECHNOLOGY COR-A	200	37,164	0.11%
BLUESTAR ADISSECO CO-A	700	8,330	0.02%
BOC INTERNATIONAL CHINA CO-A	1,400	21,028	0.06%
BOE TECHNOLOGY GROUP CO LT-A	51,600	260,580	0.76%
BYD CO LTD -A	1,543	384,994	1.12%
CAITONG SECURITIES CO LTD-A	4,300	46,483	0.14%
CGN POWER CO LTD-A	20,300	68,411	0.20%
CHANGCHUN HIGH & NEW TECH-A	500	137,330	0.40%
CHANGJIANG SECURITIES CO L-A	6,633	50,742	0.15%
CHANGSHA ZOOMLION HEAVY INDS	7,301	60,233	0.18%
CHANGZHOU XINGYU AUTOMOTIV-A	200	36,200	0.11%
CHAOZHOU THREE-CIRCLE GROU-A	1,800	66,780	0.19%
CHENGDU KANGHONG PHARMACEU-A	550	10,082	0.03%
CHINA CITIC BANK CORP LTD-A	5,245	23,917	0.07%
CHINA COMMUNICATIONS CONST-A	6,000	49,440	0.14%
CHINA CONSTRUCTION BANK-A	11,574	69,097	0.20%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities (continued)			
China (continued)			
CHINA COSCO HOLDINGS-A	10,860	187,661	0.55%
CHINA CSSC HOLDINGS LTD-A	3,100	77,810	0.23%
CHINA EASTERN AIRLINES CO-A	7,720	36,361	0.11%
CHINA EVERBRIGHT BANK CO-A	28,501	96,618	0.28%
CHINA FORTUNE LAND DEVELOP-A	3,378	13,546	0.04%
CHINA GALAXY SECURITIES CO-A	2,200	23,056	0.07%
CHINA GREAT WALL SECURITIE-A	1,600	18,944	0.06%
CHINA GREATWALL TECHNOLOGY-A	3,000	39,570	0.11%
CHINA INTERNATIONAL CAPTAL-A	500	28,610	0.08%
CHINA JUSHI CO LTD -A	4,115	72,342	0.21%
CHINA LIFE INSURANCE CO- A	2,900	86,420	0.25%
CHINA MERCHANTS BANK-A	21,319	1,075,544	3.13%
CHINA MERCHANTS ENERGY -A	5,540	30,581	0.09%
CHINA MERCHANTS SECURITIES-A	6,414	117,504	0.34%
CHINA MERCHANTS SHEKOU INDUSTRY	5,418	70,109	0.20%
CHINA MINSHENG BANKING-A	36,556	142,934	0.42%
CHINA MOLYBDENUM CO LTD-A	12,140	73,326	0.21%
CHINA NATIONAL NUCLEAR POW-A	9,000	64,890	0.19%
CHINA NORTHERN RARE EARTH-A	3,771	166,942	0.49%
CHINA OILFIELD SERVICES-A	1,000	16,100	0.05%
CHINA PACIFIC INSURANCE GR-A	5,847	158,688	0.46%
CHINA PETROLEUM & CHEMICAL-A	23,043	102,772	0.30%
CHINA RAILWAY GROUP LTD -A	17,529	99,214	0.29%
CHINA RAILWAY SIGNAL & COM-A	4,400	22,704	0.07%
CHINA RAILWAYS CONSTRUCTIO-A	7,820	61,778	0.18%
CHINA RESOURCES MICROELECT-A	600	41,310	0.12%
CHINA SATELLITE COMMUNICAT-A	700	10,178	0.03%
CHINA SHENHUA ENERGY CO -A	5,700	129,162	0.38%
CHINA SHIPBUILDING INDUSTR-A	15,420	68,002	0.20%
CHINA SHIPBUILDING INDUSTRY GR	1,100	20,031	0.06%
CHINA SOUTH LOCOMOTIVE -A	20,923	129,932	0.38%
CHINA SOUTHERN AIRLINES CO-A	10,875	62,857	0.18%
CHINA SPACESAT CO LTD	950	25,393	0.07%
CHINA STATE CONSTRUCTION -A	36,149	173,515	0.50%
CHINA TOURISM GROUP DUTY F-A	1,718	446,680	1.30%
CHINA UNITED NETWORK-A	32,101	131,935	0.38%
CHINA VANKE CO LTD -A	11,785	251,138	0.73%
CHINA YANGTZE POWER CO LTD-A	19,558	430,276	1.25%
CHINA ZHESHANG BANK CO LTD-A	14,400	51,120	0.15%
CHINALIN SECURITIES CO LTD-A	500	6,140	0.02%
CHONGQING BREWERY CO-A 'A'CNYY1	400	52,492	0.15%
CHONGQING CHANGAN AUTOMOB-A	5,402	90,213	0.26%
CHONGQING RURAL COMMERCIAL-A	10,600	40,916	0.12%
CHONGQING ZHIFEI BIOLOGICA-A	1,400	222,586	0.65%
CITI PACIFIC SPECIAL STEE-A	1,800	36,900	0.11%
CITIC SECURITIES CO-A SHARES	14,652	370,403	1.08%
CSC FINANCIAL CO LTD-A	1,700	52,530	0.15%
DAQIN RAILWAY CO LTD -A	10,218	63,965	0.19%
DASHENLIN PHARMACEUTICAL G-A 603233	400	16,964	0.05%
DAWNING INFORMATION INDUST-A	1,740	46,667	0.14%
EAST MONEY INFORMATION CO-A	14,193	487,813	1.42%
EVE ENERGY CO LTD-A	1,900	188,157	0.55%
EVERBRIGHT SECURITIE CO -A	3,358	52,150	0.15%
FAW CAR COMPANY LIMITED-A	1,600	17,200	0.05%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities (continued)			
China (continued)			
FOCUS MEDIA INFORMATION TE-A	17,776	130,120	0.38%
FOSHAN HAITIAN FLAVOURING -A	2,238	246,180	0.72%
FOUNDER SECURITIES CO LTD-A	7,054	57,702	0.17%
FOXCONN INDUSTRIAL INTERNE-A	5,100	59,262	0.17%
FUYAO GROUP GLASS INDUSTR-A	2,396	101,231	0.29%
GAN & LEE PHARMACEUTICALS -A	100	7,916	0.02%
GD POWER DEVELOPMENT CO -A	20,265	65,861	0.19%
GEMDALE CORP-A	3,828	42,874	0.12%
GF SECURITIES CO LTD-A	5,076	106,393	0.31%
GIANT NETWORK GROUP CO LTD-A	2,060	21,424	0.06%
GIGADEVICE SEMICONDUCTOR B-A	992	143,830	0.42%
GLODON CO LTD	1,600	106,608	0.31%
GOERTEK INC -A	4,116	177,400	0.52%
GONGNIU GROUP CO LTD-A	200	32,650	0.09%
GREAT WALL MOTOR CO LTD-A	2,103	110,618	0.32%
GREE ELECTRIC APPLIANCES I-A	8,270	320,462	0.93%
GREENLAND HOLDINGS CORP LT-A	6,585	30,949	0.09%
GUANGDONG HAID GROUP CO-A	1,400	94,360	0.27%
GUANGDONG LY INTELLIGENT M-A	4,900	31,654	0.09%
GUANGZHOU AUTOMOBILE GROUP-A	3,740	56,399	0.16%
GUANGZHOU BAIYUNSHAN PHAR-A	1,199	35,694	0.10%
GUANGZHOU KINGMED DIAGNOST-A	500	51,210	0.15%
GUANGZHOU SHIYUAN ELECTRON-A	600	47,010	0.14%
GUOSEN SECURITIES CO LTD-A	5,005	59,159	0.17%
GUOTAI JUNAN SECURITIES CO-A	7,800	139,074	0.40%
GUOYUAN SECURITIES CO LTD-A	5,250	40,687	0.12%
HAIER SMART HOME CO LTD-A	6,555	171,413	0.50%
HAITONG SECURITIES CO LTD-A	16,555	200,978	0.58%
HANGZHOU FIRST APPLIED MAT-A	600	76,080	0.22%
HANGZHOU HIKVISION DIGITAL-A	7,888	433,840	1.26%
HANGZHOU ROBAM APPLIANCES-A	800	27,040	0.08%
HANGZHOU TIGERMED CONSULTI-A	900	156,600	0.46%
HAN'S LASER TECHNOLOGY IN-A	1,453	54,967	0.16%
HENAN SHUANGHUI INVESTMENT-A	2,352	63,857	0.19%
HENGLI PETROCHEMICAL CO L-A	3,680	95,827	0.28%
HENGYI PETROCHEMICAL CO -A	3,760	43,240	0.13%
HITHINK ROYALFLUSH INFORMA-A	400	47,956	0.14%
HONGTA SECURITIES CO LTD-A	1,600	18,880	0.05%
HUADONG MEDICINE CO LTD-A	1,490	44,223	0.13%
HUALAN BIOLOGICAL ENGINEER-A	1,832	51,113	0.15%
HUANENG LANCANG RIVER HYDR-A	3,100	26,474	0.08%
HUANENG POWER INTL INC-A	7,600	62,852	0.18%
HUATAI SECURITIES CO LTD-A	8,903	151,262	0.44%
HUAXIA BANK CO LTD-A	10,563	58,836	0.17%
HUAYU AUTOMOTIVE SYSTEMS -A	2,658	60,656	0.18%
HUNDSUN ELECTRONIC CO LTD-A	2,035	116,565	0.34%
IFLYTEK CO LTD	3,068	162,297	0.47%
IND & COMM BK OF CHINA - A	60,395	281,441	0.82%
INDUSTRIAL BANK CO LTD	24,970	456,951	1.33%
INDUSTRIAL SECURITIES CO-A	9,207	90,597	0.26%
INNER MONGOLIA JUNZHENG EN-A	5,770	33,004	0.10%
INNER MONGOLIA YILI INDUS-A	10,472	394,794	1.15%
INNER MONGOLIAN BAOTOU STEEL	39,166	124,156	0.36%
INSPUR ELECTRONIC INFORMAT-A	1,800	51,336	0.15%
INTCO MEDICAL TECHNOLOGY C-A	600	35,178	0.10%
JAFRON BIOMEDICAL CO LTD-A	700	40,992	0.12%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities (continued)			
China (continued)			
JCET GROUP CO LTD	2,200	70,554	0.20%
JIANGSU HENGLI HIGHPRESSUR-A	876	74,092	0.22%
JIANGSU HENGRUI MEDICINE C-A	7,713	387,424	1.13%
JIANGSU KING'S LUCK BREWER-A	1,000	45,300	0.13%
JIANGSU YANGHE BREWERY -A	1,047	173,886	0.51%
JIANGSU ZHONGTIAN TECHNOLO-A	4,200	38,220	0.11%
JIANGXI COPPER CO LTD-A	1,847	45,178	0.13%
JIANGXI GANFENG LITHIUM CO-A	1,350	219,969	0.64%
JIANGXI ZHENGBO TECH -A	2,700	25,461	0.07%
JINKE PROPERTIES GROUP CO -A	5,500	28,380	0.08%
JONJEE HIGH-TECH INDUSTRIA-A	1,013	32,254	0.09%
JUEWEI FOOD CO LTD-A	600	38,370	0.11%
KINGFA SCI.& TECH CO LTD-A	3,100	45,477	0.13%
KWEICHOW MOUTAI CO LTD-A	1,082	1,980,060	5.76%
LB GROUP CO LTD-A (Formerly known as LOMON BILLIONS GROUP CO -A 002601)	2,100	60,291	0.18%
LENS TECHNOLOGY CO LTD-A	2,599	54,371	0.16%
LEPU MEDICAL TECHNOLOGY-A	2,200	59,026	0.17%
LONGI GREEN ENERGY TECHNOL-A	7,459	615,218	1.79%
LUXSHARE PRECISION INDUSTR-A	8,430	301,035	0.88%
LUZHOU LAOJIAO CO LTD-A	1,319	292,264	0.85%
MANGO EXCELLENT MEDIA CO L-A	1,290	56,231	0.16%
MEINIAN ONEHEALTH HEALTHCA-A	4,676	34,696	0.10%
METALLURGICAL CORP OF CHIN-A	12,263	53,344	0.16%
MIDEA GROUP CO LTD-A	8,478	590,069	1.72%
MONTAGE TECHNOLOGY CO LTD-A	800	47,528	0.14%
MUYUAN FOODSTUFF CO LTD-A	4,534	235,315	0.68%
NANJING SECURITIES CO LTD-A	3,200	31,872	0.09%
NARI TECHNOLOGY DEVELOPMEN-A	4,814	172,871	0.50%
NATIONAL SILICON INDUSTRY -A	900	25,794	0.08%
NAURA TECHNOLOGY GROUP CO-A	400	146,276	0.43%
NEW CHINA LIFE INSURANCE C-A	1,412	56,706	0.16%
NEW HOPE LIUHE CO LTD-A	3,840	56,678	0.16%
NINGXIA BAOFENG ENERGY GRO-A	3,800	61,104	0.18%
OFFCN EDUCATION TECHNOLOGY-A	3,200	34,688	0.10%
O-FILM TECH CO LTD	3,717	31,223	0.09%
OPPEIN HOME GROUP INC-A	240	31,409	0.09%
ORIENT SECURITIES CO LTD-A	7,200	108,936	0.32%
OVCTEK CHINA INC-A	900	73,494	0.21%
PERFECT WORLD CO LTD-A	2,000	30,160	0.09%
PETROCHINA CO LTD-A	16,711	100,433	0.29%
PICC HOLDING CO-A	4,200	21,252	0.06%
PING AN BANK CO LTD-A	16,672	298,929	0.87%
PING AN INSURANCE GROUP CO-A	18,602	899,593	2.62%
POLY REAL ESTATE GROUP CO -A	12,300	172,569	0.50%
POSTAL SAVINGS BANK OF CHI-A	18,700	94,996	0.28%
POWER CONSTRUCTION CORP OF-A	13,200	111,804	0.33%
RONGSHENG PETRO CHEMICAL-A	5,250	98,700	0.29%
S F HOLDING CO LTD-A	3,100	202,585	0.59%
SAIC MOTOR CORPORATION LTD-A	6,052	115,472	0.34%
SANAN OPTOELECTRONICS CO L-A	4,615	146,249	0.43%
SANY HEAVY INDUSTRY CO LTD-A	10,200	259,488	0.75%
SDIC ESSENCE HOLDINGS CO L-A	4,472	39,354	0.11%
SDIC POWER HOLDINGS CO LTD-A	4,740	57,164	0.17%
SEAZEN HOLDINGS CO LTD-A	1,600	59,648	0.17%
SHAANXI COAL INDUSTRY CO L-A	6,900	102,120	0.30%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities (continued)			
China (continued)			
SHANDONG GOLD MINING CO LT-A	3,114	61,066	0.18%
SHANDONG HUALU HENGSHENG-A	2,600	85,618	0.25%
SHANGHAI BAOSIGHT SOFTWARE-A	780	51,480	0.15%
SHANGHAI ELECTRIC GRP CO L-A	8,791	45,713	0.13%
SHANGHAI FOSUN PHARMACEUTI-A	2,142	112,027	0.33%
SHANGHAI INTERNATIONAL AIR-A	1,685	74,561	0.22%
SHANGHAI INTERNATIONAL POR-A	6,000	36,540	0.11%
SHANGHAI LINGANG HOLDINGS-A	1,640	24,682	0.07%
SHANGHAI M&G STATIONERY IN-A	600	40,788	0.12%
SHANGHAI PHARMACEUTICALS-A	1,974	37,763	0.11%
SHANGHAI PUDONG DEVEL BANK-A	20,216	181,944	0.53%
SHANGHAI PUTAILAI NEW ENER-A	300	51,600	0.15%
SHANGHAI RAAS BLOOD PRODUC-A	5,760	39,283	0.11%
SHANGHAI YUYUAN TOURIST-A	2,600	26,546	0.08%
SHANXI XINGHUACUN FEN WINE-A	880	277,640	0.81%
SHENGYI TECHNOLOGY CO LTD -A	2,000	43,320	0.13%
SHENNAN CIRCUITS CO LTD-A	280	26,550	0.08%
SHENWAN HONGYUAN GROUP CO-A	15,512	85,316	0.25%
SHENZHEN HUIDING TECHNOLOG-A	400	44,312	0.13%
SHENZHEN INOVANCE TECHNOLO-A	2,598	163,674	0.48%
SHENZHEN KANGTAI BIOLOGICA-A	700	77,091	0.22%
SHENZHEN OVERSEAS CHINESE-A	7,000	52,430	0.15%
SHENZHEN SUNWAY COMMUNICAT-A	1,700	38,318	0.11%
SHENZHEN TRANSSION HOLDING-A	400	56,400	0.16%
SINOLINK SECURITIES CO LTD-A	4,200	47,964	0.14%
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	2,680	37,734	0.11%
SOOCHOW SECURITIES CO LTD-A	5,391	53,748	0.16%
SPRING AIRLINES CO LTD-A	600	32,748	0.10%
SUNGROW POWER SUPPLY CO LT-A	1,800	267,084	0.78%
SUNING APPLIANCE CO LTD-A	6,397	31,921	0.09%
SUZHOU DONGSHAN PRECISION-A	2,300	48,024	0.14%
TCL TECHNOLOGY GROUP CORP	24,165	151,273	0.44%
TIANFENG SECURITIES CO LTD-A	7,400	32,264	0.09%
TIANJIN ZHONGHUAN SEMICOND-A	3,700	169,719	0.49%
TONGFANG GUOXIN ELECTRONIC-A	700	144,760	0.42%
TONGWEI CO LTD-A	4,600	234,324	0.68%
TOPCHOICE MEDICAL INVESTME-A	400	120,808	0.35%
TSINGHUA UNISPLENDOUR CO-A	2,460	61,352	0.18%
TSINGTAO BREWERY CO LTD-A	600	48,318	0.14%
UNIVERSAL SCIENTIFIC INDUS-A	1,100	15,246	0.04%
WALVAX BIOTECHNOLOGY CO-A	2,700	170,586	0.50%
WANDA FILM HOLDING CO LTD-A	1,500	21,075	0.06%
WANHUA CHEMICAL GROUP CO LTD	2,634	281,179	0.82%
WEICHAJ POWER CO LTD-A	8,234	141,295	0.41%
WENS FOODSTUFFS GROUP CO - A	7,680	111,130	0.32%
WESTERN SECURITIES CO LTD-A	3,060	25,337	0.07%
WILL SEMICONDUCTOR CO LTD-A	900	218,349	0.64%
WINGTECH TECHNOLOGY CO LTD-A	1,000	93,660	0.27%
WUHAN GUIDE INFRARED CO LT-A	1,600	37,408	0.11%
WUHAN HUMANWELL HEALTHCARE-A	1,700	34,272	0.10%
WUHU SANQI INTERACTIVE ENT-A	2,300	48,277	0.14%
WULIANGYE YIBIN CO LTD-A	3,399	745,707	2.17%
WUS PRINTED CIRCUIT KUNSHA-A	2,260	25,335	0.07%
WUXI APTEC CO LTD-A	3,091	472,305	1.37%
WUXI LEAD INTELLIGENT EQUI-A	1,600	111,712	0.32%

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities (continued)			
China (continued)			
XCMG CONSTRUCTION MACHIN-A	8,089	56,542	0.16%
XINJIANG GOLDWIND SCI&TECH-A	4,768	82,916	0.24%
YEALINK NETWORK TECHNOLOGY-A	500	40,625	0.12%
YIFENG PHARMACY CHAIN CO L-A	600	31,248	0.09%
YONGHUI SUPERSTORES CO LTD-A	6,585	25,813	0.08%
YONYOU NETWORK TECHNOLOGY CO LTD	2,790	92,433	0.27%
YTO EXPRESS GROUP CO LTD-A	2,200	30,646	0.09%
YUNDA HOLDING CO LTD-A	2,038	39,231	0.11%
YUNNAN BAIYAO GROUP CO LTD-A	917	89,673	0.26%
YUNNAN ENERGY NEW MATERIAL-A	900	252,108	0.73%
ZHANGZHOU PIENZEHUANG PHA-A	500	189,100	0.55%
ZHEJIANG CENTURY HUATONG -A	6,409	47,427	0.14%
ZHEJIANG CHINT ELECTRICS-A	1,800	101,880	0.30%
ZHEJIANG DAHUA TECHNOLOGY-A	3,046	72,251	0.21%
ZHEJIANG DINGLI MACHINERY -A	400	28,200	0.08%
ZHEJIANG HUAHAI PHARMACEUT-A	1,500	26,505	0.08%
ZHEJIANG HUAYOU COBALT CO-A	1,380	142,692	0.41%
ZHEJIANG LONGSHENG GROUP C-A	4,442	60,056	0.17%
ZHEJIANG NHU CO LTD-A	2,600	69,836	0.20%
ZHEJIANG SANHUA INTELLIGEN-A	3,080	70,101	0.20%
ZHEJIANG SUPOR COOKWARE CO-A	300	14,013	0.04%
ZHESHANG SECURITIES CO LTD-A	2,600	32,370	0.09%
ZHONGJIN GOLD CORP-A	3,423	28,651	0.08%
ZHONGTAI SECURITIES CO LTD-A	1,400	13,412	0.04%
ZIJIN MINING GROUP CO LTD-A	23,797	240,112	0.70%
ZTE CORP-A	5,358	177,511	0.52%
Total investments		34,201,582	99.48%
Total investments, at cost		21,389,976	

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

China Biotech ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
ANHUI ANKE BIOTECHNOLOGY G-A	2,709,399	32,377,318	1.46%
BEIJING TIANTAN BIOLOGICAL-A	897,027	28,713,834	1.29%
BEIJING WANTAI BIOLOGICAL-A	114,724	25,468,728	1.15%
BETTA PHARMACEUTICALS CO L-A	755,953	70,764,760	3.19%
BGI GENOMICS CO LTD-A	715,862	62,645,084	2.82%
CANSINO BIOLOGICS INC-A	200,716	68,000,574	3.06%
CHINA NATIONAL MEDICINES-A	549,055	16,098,293	0.72%
DA AN GENE CO LTD SUN YAT-A	1,510,177	28,043,987	1.26%
HUALAN BIOLOGICAL ENGINEER-A	1,576,293	43,978,575	1.98%
JIANGSU HENGRUI MEDICINE C-A	3,652,496	183,464,874	8.26%
LIVON PHARMACEUTICAL GROU-A	654,806	25,413,021	1.15%
NANJING KING-FRIEND BIOCHE-A	538,103	19,371,708	0.87%
PHARMABLOCK SCIENCES NANJI-A	366,855	75,087,881	3.38%
SHANGHAI RAAS BLOOD PRODUC-A	4,009,594	27,345,431	1.23%
SHENZHEN KANGTAI BIOLOGICA-A	1,177,021	129,625,323	5.84%
WALVAX BIOTECHNOLOGY CO-A	2,387,585	150,847,620	6.79%
WUXI APPTec CO LTD-A	1,501,731	229,464,497	10.33%
ZHEJIANG WOLWO BIO-PHARMAC-A	888,615	52,072,839	2.35%
		<u>1,268,784,347</u>	
Hong Kong			
CHINA MEDICAL SYSTEM HOLDING	2,379,000	28,007,348	1.26%
GENSCRIPT BIOTECH CORP	1,761,531	43,812,773	1.97%
HANSOH PHARMACEUTICAL GROUP	1,978,000	32,469,879	1.46%
HYGEIA HEALTHCARE HOLDINGS C	349,162	16,818,695	0.76%
INNOVENT BIOLOGICS INC	2,361,803	147,835,868	6.66%
REMEGEN CO LTD-H	77,500	6,335,309	0.29%
SHANGHAI JUNSHI BIOSCIENCE-H	339,400	11,142,848	0.50%
SINO BIOPHARMACEUTICAL	17,865,356	95,682,693	4.31%
WUXI BIOLOGICS CAYMAN INC	2,017,052	211,542,102	9.52%
		<u>593,647,515</u>	
United States			
BEIGENE LTD-ADR	106,069	248,498,138	11.19%
HUTCHMED CHINA LTD (Formerly known as HUTCHISON CHINA MEDITECH-ADR HCM)	98,912	23,370,971	1.05%
ZAI LAB LTD-ADR	121,919	82,927,550	3.73%
		<u>354,796,659</u>	
Total investments		<u>2,217,228,521</u>	<u>99.83%</u>
Total investments, at cost		<u>2,148,470,797</u>	

GLOBAL X ETF SERIES

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2021

China Cloud Computing ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
Listed equities			
China			
BEIJING SHIJI INFORMATION -A	164,455	4,190,313	0.46%
BEIJING SINNET TECHNOLOGY-A	1,003,815	12,919,099	1.42%
CHINA NATIONAL SOFTWARE -A	171,343	8,460,917	0.93%
CHINA TRANSINFO TECHNOLOGY-A	534,605	7,901,462	0.87%
DHC SOFTWARE CO LTD -A	1,119,400	8,518,634	0.93%
HITHINK ROYALFLUSH INFORM-A	378,267	45,350,431	4.97%
HUNDSUN ELECTRONIC CO LTD-A	682,349	39,084,951	4.28%
IFLYTEK CO LTD	969,070	51,263,803	5.62%
SANGFOR TECHNOLOGIES INC-A	265,030	62,176,038	6.81%
WINNING HEALTH TECHNOLOGY-A	1,336,917	19,425,404	2.13%
YONYOU SOFTWARE CO LTD-A	974,506	32,285,384	3.54%
		291,576,436	
Hong Kong			
CHINA YOUZAN LTD	9,836,000	7,991,601	0.88%
KINGDEE INTERNATIONAL SFTWR	1,856,000	40,084,355	4.39%
KINGSOFT CORP LTD	671,000	17,273,221	1.89%
MEITUAN-CLASS B	447,700	91,531,243	10.03%
MING YUAN CLOUD GROUP HOLDIN	457,000	10,229,847	1.12%
TENCENT HOLDINGS LTD	232,100	88,785,476	9.73%
WEIMOB INC	1,332,000	12,456,686	1.36%
		268,352,429	
United States			
21VIANET GROUP INC-ADR	60,784	6,790,697	0.74%
ALIBABA GROUP HOLDING-SP ADR BABA	78,235	74,754,534	8.19%
DADA NEXUS LTD-ADR	177,217	22,920,872	2.51%
FUTU HOLDINGS LTD-ADR	33,076	19,430,226	2.13%
GAOTU TECHEDU INC (Formerly known as GSX TECHEDU INC- ADR GSX)	58,037	1,149,930	0.13%
GDS HOLDINGS LTD - ADR	122,538	44,770,504	4.91%
KE HOLDINGS INC	395,241	46,579,069	5.10%
KINGSOFT CLOUD HOLDINGS-ADR	160,831	29,396,190	3.22%
LUFAX HOLDING LTD	1,686,466	75,973,311	8.33%
NETEASE INC-ADR	52,742	29,069,831	3.19%
YOUDAO INC	4,724	368,607	0.04%
		351,203,771	
Total investments		911,132,636	99.85%
Total investments, at cost		1,143,519,916	

GLOBAL X ETF SERIES

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities					
Communication Services					
ALIBABA PICTURES GROUP LTD	500,009	56,651	-	96,795	459,865
AUTOHOME INC-ADR	2,463	835	-	388	2,910
BAIDU INC - SPON ADR	11,193	1,311	-	1,936	10,568
BEIJING ENLIGHT MEDIA CO L-A	7,400	600	-	1,300	6,700
BEIJING KUNLUN TECH CO LTD-A	2,900	300	-	500	2,700
BILIBILI INC-SPONSORED ADR	4,884	2,239	-	856	6,267
CHINA FILM CO LTD-A	3,800	600	-	4,400	-
CHINA LITERATURE LTD	12,761	5,184	-	2,426	15,519
CHINA SOUTH PUBLISHING -A	4,400	500	-	800	4,100
CHINA TOWER CORP LTD-H	1,844,974	195,053	-	440,689	1,599,338
CHINA UNITED NETWORK-A	-	70,900	-	-	70,900
DOUYU INTERNATIONAL HOLD-ADR					
DOYU	3,980	374	-	4,354	-
FOCUS MEDIA INFORMATION TE-A	36,660	4,100	-	7,200	33,560
G-BITS NETWORK TECHNOLOGY-A	200	-	-	-	200
GIANT NETWORK GROUP CO LTD-A	4,200	400	-	700	3,900
HELLO GROUP INC	6,328	827	-	1,151	6,004
HUYA INC-ADR	3,236	408	-	609	3,035
IQIYI INC-ADR IQ	11,648	1,300	-	2,141	10,807
JOYY INC	2,419	84	-	382	2,121
KINGSOFT CORP LTD	34,128	8,529	-	6,064	36,593
KUAISHOU TECHNOLOGY	8,235	3,756	-	1,655	10,336
LEO GROUP CO LTD-A	16,900	1,600	-	3,100	15,400
MANGO EXCELLENT MEDIA CO L-A	4,370	700	-	800	4,270
NANJI E-COMMERCE CO LTD-A	6,100	600	-	1,100	5,600
NETEASE INC-ADR	17,272	1,862	-	3,828	15,306
PERFECT WORLD CO LTD-A	4,800	500	-	900	4,400
SHANGHAI ORIENTAL PEARL ME-A	8,500	-	-	8,500	-
TENCENT HOLDINGS LTD	239,209	26,532	-	46,502	219,239
TENCENT MUSIC ENTERTAINM-ADR					
TME	15,331	13,996	-	3,888	25,439
WEIBO CORP-SPON ADR	2,375	442	-	417	2,400
WUHU SHUNRONG SANQI INTERA-A	5,300	700	-	900	5,100
ZHEJIANG CENTURY HUATONG -A	18,700	1,700	-	3,400	17,000
Consumer Discretionary					
ALIBABA GROUP HOLDING LTD	-	58,142	607,712	87,687	578,167
ALIBABA GROUP HOLDING-SP ADR					
BABA	78,752	-	(75,964)	2,788	-
ANTA SPORTS PRODUCTS LTD	44,642	4,246	-	7,709	41,179
BAOZUN INC-SPN ADR	2,439	342	-	529	2,252
BEIJING ROBOROCK TECHNOLOG-A	-	200	-	-	200
BEIJING WANGFUJING DEPT ST-A	1,900	200	-	300	1,800
BOSIDENG INTL HLDGS LTD	133,923	14,635	-	25,187	123,371
BRILLIANCE CHINA AUTOMOTIVE	126,624	-	-	-	126,624
BYD CO LTD -A	4,500	500	-	900	4,100
BYD CO LTD-H	32,599	3,569	-	6,236	29,932
CHANGZHOU XINGYU AUTOMOTIV-A	700	-	-	100	600
CHINA EAST EDUCATION HOLDING	22,862	2,623	-	4,565	20,920
CHINA EDUCATION GROUP HOLDIN	33,222	3,135	-	6,062	30,295
CHINA MEIDONG AUTO HOLDINGS	23,680	4,101	-	6,454	21,327
CHINA TOURISM GROUP DUTY F-A	4,800	600	-	900	4,500
CHINA YUHUA EDUCATION CORP L	49,205	17,797	-	9,506	57,496

GLOBAL X ETF SERIES

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Consumer Discretionary (continued)					
CHONGQING CHANGAN AUTOMOB-A	11,100	1,600	4,160	2,300	14,560
DADA NEXUS LTD-ADR	-	2,531	-	274	2,257
DONGFENG MOTOR GRP CO LTD-H	113,455	12,439	-	22,580	103,314
ECOVACS ROBOTICS CO LTD-A	1,100	100	-	100	1,100
FAW CAR COMPANY LIMITED-A	7,700	700	-	1,300	7,100
FUYAO GLASS INDUSTRY GROUP-H	21,032	5,965	-	3,891	23,106
FUYAO GROUP GLASS INDUSTR-A	5,000	600	-	1,000	4,600
GAOTU TECHEDU INC (Formerly known as GSX TECHEDU INC- ADR GSX)	3,173	2,184	-	643	4,714
GEELY AUTOMOBILE HOLDINGS LTD	244,843	26,924	-	47,382	224,385
GOME RETAIL HOLDINGS LTD	400,123	127,321	-	73,650	453,794
GREAT WALL MOTOR CO LTD-A	5,600	600	-	1,100	5,100
GREAT WALL MOTOR COMPANY-H	129,131	14,512	-	25,607	118,036
GUANGDONG XINBAO ELECTRICA-A	1,600	600	-	300	1,900
GUANGZHOU AUTOMOBILE GROUP-H	120,878	13,363	-	22,140	112,101
HAILAO INTERNATIONAL HOLDI	32,987	14,114	-	6,734	40,367
HAIER SMART HOME CO LTD-A	15,700	1,700	-	3,000	14,400
HAIER SMART HOME CO LTD-H	81,568	18,694	-	14,392	85,870
HANG ZHOU GREAT STAR INDUS-A	-	2,900	-	300	2,600
HANGZHOU ROBAM APPLIANCES-A	2,400	200	-	400	2,200
HENGTEEN NETWORKS GROUP LTD	-	120,919	-	15,418	105,501
HUAYU AUTOMOTIVE SYSTEMS -A	7,900	900	-	1,600	7,200
HUAZHU GROUP LTD-ADR	6,667	1,293	-	1,220	6,740
HUIZHOU DESAY SV AUTOMOTIV-A	-	1,200	-	200	1,000
JASON FURNITURE HANGZHOU C-A	1,500	300	-	400	1,400
JD HEALTH INTERNATIONAL INC	11,913	3,508	-	2,082	13,339
JD.COM INC-ADR JD.COM INC-ADR	35,990	3,533	-	6,432	33,091
JIUMAOJIU INTERNATIONAL HOLD	26,815	5,816	-	4,957	27,674
JOYOUNG CO LTD -A	1,900	200	-	300	1,800
KOOLEARN TECHNOLOGY HOLDING	10,211	-	-	10,211	-
KUANG-CHI TECHNOLOGIES CO-A	5,400	500	-	1,000	4,900
LI AUTO INC - ADR	7,470	15,837	-	2,729	20,578
LI NING CO LTD	88,002	11,716	-	14,245	85,473
LIAONING CHENG DA CO LTD-A	3,800	400	-	700	3,500
MEITUAN-CLASS B	150,009	30,010	-	26,488	153,531
MIDEA GROUP CO LTD-A	8,700	1,000	-	1,700	8,000
MINH GROUP LTD	30,373	2,959	-	4,623	28,709
NAVINFO CO LTD - A	5,600	600	-	1,000	5,200
NEW ORIENTAL EDUCATIO-SP ADR	63,550	7,095	-	11,893	58,752
NINGBO JOYSON ELECTRONIC-A	3,300	400	-	600	3,100
NINGBO TUOPU GROUP CO LTD-A	2,600	300	-	400	2,500
NIO INC - ADR	53,382	7,635	-	9,160	51,857
OFFCN EDUCATION TECHNOLOGY-A	4,100	1,700	-	600	5,200
OPPEIN HOME GROUP INC-A	1,060	200	-	100	1,160
PINDUODUO INC-ADR	16,354	2,805	-	2,454	16,705
SAIC MOTOR CORPORATION LTD-A	19,400	2,200	-	3,800	17,800
SAILUN GROUP CO LTD-A	-	7,800	-	800	7,000
SHANDONG LINGLONG TYRE CO -A	3,400	400	-	700	3,100
SHANGHAI JINJIANG INTERNET-A	1,900	500	-	300	2,100
SHANGHAI YUYUAN TOURIST-A	8,100	700	-	1,400	7,400
SHENZHEN MTC CO LTD -A	11,300	1,100	-	2,100	10,300
SHENZHEN OVERSEAS CHINESE-A	20,500	2,300	-	4,100	18,700
SHENZHOU INTERNATIONAL GROUP	34,396	3,812	-	6,723	31,485
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	6,560	600	-	1,200	5,960

GLOBAL X ETF SERIES

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Consumer Discretionary (continued)					
SUNING APPLIANCE CO LTD-A	22,633	2,200	-	3,600	21,233
SUOFEIYA HOME COLLECTION C-A	1,100	100	-	200	1,000
TAL EDUCATION GROUP- ADR	15,798	2,606	-	2,342	16,062
TCL CORP-A	35,000	3,900	-	6,800	32,100
TONGCHENG-ELONG HOLDINGS LTD	36,218	7,406	-	5,972	37,652
TOPSPORTS INTERNATIONAL HOLD	51,600	27,040	-	19,602	59,038
TRIP.COM GROUP LTD	19,743	2,758	-	3,081	19,420
VIPSHOP HOLDINGS LTD - ADS	18,530	2,139	-	3,252	17,417
WEIFU HIGH-TECHNOLOGY GRP-A	2,100	200	-	400	1,900
WUCHAN ZHONGDA GROUP CO LTD	12,600	1,200	-	2,200	11,600
XIAMEN INTRETECH INC-A	1,000	200	700	400	1,500
XPENG INC - ADR	7,086	9,178	-	1,620	14,644
YADEA GROUP HOLDINGS LTD	43,048	10,598	-	8,024	45,622
YUM CHINA HOLDINGS INC	16,572	2,135	-	2,690	16,017
ZHEJIANG SEMIR GARMENT CO-A	5,600	500	-	1,000	5,100
ZHEJIANG SUPOR COOKWARE CO-A	1,300	100	-	200	1,200
ZHONGSHENG GROUP HOLDINGS	23,682	2,700	-	4,339	22,043
Consumer Staples					
ANGEL YEAST CO LTD-A	2,000	200	-	300	1,900
ANHUI GUJING DISTILLERY CO-A	1,000	100	-	200	900
ANHUI GUJING DISTILLERY CO-B	3,701	1,200	-	1,504	3,397
ANHUI KOUZI DISTILLERY CO -A	1,500	200	-	300	1,400
BEIJING DABEINONG TECHNOLO-A	10,400	1,000	-	1,900	9,500
BEIJING SHUNXIN AGRICULT-A	1,800	200	-	300	1,700
BEIJING YANJING BREWERY CO-A	7,100	600	-	1,300	6,400
BY-HEALTH CO LTD-A	3,900	600	-	600	3,900
C&S PAPER CO LTD-A	3,300	300	-	600	3,000
CHACHA FOOD CO LTD - A	1,200	100	-	100	1,200
CHINA FEIHE LTD	48,547	106,911	-	19,378	136,080
CHINA HUIZHAN DAIRY INVESTMENTS HK LTD ¹	296,760	-	-	-	296,760
CHINA MENGNIU DAIRY CO	115,095	25,309	-	20,120	120,284
CHINA RESOURCES BEER (HOLDINGS) CO LTD	61,430	7,577	-	13,412	55,595
CHONGQING BREWERY CO-A	1,200	100	-	200	1,100
CHONGQING FULING ZHACAI - A	1,900	500	-	400	2,000
DALI FOODS GROUP CO LTD	85,531	9,475	-	16,782	78,224
DASHENLIN PHARMACEUTICAL G-A	1,600	200	300	300	1,800
FOSHAN HAITIAN FLAVOURING -A	6,820	1,000	1,986	1,600	8,206
FU JIAN ANJOY FOODS CO LTD-A	600	-	-	-	600
FUJIAN SUNNER DEVELOPMENT-A	3,100	300	-	600	2,800
GUANGDONG HAID GROUP CO-A	4,000	500	-	700	3,800
HEILONGJIANG AGRICULTURE-A	4,400	500	-	800	4,100
HENAN SHUANGHUI INVESTMENT-A	7,300	700	-	1,400	6,600
HENGAN INTL GROUP CO LTD	27,207	3,052	-	5,411	24,848
INNER MONGOLIA YILI INDUS-A	15,100	1,700	-	2,900	13,900
JIANGSU KING'S LUCK BREWER-A	3,100	300	-	500	2,900
JIANGSU YANGHE BREWERY -A	3,700	400	-	700	3,400
JIANGXI ZHENGHANG TECH-A	7,800	800	-	1,400	7,200
JIUGUI LIQUOR CO LTD-A	800	-	-	100	700
JONJEE HIGH-TECH INDUSTRIA-A	2,000	300	-	500	1,800
JUEWEI FOOD CO LTD-A	1,500	200	-	300	1,400
KWEICHOW MOUTAI CO LTD-A	3,100	300	-	500	2,900

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2021.

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Consumer Staples (continued)					
LAOBAIXING PHARMACY CHAIN-A	1,100	100	-	300	900
LUZHOU LAOJIAO CO LTD-A	3,600	400	-	700	3,300
MUYUAN FOODSTUFF CO LTD-A	9,352	1,500	3,460	2,300	12,012
NEW HOPE LIUHE CO LTD-A	11,200	1,100	-	2,000	10,300
NONGFU SPRING CO LTD-H	12,450	5,351	-	2,463	15,338
PROYA COSMETICS CO LTD-A	500	-	-	-	500
QIANHE CONDIMENT AND FOOD-A	1,600	200	320	300	1,820
RLX TECHNOLOGY INC-ADR	4,790	19,522	-	732	23,580
SANQUAN FOOD CO LTD-A	2,000	-	190	2,190	-
SHANGHAI BAIRUN FLAVOR & F-A	1,300	300	480	400	1,680
SHANGHAI FRIENDSHIP GROUP-A	-	4,100	-	400	3,700
SHANGHAI JAHWA UNITED CO -A	1,600	300	-	400	1,500
SHANXI XINGHUACUN FEN WINE-A	2,200	300	840	600	2,740
SICHUAN SWELLFUN CO LTD-A	1,200	100	-	200	1,100
SMOORE INTERNATIONAL HOLDING	27,028	47,185	-	6,032	68,181
SUN ART RETAIL GRO	78,502	8,892	-	14,736	72,658
TINGYI (CAYMAN ISLN) HLDG CO	81,654	9,546	-	16,226	74,974
TOLY BREAD CO LTD-A	1,400	500	560	300	2,160
TONGWEI CO LTD-A	10,700	1,400	-	1,800	10,300
TSINGTAO BREWERY CO LTD-A	1,700	300	-	400	1,600
TSINGTAO BREWERY CO LTD-H	18,352	4,425	-	2,820	19,957
UNI-PRESIDENT CHINA HOLDINGS	54,038	6,060	-	10,752	49,346
VINDA INTERNATIONAL HOLDINGS	15,416	1,397	-	3,100	13,713
WANT WANT CHINA HOLDINGS LTD	203,792	22,832	-	43,447	183,177
WENS FOODSTUFFS GROUP CO - A	15,920	1,700	-	3,100	14,520
WULIANGYE YIBIN CO LTD-A	9,700	1,100	-	1,900	8,900
YIFENG PHARMACY CHAIN CO L-A	1,320	300	366	300	1,686
YIHAI INTERNATIONAL HOLDING	19,149	1,866	-	3,074	17,941
YIHAI KERRY ARAWANA HOLDIN-A	3,200	400	-	700	2,900
YIXINTANG PHARMACEUTICAL G-A	-	1,600	-	200	1,400
YONGHUI SUPERSTORES CO LTD-A	23,700	2,200	-	4,200	21,700
YUAN LONGPING HIGH-TECH AG-A	3,300	300	-	600	3,000
Energy					
CHINA MERCHANTS ENERGY -A	16,800	1,800	3,160	3,300	18,460
CHINA OILFIELD SERVICES-H	71,122	9,128	-	11,279	68,971
CHINA PETROLEUM & CHEMICAL CORP	1,000,546	112,171	-	189,700	923,017
CHINA PETROLEUM & CHEMICAL-A	63,700	21,100	-	12,000	72,800
CHINA SHENHUA ENERGY CO - H	141,566	15,678	-	28,624	128,620
CHINA SHENHUA ENERGY CO -A	13,700	3,900	-	2,500	15,100
CHINA SHIPPING DEVELOPMENT-A	8,700	800	-	1,600	7,900
OFFSHORE OIL ENGINEERING-A	11,000	1,100	-	2,000	10,100
PETROCHINA CO LTD-A	53,900	5,900	-	10,500	49,300
PETROCHINA CO LTD-H	878,648	29,780	-	104,945	803,483
SHAANXI COAL INDUSTRY CO L-A	20,800	5,700	-	3,700	22,800
SHANXI LU'AN ENVIRONMENTAL-A	7,500	700	-	1,400	6,800
SHANXI MEIJIN ENERGY CO LT -A	10,100	1,500	-	1,800	9,800
SHANXI XISHAN COAL & ELEC-A	10,160	900	-	1,700	9,360
XINJIANG GUANGHUI INDUSTRY-A	16,900	1,600	-	3,100	15,400
YANTAI JEREH OILFIELD-A	2,400	200	-	400	2,200
YANZHOU COAL MINING CO-A	6,200	200	-	700	5,700
YANZHOU COAL MINING CO-H	62,303	7,863	-	12,282	57,884

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Financials					
360 DIGITECH INC	-	3,730	-	455	3,275
AGRICULTURAL BANK OF CHINA-A	186,000	20,700	-	36,500	170,200
AGRICULTURAL BANK OF CHINA-H	1,151,737	120,519	-	277,256	995,000
AVIC CAPITAL CO LTD -A	22,300	2,100	-	4,000	20,400
BANK OF BEIJING CO LTD -A	52,900	5,800	-	10,400	48,300
BANK OF CHENGDU CO LTD-A	9,000	1,000	-	1,700	8,300
BANK OF CHINA LTD-A	87,700	9,700	-	17,100	80,300
BANK OF CHINA LTD-H	3,306,732	366,572	-	648,045	3,025,259
BANK OF COMMUNICATIONS CO-H	363,948	40,012	-	70,632	333,328
BANK OF COMMUNICATIONS-A	98,100	10,900	-	19,300	89,700
BANK OF HANGZHOU CO LTD-A	14,740	1,600	-	2,800	13,540
BANK OF JIANGSU CO LTD-A	37,300	4,100	-	7,700	33,700
BANK OF NANJING CO LTD -A	25,000	2,700	-	4,800	22,900
BANK OF NINGBO CO LTD -A	14,900	1,600	-	2,800	13,700
BANK OF SHANGHAI CO LTD-A	35,436	3,900	-	6,900	32,436
BOC INTERNATIONAL CHINA CO-A	-	4,800	-	600	4,200
CAITONG SECURITIES CO LTD-A	9,000	900	-	1,700	8,200
CHANGJIANG SECURITIES CO L-A	13,800	1,300	-	2,500	12,600
CHINA BOHAI BANK CO LTD-H	103,200	25,147	-	10,283	118,064
CHINA CINDA ASSET MANAGEME-H	366,838	40,298	-	71,295	335,841
CHINA CITIC BANK CORP LTD-H	371,616	41,661	-	73,234	340,043
CHINA CONSTRUCTION BANK-A	23,700	2,200	-	4,000	21,900
CHINA CONSTRUCTION BANK-H	4,004,057	443,527	-	785,378	3,662,206
CHINA EVERBRIGHT BANK CO L-H	131,493	14,298	-	25,084	120,707
CHINA EVERBRIGHT BANK CO-A	99,400	11,500	-	16,400	94,500
CHINA EVERBRIGHT LIMITED	37,716	3,674	-	6,092	35,298
CHINA GALAXY SECURITIES CO-A	10,700	1,100	-	2,000	9,800
CHINA GALAXY SECURITIES CO-H	144,493	4,948	-	15,910	133,531
CHINA GREAT WALL SECURITIE-A	5,100	1,700	-	900	5,900
CHINA HUARONG ASSET MANAGEME	417,394	-	-	-	417,394
CHINA INTERNATIONAL CAPITA-H	55,643	7,933	-	9,203	54,373
CHINA INTERNATIONAL CAPITAL-A	2,200	500	-	300	2,400
CHINA LIFE INSURANCE CO- A	6,900	800	-	1,400	6,300
CHINA LIFE INSURANCE CO-H	310,042	34,864	-	61,533	283,373
CHINA MERCHANTS BANK - H	162,501	18,158	-	32,054	148,605
CHINA MERCHANTS BANK-A	51,563	5,700	-	10,100	47,163
CHINA MERCHANTS SECURITIES-A	18,600	2,100	-	3,700	17,000
CHINA MINSHENG BANKING-A	88,580	9,900	-	17,500	80,980
CHINA MINSHENG BANKING-H	242,492	27,094	-	47,790	221,796
CHINA PACIFIC INSURANCE GR-A	17,000	1,900	-	3,300	15,600
CHINA PACIFIC INSURANCE GR-H	115,581	12,241	-	27,418	100,404
CHINA TAIPING INSURANCE HOLD	67,333	7,470	-	13,213	61,590
CHINA ZHESHANG BANK CO LTD-A	41,600	4,700	-	8,100	38,200
CHONGQING RURAL COMMERCIAL-A	22,000	2,000	-	3,800	20,200
CHONGQING RURAL COMMERCIAL-H	99,705	10,800	-	24,364	86,141
CITIC SECURITIES	90,032	11,366	-	14,635	86,763
CITIC SECURITIES CO-A SHARES	26,508	2,900	-	5,100	24,308
CSC FINANCIAL CO LTD-A	7,000	4,500	-	1,600	9,900
DONGXING SECURITIES CO LT-A	6,900	600	-	1,200	6,300
EAST MONEY INFORMATION CO-A	21,440	2,800	4,128	4,800	23,568
EVERBRIGHT SECURITIE CO -A	9,800	1,100	-	2,000	8,900
FAR EAST HORIZON LTD	81,753	7,446	-	25,833	63,366
FIRST CAPITAL SECURITIES C-A	10,500	1,000	-	1,900	9,600
FOUNDER SECURITIES CO LTD-A	20,600	2,300	-	4,100	18,800

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MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Financials (continued)					
GF SECURITIES CO LTD-A	14,700	1,600	-	2,800	13,500
GF SECURITIES CO LTD-H	53,178	5,090	-	16,143	42,125
GUANGZHOU YUEXIU FINANCIAL-A	4,500	500	1,470	800	5,670
GUOLIAN SECURITIES CO LTD-A	4,000	400	-	700	3,700
GUOSEN SECURITIES CO LTD-A	10,400	6,300	-	2,100	14,600
GUOTAI JUNAN SECURITIES CO-A	18,800	2,000	-	3,600	17,200
GUOYUAN SECURITIES CO LTD-A	10,900	1,100	-	2,000	10,000
HAITONG SECURITIES	113,633	12,582	-	22,341	103,874
HAITONG SECURITIES CO LTD-A	24,100	2,600	-	4,600	22,100
HITHINK ROYALFLUSH INFORM-A	1,300	200	-	300	1,200
HUAAN SECURITIES CO LTD-A	9,100	2,800	-	1,100	10,800
HUATAI SECURITIES CO LTD-A	18,418	2,000	-	3,600	16,818
HUATAI SECURITIES CO LTD-H	60,784	8,017	-	9,883	58,918
HUAXI SECURITIES VCO LTD -A	6,600	600	-	1,200	6,000
HUAXIA BANK CO LTD-A	32,100	3,600	-	6,400	29,300
HUBEI BIOCAUSE PHARMACEUTI-A	12,400	1,100	-	2,200	11,300
IND & COMM BK OF CHINA - A	134,600	32,900	-	23,800	143,700
IND & COMM BK OF CHINA-H	2,530,155	260,153	-	641,882	2,148,426
INDUSTRIAL BANK CO LTD -A	51,900	5,700	-	10,100	47,500
INDUSTRIAL SECURITIES CO-A	16,700	1,600	-	3,000	15,300
JIANGSU CHANGSHU RURAL COM-A	6,900	700	-	1,300	6,300
LUFAX HOLDING LTD	7,104	771	-	1,311	6,564
NANJING SECURITIES CO LTD-A	9,200	900	-	1,700	8,400
NEW CHINA LIFE INSURANCE C-A	5,200	600	-	1,000	4,800
NEW CHINA LIFE INSURANCE C-H	34,457	4,610	-	5,593	33,474
NOAH HOLDINGS LTD-SPON ADS	1,418	232	-	380	1,270
NORTHEAST SECURITIES CO LT-A	5,900	600	-	1,200	5,300
OCEANWIDE HOLDINGS CO LTD -A	10,800	-	-	10,800	-
ORIENT SECURITIES CO LTD-A	14,800	1,600	-	2,800	13,600
PACIFIC SECURITIES CO/THE-A	17,000	1,600	-	3,000	15,600
PEOPLE'S INSURANCE	345,142	38,186	-	67,633	315,695
PICC HOLDING CO-A	14,700	1,400	-	2,600	13,500
PICC PROPERTY & CASUALTY -H	287,674	32,796	-	57,733	262,737
PING AN BANK CO LTD-A	48,500	5,400	-	9,600	44,300
PING AN INSURANCE GROUP CO-A	27,000	3,000	-	5,200	24,800
PING AN INSURANCE GROUP CO-H	247,898	33,585	-	40,409	241,074
POSTAL SAVINGS BANK OF CHI-A	66,600	7,400	-	13,200	60,800
POSTAL SAVINGS BANK OF CHI-H	413,474	36,866	-	147,877	302,463
QINGDAO RURAL COMMERCIAL B-A	13,900	1,300	-	2,500	12,700
SDIC ESSENCE HOLDINGS CO L-A	10,500	1,400	5,252	2,500	14,652
SEALAND SECURITIES CO LTD -A	13,560	1,300	-	2,400	12,460
SHANGHAI PUDONG DEVEL BANK-A	73,300	8,000	-	14,200	67,100
SHANXI SECURITIES CO LTD-A	9,000	900	-	1,700	8,200
SHENWAN HONGYUAN GROUP CO-A	56,300	6,400	-	11,200	51,500
SINOLINK SECURITIES CO LTD-A	7,600	700	-	1,400	6,900
SOOCHOW SECURITIES CO LTD-A	9,700	900	-	1,700	8,900
SOUTHWEST SECURITIES CO LT-A	16,600	1,600	-	3,000	15,200
TIANFENG SECURITIES CO LTD-A	16,600	6,000	-	2,800	19,800
UP FINTECH HOLDING LTD - ADR TIGR	-	3,408	-	383	3,025
WESTERN SECURITIES CO LTD-A	11,200	1,100	-	2,100	10,200
ZHESHANG SECURITIES CO LTD-A	9,000	1,200	-	1,300	8,900
ZHONGAN ONLINE P&C INSURAN-H	15,681	6,325	-	3,082	18,924
ZHONGTAI SECURITIES CO LTD-A	-	13,300	-	-	13,300

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MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Health Care					
3SBIO INC	52,654	5,928	-	10,054	48,528
AIER EYE HOSPITAL GROUP CO-A	10,209	1,400	2,820	2,200	12,229
AK MEDICAL HOLDINGS LTD 1789	17,112	-	-	17,112	-
AKESO INC	-	12,111	-	1,221	10,890
ALIBABA HEALTH INFORMATION T	167,274	17,675	-	30,855	154,094
APELOA PHARMACEUTICAL CO-A	2,900	300	-	500	2,700
ASYMCHAM LABORATORIES TIAN-A	600	-	-	-	600
AUTOBIO DIAGNOSTICS CO LTD-A	800	400	240	100	1,340
BEIGENE LTD-ADR	1,923	191	-	360	1,754
BEIJING TIANTAN BIOLOGICAL-A	3,180	500	-	500	3,180
BEIJING WANTAI BIOLOGICAL-A	-	900	-	-	900
BETTA PHARMACEUTICALS CO L-A	1,000	100	-	200	900
BGI GENOMICS CO LTD-A	1,000	100	-	200	900
BURNING ROCK BIOTECH LTD-ADR	-	1,876	-	215	1,661
CANSINO BIOLOGICS INC-A	-	221	-	-	221
CANSINO BIOLOGICS INC-H	2,948	523	-	440	3,031
CHANGCHUN HIGH & NEW TECH-A	1,000	-	-	100	900
CHENGDU KANGHONG PHARMACEU-A	1,900	-	-	1,900	-
CHINA MEDICAL SYSTEM HOLDING	56,942	6,236	-	11,428	51,750
CHINA NATIONAL ACCORD MEDI-A	1,000	-	-	1,000	-
CHINA NATIONAL MEDICINES-A	1,900	300	-	500	1,700
CHINA RESOURCES PHARMACEUTIC	65,204	7,094	-	12,486	59,812
CHINA RESOURCES SANJIU MED-A	2,400	200	-	400	2,200
CHINA TRADITIONAL CHINESE MEDICINE HOLDI	115,341	12,824	-	22,690	105,475
CHONGQING ZHIFEI BIOLOGICA-A	3,900	400	-	600	3,700
CSPC PHARMACEUTICAL GROUP LTD	373,883	42,782	-	74,678	341,987
DA AN GENE CO LTD SUN YAT-A	2,120	400	1,212	500	3,232
DONG-E E-JIAO-A	1,600	200	-	300	1,500
GENSCRIPT BIOTECH CORP	43,954	8,081	-	8,885	43,150
GUANGZHOU BAIYUNSHAN PHAR-A	3,400	400	-	600	3,200
GUANGZHOU KINGMED DIAGNOST-A	1,100	100	-	100	1,100
GUANGZHOU WONDFO BIOTECH C-A	900	100	270	300	970
HANGZHOU TIGERMED CONSULTI-A	950	-	-	100	850
HANGZHOU TIGERMED CONSULTI-H	5,168	484	-	963	4,689
HANSOH PHARMACEUTICAL GROUP	49,680	4,631	-	9,204	45,107
HUADONG MEDICINE CO LTD-A	4,280	500	-	800	3,980
HUALAN BIOLOGICAL ENGINEER-A	4,510	500	-	800	4,210
HUBEI JUMPCAN PHARMACEUT-A	2,200	-	-	2,200	-
HUTCHMED CHINA LTD (Formerly known as HUTCHISON CHINA MEDITECH-ADR HCM)	3,033	786	-	588	3,231
I-MAB-SPONSORED ADR	-	1,421	-	180	1,241
IMEIK TECHNOLOGY DEVELOPME-A	-	400	-	-	400
INNOVENT BIOLOGICS INC	40,875	10,532	-	7,062	44,345
INTCO MEDICAL TECHNOLOGY C-A	900	-	400	100	1,200
JAFRON BIOMEDICAL CO LTD-A	1,960	200	-	300	1,860
JIANGSU HENGRUI MEDICINE C-A	13,324	1,800	2,444	3,000	14,568
JIANGSU YUYUE MEDICAL EQU-A	2,500	200	-	400	2,300
JILIN AODONG MEDICINE INDUST	2,900	300	-	500	2,700
JINXIN FERTILITY GROUP LTD	55,960	6,840	-	15,053	47,747
JINYU BIO-TECHNOLOGY CI LT-A	2,800	-	-	2,800	-
JOINCARE PHARMACEUTICAL GR-A	4,800	500	-	800	4,500
JOINN LABORATORIES CHINA C-A	-	500	200	-	700

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MSCI China ETF (continued)

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Listed equities (continued)					
Health Care (continued)					
JOINTOWN PHARMACEUTICAL-A	4,600	500	-	800	4,300
LEPU MEDICAL TECHNOLOGY-A	4,400	600	-	900	4,100
LIVON PHARMACEUTICAL GROU-A	1,500	200	-	300	1,400
MACCURA BIOTECH CO LTD-A	1,300	100	-	100	1,300
MEINIAN ONEHEALTH HEALTHCA-A	9,853	900	-	1,800	8,953
MICROPORT SCIENTIFIC CORP	30,136	3,231	-	9,173	24,194
NANJING KING-FRIEND BIOCHE-A	1,910	700	513	300	2,823
OVCTEK CHINA INC-A	1,450	300	539	300	1,989
PHARMARON BEIJING CO LTD-A	-	1,700	-	200	1,500
PHARMARON BEIJING CO LTD-H	5,276	728	-	900	5,104
PING AN HEALTHCARE AND TECHN	21,458	2,082	-	6,064	17,476
SHANDONG BUCHANG PHARMACEU-A	2,854	300	-	500	2,654
SHANDONG PHARMACEUTICAL - A	1,400	200	-	200	1,400
SHANDONG WEIGAO GP MEDICAL-H	102,242	13,548	-	21,070	94,720
SHANGHAI FOSUN PHARMACEUTI-A	5,000	600	-	1,000	4,600
SHANGHAI FOSUN PHARMACEUTI-A	21,654	2,403	-	5,140	18,917
SHANGHAI PHARMACEU	32,511	3,636	-	6,397	29,750
SHANGHAI PHARMACEUTICALS-A	4,800	500	-	900	4,400
SHANGHAI RAAS BLOOD PRODUC-A	14,000	3,700	-	2,300	15,400
SHENZHEN HEPALINK PHARMACE-A	2,600	200	-	400	2,400
SHENZHEN KANGTAI BIOLOGICA-A	1,700	200	-	300	1,600
SHENZHEN MINDRAY BIO-MEDIC-A	2,500	700	-	400	2,800
SHENZHEN SALUBRIS PHARM-A	2,600	400	-	500	2,500
SHIJIAZHANG YILING PHARMA-A	3,000	400	1,080	600	3,880
SICHUAN KELUN PHARMACEUTIC-A	3,500	400	-	600	3,300
SINO BIOPHARMACEUTICAL	431,594	47,300	-	83,840	395,054
SINOPHARM GROUP CO-H	55,668	6,014	-	10,583	51,099
SSY GROUP LTD	63,806	7,203	-	19,151	51,858
TIANJIN CHASE SUN PHARM-A	7,600	-	-	7,600	-
TONGHUA DONGBAO PHARMACEUT-A	5,100	500	-	1,000	4,600
TOPCHOICE MEDICAL INVESTME-A	800	-	-	100	700
VENUS MEDTECH HANGZHOU INC-H	-	9,358	-	1,138	8,220
WALVAX BIOTECHNOLOGY CO-A	3,800	400	-	700	3,500
WINNING HEALTH TECHNOLOGY-A	5,280	600	-	1,000	4,880
WUHAN HUMANWELL HEALTHCARE-A	4,000	400	-	700	3,700
WUXI APPTTEC CO LTD-A	5,300	800	980	1,200	5,880
WUXI APPTTEC CO LTD-H	11,493	1,620	2,177	2,303	12,987
WUXI BIOLOGICS CAYMAN INC	135,744	22,002	-	20,560	137,186
YIFAN PHARMACEUTICALCO LT-A	3,100	300	-	600	2,800
YUNNAN BAIYAO GROUP CO LTD-A	3,200	400	-	700	2,900
ZAI LAB LTD-ADR	2,911	408	-	428	2,891
ZHANGZHOU PIENZEHUANG PHA-A	1,500	200	-	300	1,400
ZHEJIANG HUAHAI PHARMACEUT-A	3,520	400	-	600	3,320
ZHEJIANG JIUZHOU PHARMACEU-A	-	2,100	-	200	1,900
ZHEJIANG NHU CO LTD-A	5,400	800	1,040	1,300	5,940
ZHEJIANG WOLWO BIO-PHARMAC-A	1,300	100	-	200	1,200
Industrials					
51JOB INC-ADR	1,161	210	-	215	1,156
AECC AVIATION POWER CO LTD	6,600	700	-	1,200	6,100
AIR CHINA LIMITED-H	76,096	9,007	-	15,601	69,502
AIR CHINA LTD-A	16,600	1,600	-	3,000	15,200
AVIC AERO-ENGINE CONTROLS-A	2,900	300	-	600	2,600

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Industrials (continued)					
AVIC AVIATION HIGH-TECHNOL-A	3,400	300	-	3,700	-
AVIC ELECTROMECHANICAL SY-A	9,700	900	-	1,700	8,900
AVIC SHENYANG HEIBAO CO LT-A	2,900	300	1,080	4,280	-
AVICHINA INDUSTRY & TECH-H	103,040	11,625	-	20,060	94,605
BAOTOU BEIFANG CHUANGYE CO-A	4,200	-	-	4,200	-
BEIJING CAPITAL INTL AIRPO-H	77,567	7,348	-	13,346	71,569
BEIJING NEW BUILDING MATER-A	4,200	400	-	700	3,900
BEIJING ORIGINWATER TECHNO-A	7,900	700	-	1,400	7,200
BEIJING UNITED INFORMATION-A	-	800	-	-	800
BEIJING-SHANGHAI HIGH SPE-A	28,600	78,200	-	13,300	93,500
BOC AVIATION LTD	8,639	914	-	1,624	7,929
CHANGSHA ZOOMLION HEAVY INDS	17,600	1,700	-	3,100	16,200
CHINA AEROSPACE TIMES ELEC-A	6,800	500	-	7,300	-
CHINA AVIC AVIONICS EQUIP-A	4,800	400	-	5,200	-
CHINA BAOAN GROUP-A	-	5,900	-	-	5,900
CHINA COMMUNICATIONS SERVI-H	104,809	9,361	-	23,101	91,069
CHINA CONCH VENTURE HOLDINGS	67,821	7,803	-	13,769	61,855
CHINA COSCO HOLDINGS-A	24,100	9,300	6,240	4,600	35,040
CHINA CSSC HOLDINGS LTD-A	-	11,600	-	1,400	10,200
CHINA EASTERN AIRLINES CO-A	23,300	6,100	-	3,800	25,600
CHINA EVERBRIGHT ENVIRONMENT	153,766	16,366	-	29,771	140,361
CHINA LESSO GROUP HOLDINGS LTD	45,090	5,191	-	8,930	41,351
CHINA MERCHANTS PORT HOLDINGS	60,120	7,654	-	12,006	55,768
CHINA NATIONAL CHEMICAL-A	12,400	1,100	-	2,200	11,300
CHINA RAILWAY GROUP LTD -A	50,900	5,700	-	10,100	46,500
CHINA RAILWAY GROUP LTD-H	156,651	21,554	-	25,991	152,214
CHINA SHIPBUILDING INDUSTR-A	57,000	4,300	-	61,300	-
CHINA SOUTHERN AIRLINES CO-A	23,000	9,400	-	3,400	29,000
CHINA SOUTHERN AIRLINES CO-H	71,681	8,578	-	15,137	65,122
CHINA STATE CONSTRUCTION -A	104,780	11,700	-	20,600	95,880
CHINA STATE CONSTRUCTION INT	84,913	9,865	-	18,041	76,737
CITIC LTD	241,682	26,616	-	46,736	221,562
CONTEMPORARY AMPEREX TECHN-A	5,800	600	-	1,100	5,300
COSCO PACIFIC LTD	75,082	9,106	-	14,749	69,439
COSCO SHIPPING HOLDINGS CO-H	107,594	13,502	30,059	23,399	127,756
CRRC CORP LTD	-	55,600	-	-	55,600
DAQIN RAILWAY CO LTD -A	37,100	-	-	37,100	-
DIDI GLOBAL INC	-	11,881	-	275	11,606
DONGFANG ELECTRIC CORP LTD-A	7,000	600	-	1,200	6,400
EAST GROUP CO LTD-A	5,800	-	-	5,800	-
EVE ENERGY CO LTD-A	4,692	500	-	900	4,292
FANGDA CARBON NEW MATERIAL-A	9,517	900	-	1,700	8,717
FOSUN INTERNATIONAL	105,611	11,779	-	21,683	95,707
GEZHOUBA CO LTD-A	11,500	-	-	11,500	-
GUANGDONG KINLONG HARDWARE-A	800	-	-	100	700
GUANGZHOU BAIYUN INTERNATI-A	5,900	-	-	5,900	-
GUOXUAN HIGH-TECH CO LTD	3,200	300	-	600	2,900
HAFEI AVIATION INDUSTRY CO-A	1,400	200	-	300	1,300
HAITIAN INTERNATIONAL HLDGS	26,372	3,464	-	5,525	24,311
HANGZHOU OXYGEN PLANT GROU-A	-	2,400	-	200	2,200
HEFEI MEIYA OPTOELECTRONIC	1,600	300	-	400	1,500
HONGFA TECHNOLOGY CO LTD-A	1,800	200	-	300	1,700
JIANGSU EXPRESS CO LTD-H	51,197	4,785	-	9,446	46,536

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MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Industrials (continued)					
JIANGSU HENGLI HIGHPRESSUR-A	3,252	300	-	600	2,952
JIANGSU ZHONGTIAN TECHNOLO-A	7,700	700	-	1,400	7,000
METALLURGICAL CORP OF CHIN-A	44,590	5,000	-	8,800	40,790
MING YANG SMART ENERGY GRO-A	-	5,000	-	500	4,500
NANYANG TOPSEC TECHNOLOGIE-A	3,100	300	-	700	2,700
NARI TECHNOLOGY DEVELOPMEN-A	11,600	1,500	2,160	2,600	12,660
POWER CONSTRUCTION CORP OF-A	38,200	4,200	-	7,400	35,000
RIYUE HEAVY INDUSTRY CO LT-A	-	2,500	-	300	2,200
S F HOLDING CO LTD-A	11,400	1,300	-	2,300	10,400
SANY HEAVY EQUIPMENT INTL	-	47,235	-	5,354	41,881
SANY HEAVY INDUSTRY CO LTD-A	21,200	2,400	-	4,200	19,400
SHANGHAI CONSTRUCTION CO-A	22,200	2,100	-	4,000	20,300
SHANGHAI ELECTRIC GRP CO L-A	25,500	7,300	-	3,600	29,200
SHANGHAI INTERNATIONAL AIR-A	2,400	200	-	400	2,200
SHANGHAI INTERNATIONAL POR-A	23,200	2,200	-	4,200	21,200
SHANGHAI M&G STATIONERY IN-A	2,300	300	-	500	2,100
SHENZHEN AIRPORT CO-A 000089	5,100	-	-	5,100	-
SHENZHEN INOVANCE TECHNOLO-A	4,200	700	2,000	1,000	5,900
SHENZHEN INTL HOLDINGS	45,785	7,708	-	7,453	46,040
SIASUN ROBOT & AUTOMATION-A	3,800	-	-	3,800	-
SICHUAN ROAD&BRIDGE CO LT-A	-	12,100	-	1,200	10,900
SINOTRANS LIMITED-A	10,900	1,100	-	2,000	10,000
SINOTRUK HONG KONG LTD	28,927	3,162	-	5,803	26,286
SPRING AIRLINES CO LTD-A	2,300	200	-	400	2,100
STO EXPRESS CO LTD-A	3,800	-	-	3,800	-
SUNGROW POWER SUPPLY CO LT-A	3,600	400	-	700	3,300
SUNWODA ELECTRONIC CO LTD-A	3,900	500	-	700	3,700
SUZHOU GOLD MANTIS CONSTR -A	6,700	600	-	1,200	6,100
SUZHOU MAXWELL TECHNOLOGIE-A	-	200	-	-	200
TBEA CO LTD-A	9,300	1,100	-	1,900	8,500
WEICHAI POWER CO LTD-A	14,900	3,500	-	2,900	15,500
WEICHAI POWER CO LTD-H	81,352	9,513	-	16,871	73,994
WUXI SHANGJI AUTOMATION CO-A	-	600	-	-	600
XCMG CONSTRUCTION MACHIN-A	19,600	2,200	-	3,900	17,900
XIAMEN C & D INC-A	7,200	600	-	1,300	6,500
XI'AN AIRCRAFT INTL CORP-A	7,000	500	-	7,500	-
XINJIANG GOLDWIND	30,258	4,203	-	5,002	29,459
XINJIANG GOLDWIND SCI&TECH-A	8,600	800	-	1,500	7,900
YANTAI EDDIE PRECISION MAC-A	1,400	200	560	200	1,960
YTO EXPRESS GROUP CO LTD-A	7,900	700	-	1,400	7,200
YUNDA HOLDING CO LTD-A	7,280	800	-	1,500	6,580
ZHEFU HOLDING GROUP CO LTD-A	-	13,700	-	1,400	12,300
ZHEJIANG CHINT ELECTRICS-A	5,400	500	-	1,000	4,900
ZHEJIANG DINGLI MACHINERY -A	1,180	200	-	300	1,080
ZHEJIANG EXPRESSWAY CO-H	59,708	5,610	-	10,714	54,604
ZHEJIANG HANGKE TECHNOLOGY-A	-	926	-	-	926
ZHEJIANG SANHUA INTELLIGEN-A	8,940	900	-	1,600	8,240
ZHEJIANG WEIXING NEW BUILD-A	3,900	400	-	700	3,600
ZHENGZHOU YUTONG BUS CO - A	5,500	600	-	900	5,200
ZHUZHOU CRRC TIMES ELECTRIC	22,813	2,534	-	4,504	20,843
ZHUZHOU KIBING GROUP CO LT-A	-	7,000	-	900	6,100
ZOOMLION HEAVY INDUSTRY SCIENC	55,910	6,225	-	10,928	51,207
ZTO EXPRESS CAYMAN INC-ADR	17,015	2,605	-	3,065	16,555

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MSCI China ETF (continued)

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Listed equities (continued)					
Information Technology					
21VIANET GROUP INC-ADR	3,445	722	-	492	3,675
360 SECURITY TECHNOLOGY IN-A	11,900	6,500	-	2,100	16,300
AAC TECHNOLOGIES HOLDINGS IN	29,970	3,316	-	5,673	27,613
ACCELINK TECHNOLOGIES CO - A	1,700	-	-	1,700	-
ADDSIND CO LTD -A	4,000	400	-	700	3,700
ADVANCED MICRO-FABRICATION-A	-	1,405	-	-	1,405
AGORA INC-ADR	-	2,087	-	243	1,844
AVARY HOLDING SHENZHEN CO -A	-	4,000	-	500	3,500
AVIC JONHON OPTRONIC TECH	2,700	200	-	2,900	-
BEIJING BDSTAR NAVIGATION -A	1,200	100	-	100	1,200
BEIJING E-HUALU INFO TECH-A	1,580	200	-	300	1,480
BEIJING KINGSOFT OFFICE SO-A	-	878	-	-	878
BEIJING SHIJI INFORMATION -A	1,700	100	680	200	2,280
BEIJING SINNET TECHNOLOGY-A	3,800	400	-	700	3,500
BEIJING THUNISOFT CORP LTD-A	2,000	-	-	2,000	-
BEIJING YUANLIU HONGYUAN E-A	-	500	-	-	500
BOE TECHNOLOGY GROUP CO LT-A	84,600	16,100	-	15,000	85,700
BYD ELECTRONIC INTL CO LTD	27,899	3,118	-	5,275	25,742
CHAOZHOU THREE-CIRCLE GROU-A	4,500	500	-	800	4,200
CHINA COMMON RICH RENEWABLE ENERGY INVES ¹	583,345	-	-	-	583,345
CHINA GREATWALL TECHNOLOGY-A	7,400	400	-	1,100	6,700
CHINA NATIONAL SOFTWARE -A	1,200	200	-	300	1,100
CHINA RAILWAY SIGNAL & COM-A	-	18,190	-	1,900	16,290
CHINA TRANSINFO TECHNOLOGY-A	3,900	400	-	700	3,600
CHINA YOUZAN LTD	572,536	61,713	-	108,416	525,833
CHINASOFT INTERNATIONAL LTD	-	99,589	-	-	99,589
CHINDATA GROUP HOLDINGS-ADR	-	3,730	-	413	3,317
DAQO NEW ENERGY CORP-ADR	2,105	439	-	304	2,240
DHC SOFTWARE CO LTD -A	7,900	700	-	1,500	7,100
FIBERHOME TELECOM TECH CO-A	2,900	300	-	600	2,600
FIRST SENTIER BRIDGE	2,700	1,300	-	400	3,600
FLAT GLASS GROUP CO LTD-A	-	3,500	-	300	3,200
FLAT GLASS GROUP CO LTD-H	-	17,617	-	2,194	15,423
FOXCONN INDUSTRIAL INTERNE-A	18,100	2,100	-	3,600	16,600
GCL SYSTEM INTEGRATION TEC-A	14,600	1,400	-	2,600	13,400
GDS HOLDINGS LTD - ADR	3,695	352	-	649	3,398
GIGADEVICE SEMICONDUCTOR B-A	1,140	300	456	300	1,596
GOERTEK INC -A	8,100	1,100	-	1,400	7,800
GRG BANKING EQUIPMENT CO -A	6,000	800	-	1,100	5,700
GUANGDONG LY INTELLIGENT M-A	17,500	1,700	-	3,100	16,100
GUANGZHOU HAIGE COMMUNICAT-A	5,800	600	-	1,100	5,300
GUANGZOU SHIYUAN ELECTRON-A	1,600	300	-	400	1,500
HANGZHOU FIRST APPLIED MAT-A	1,600	600	300	400	2,100
HANGZHOU SILAN MICROELECTR-A	3,300	400	-	700	3,000
HENGTONG OPTIC-ELECTRIC CO-A	5,800	-	-	5,800	-
HUA HONG SEMICONDUCTOR LTD	21,289	2,969	-	4,452	19,806
HUAGONG TECH CO LTD-A	2,500	200	-	400	2,300
HUNDSUN ELECTRONIC CO LTD-A	2,594	400	917	600	3,311
IFLYTEK CO LTD	5,500	700	-	900	5,300
INGENIC SEMICONDUCTOR CO -A	1,000	100	-	-	1,100
INSPUR ELECTRONIC INFORMAT-A	3,600	400	-	700	3,300
JIANGSU CHANGJIANG ELECTRONI	3,900	800	-	600	4,100
KINGBOARD HOLDINGS LTD	27,825	3,052	-	5,576	25,301
KINGBOARD LAMINATES HOLDING	45,257	4,152	-	13,764	35,645

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2021.

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MSCI China ETF (continued)

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Listed equities (continued)					
Information Technology (continued)					
KINGDEE INTERNATIONAL SFTWR	101,217	14,054	-	16,176	99,095
KINGSOFT CLOUD HOLDINGS-ADR	2,260	309	-	443	2,126
LAKALA PAYMENT CO LTD-A	2,000	300	-	500	1,800
LENOVO GROUP LTD	300,724	34,061	-	59,643	275,142
LENS TECHNOLOGY CO LTD-A	12,400	1,300	-	2,300	11,400
LEYARD OPTO ELECTRONIC CO L-A	6,400	600	-	1,200	5,800
LONGI GREEN ENERGY TECHNOL-A	9,440	1,400	3,496	2,000	12,336
LUXSHARE PRECISION INDUSTR-A	17,440	1,900	-	3,300	16,040
MAXSCEND MICROELECTRONICS -A	400	100	320	100	720
MING YUAN CLOUD GROUP HOLDIN	16,280	1,594	-	3,196	14,678
MONTAGE TECHNOLOGY CO LTD-A	-	1,958	-	200	1,758
NATIONAL SILICON INDUSTRY -A	-	4,723	-	-	4,723
NAURA TECHNOLOGY GROUP CO-A	1,200	200	-	300	1,100
NINESTAR CORP-A	2,600	200	-	300	2,500
ONECONNECT FINANCIAL TECHNO	-	5,051	-	596	4,455
RAYTRON TECHNOLOGY CO LTD-A	-	1,028	-	100	928
SANAN OPTOELECTRONICS CO L-A	11,200	800	-	1,800	10,200
SANGFOR TECHNOLOGIES INC-A	1,100	100	-	300	900
SG MICRO CORP-A	400	-	200	100	500
SHANGHAI BAOSIGHT SOFTWARE-A	2,100	300	600	500	2,500
SHENGYI TECHNOLOGY CO LTD -A	5,700	600	-	1,100	5,200
SHENNAN CIRCUITS CO LTD-A	1,180	200	-	300	1,080
SHENZHEN GOODIX TECHNOLOGY- A	1,100	100	-	200	1,000
SHENZHEN KAIFA TECHNOLOGY-A	3,600	500	-	500	3,600
SHENZHEN O-FILM TECH CO-A	6,800	700	-	1,300	6,200
SHENZHEN SC NEW ENERGY TEC-A	800	-	-	-	800
SHENZHEN SUNLORD ELECTRONI-A	-	2,100	-	300	1,800
SHENZHEN SUNWAY COMMUNICAT-A	2,400	200	-	400	2,200
STARPOWER SEMICONDUCTOR LT-A	-	400	-	-	400
SUNNY OPTICAL TECH	29,638	3,361	-	5,849	27,150
SUZHOU DONGSHAN PRECISION-A	4,200	400	-	700	3,900
THUNDER SOFTWARE TECHNOLOG-A	1,100	100	-	200	1,000
TIANJIN ZHONGHUAN SEMICOND-A	7,600	900	-	1,600	6,900
TIANJIN712 COMMUNICATION &-A	1,900	200	-	300	1,800
TIANMA MICROELECTRONICS-A	6,200	600	-	1,200	5,600
TIANSHUI HUATIAN TECHNOLOG-A	6,900	800	-	1,400	6,300
TONGFANG GUOXIN ELECTRONIC-A	1,500	200	-	300	1,400
TONGFU MICROELECTRONIC CO-A	3,300	300	-	600	3,000
TRAVELSKY TECHNOLOGY LTD-H	39,211	4,619	-	8,316	35,514
TSINGHUA UNISPLENDOR CO-A	7,176	800	-	1,400	6,576
UNIVERSAL SCIENTIFIC INDUS-A	3,600	400	-	600	3,400
VISIONOX TECHNOLOGY INC-A	3,300	-	-	3,300	-
WANGSU SCIENCE & TECHNOLOGY-A	6,100	-	-	6,100	-
WEIMOB INC	66,075	11,226	-	12,481	64,820
WILL SEMICONDUCTER LTD-A	2,100	200	-	300	2,000
WINGTECH TECHNOLOGY CO LTD-A	3,100	300	-	600	2,800
WUHAN GUIDE INFRARED CO LT-A	3,900	800	1,560	900	5,360
WUHU TOKEN SCIENCE CO LTD-A	6,100	600	-	1,100	5,600
WUS PRINTED CIRCUIT KUNSHA-A	4,300	400	370	700	4,370
WUXI LEAD INTELLIGENT EQUI-A	2,200	400	600	1,400	1,800
WUXI TAIJI INDUS CO LTD-A	5,200	-	-	5,200	-
XIAOMI CORP-CLASS B	590,897	66,170	-	110,244	546,823
XINYI SOLAR HLDGS	176,699	38,810	-	30,969	184,540
YEALINK NETWORK TECHNOLOGY-A	1,850	600	-	400	2,050
YONYOU SOFTWARE CO LTD-A	8,147	900	-	1,600	7,447
ZHEJIANG DAHUA TECHNOLOGY-A	7,500	900	-	1,600	6,800

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MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Information Technology (continued)					
ZHEJIANG JINGSHENG MECHANI-A	3,200	300	-	600	2,900
ZHONGJI INNOLIGHT CO LTD-A	1,700	300	-	400	1,600
ZTE CORP-A	9,700	1,100	-	2,000	8,800
ZTE CORP-H	31,103	3,526	-	5,858	28,771
Materials					
ALUMINUM CORP OF CHINA LTD	32,700	3,700	-	6,500	29,900
ALUMINUM CORP OF CHINA LTD-H	164,091	17,367	-	31,265	150,193
ANHUI CONCH CEMENT CO LTD-A	10,000	1,100	-	2,000	9,100
ANHUI HONGLU STEEL CONSTRU-A	-	1,300	-	100	1,200
BAOSHAN IRON & STEEL CO-A	55,500	6,200	-	10,800	50,900
BBMG CORPORATION-A	20,900	2,000	-	3,800	19,100
BEIJING ORIENTAL YUHONG-A 002271	5,900	-	-	5,900	-
CHIFENG JILONG GOLD MINING-A	4,100	400	-	700	3,800
CHINA HONGQIAO GROUP LTD	71,401	29,366	-	13,928	86,839
CHINA JUSHI CO LTD-A	8,800	1,100	1,215	2,000	9,115
CHINA MOLYBDENUM CO LTD-A	44,100	4,900	-	8,600	40,400
CHINA MOLYBDENUM CO LTD-H	138,793	15,903	-	27,372	127,324
CHINA NATIONAL BUILDING MA-H	161,257	17,092	-	31,022	147,327
CHINA NORTHERN RARE EARTH-A	9,000	1,100	-	1,800	8,300
CHINA RESOURCES CEMENT	101,897	11,515	-	20,339	93,073
CONCH CEMENT CO LTD	51,368	5,796	-	10,147	47,017
GANFENG LITHIUM CO LTD-H	-	10,849	-	969	9,880
GEM CO LTD-A	11,900	1,400	-	2,400	10,900
GUANGDONG HEC TECHNOLOGY H-A	7,600	-	-	7,600	-
GUANGDONG HONGDA BLASTING -A	1,800	200	-	300	1,700
GUANGZHOU TINCI MATERIALS -A	1,300	100	910	100	2,210
HENGLI PETROCHEMICAL CO L-A	14,680	1,600	-	2,900	13,380
HENGYI PETROCHEMICAL CO -A	9,260	1,000	-	1,800	8,460
HESTEEL CO LTD	26,500	2,500	-	4,700	24,300
HUABAO INTERNATIONAL HOLDING	-	35,506	-	-	35,506
HUAXIN CEMENT CO LTD-A	3,300	300	-	500	3,100
HUNAN VALIN STEEL CO LTD -A	15,200	1,500	-	2,700	14,000
INNER MONGOLIA JUNZHENG EN-A	21,100	2,000	-	3,800	19,300
INNER MONGOLIAN BAOTOU STEEL	112,900	10,600	-	19,300	104,200
JIANGSU EASTERN SHENGHONG -A	-	8,200	-	800	7,400
JIANGSU SHAGANG CO LTD -A	5,500	600	-	1,100	5,000
JIANGSU YANGNONG CHEMICAL -A	800	-	-	100	700
JIANGSU YOKE TECHNOLOGY-A	-	1,200	-	100	1,100
JIANGXI COPPER CO LTD-A	5,200	500	-	1,000	4,700
JIANGXI COPPER COMPANY LTD-H	48,887	4,356	-	10,973	42,270
JIANGXI GANFENG LITHIUM CO-A	2,700	300	-	400	2,600
KINGFA SCI.& TECH CO LTD-A	6,500	800	-	1,400	5,900
LEE & MAN PAPER MANUFACTURIN	54,163	6,071	-	10,576	49,658
LOMON BILLIONS GROUP CO-A	4,200	1,600	-	700	5,100
LUXI CHEMICAL GROUP CO LT-A	-	4,900	-	500	4,400
MMG LTD	-	129,284	-	14,165	115,119
NINE DRAGONS PAPER HOLDINGS	67,953	7,413	-	12,825	62,541
NINGXIA BAOFENG ENERGY GRO-A	-	15,800	-	1,800	14,000
PANGANG GROUP VANADIUM TIT-A	21,400	2,000	-	3,800	19,600
PERENNIAL ENERGY HOLDINGS LT	-	33,025	-	33,025	-
RONGSHENG PETRO CHEMICAL-A	14,000	4,900	7,800	3,600	23,100
SHANDONG GOLD MINING CO LT-A	9,004	1,100	-	1,800	8,304
SHANDONG GOLD MINING CO LT-H	26,559	3,008	-	5,033	24,534
SHANDONG HUALU HENGSHENG-A	4,000	600	1,170	900	4,870

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Materials (continued)					
SHANDONG NANSHAN ALUMINUM-A	29,800	3,400	-	5,900	27,300
SHANDONG SINOCERA FUNCTION - A	2,400	200	-	2,600	-
SHANDONG SUN PAPER INDUSTR-A	6,600	600	-	1,200	6,000
SHANGHAI PUTAILAI NEW ENER-A	1,200	200	480	300	1,580
SHANXI TAIGANG STAINLESS-A	14,200	1,300	-	2,500	13,000
SHENGHE RESOURCES HOLDINGS-A	-	4,600	-	600	4,000
SHENZHEN CAPCHEM TECHNOLOG-A	1,100	100	-	300	900
SINOMA SCIENCE&TECHNOLOGY -A	4,100	400	-	700	3,800
SINOPEC SHANGHAI PETROCHE-A	15,200	1,500	-	2,700	14,000
SKSHU PAINT CO LTD-A	720	-	248	100	868
TANGSHAN JIDONG CEMENT INV-A	3,500	400	-	700	3,200
TONGKUN GROUP CO LTD-A	5,300	800	-	900	5,200
TONGLING NONFERROUS METALS-A	26,200	2,400	-	4,500	24,100
TRANSFAR ZHILIAN CO LTD-A	8,100	900	-	1,400	7,600
WANHUA CHEMICAL GROUP CO -A	7,900	800	-	1,500	7,200
WEIHAI GUANGWEI COMPOSITES-A	1,200	100	-	100	1,200
XIAMEN TUNGSTEN CO LTD-A	3,400	400	-	600	3,200
YINTAI RESOURCES CO LTD-A	6,980	700	-	1,300	6,380
YUNNAN ALUMINIUM CO LTD-A	-	8,100	-	1,000	7,100
YUNNAN ENERGY NEW MATERIAL-A	2,200	300	-	500	2,000
ZHAOJIN MINING INDUSTRY - H	43,555	5,037	-	8,841	39,751
ZHEJIANG HUAFENG SPANDEX -A	-	11,800	-	1,200	10,600
ZHEJIANG HUAYOU COBALT CO - A	3,014	300	-	500	2,814
ZHEJIANG JUHUA CO-A	6,800	800	-	1,400	6,200
ZHEJIANG LONGSHENG GROUP C-A	8,100	900	-	1,600	7,400
ZHEJIANG SATELLITE PETROCH-A	-	3,300	1,120	500	3,920
ZHONGJIN GOLD CORP-A	12,100	1,100	-	2,100	11,100
ZIJIN MINING GROUP CO LTD-A	49,000	5,400	-	9,300	45,100
ZIJIN MINING GROUP CO LTD-H	239,471	26,304	-	47,302	218,473
Real Estate					
AGILE GROUP HOLDINGS LTD	49,764	4,642	-	9,656	44,750
A-LIVING SMART CITY SERVICES	19,181	6,065	-	3,616	21,630
CHINA AOYUAN GROUP LTD	50,917	3,996	-	8,714	46,199
CHINA EVERGRANDE GROUP	83,000	9,678	-	17,030	75,648
CHINA FORTUNE LAND DEVELOP-A	9,850	1,000	-	1,900	8,950
CHINA JINMAO HOLDINGS GROUP LTD	249,320	26,132	-	58,037	217,415
CHINA MERCHANTS PROPERTY O-A	2,600	200	-	400	2,400
CHINA MERCHANTS SHEKOU IND-A	16,500	4,600	-	3,000	18,100
CHINA OVERSEAS LAND & INVEST HOLDINGS	159,739	17,394	-	31,254	145,879
CHINA OVERSEAS PROPERTY HOLDINGS	55,173	9,862	-	14,967	50,068
CHINA RESOURCES LAND LTD	133,635	14,408	-	25,842	122,201
CHINA RESOURCES MIXC LIFESTY	-	23,182	-	1,452	21,730
CHINA VANKE CO LTD -A	24,200	2,600	-	4,600	22,200
CHINA VANKE CO LTD-H	70,929	7,822	-	13,853	64,898
CIFI EVER SUNSHINE SERVICES	26,815	7,014	-	5,204	28,625
CIFI HOLDINGS GROUP CO LTD	136,413	16,672	-	27,582	125,503
COUNTRY GARDEN HOLDINGS CO	321,222	35,953	-	63,305	293,870
COUNTRY GARDEN SERVICES HOLD	61,279	7,520	-	10,456	58,343
FINANCIAL STREET HOLDING-A	7,500	700	-	1,400	6,800
GEMDALE CORP-A	11,300	1,100	-	2,100	10,300
GREENLAND HOLDINGS CORP LT-A	20,300	2,000	865	3,700	19,465
GREENTOWN CHINA HOLDINGS	36,223	4,377	-	7,354	33,246
GREENTOWN SERVICE GROUP CO L	58,892	5,731	-	9,045	55,578

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Real Estate (continued)					
GUANGZHOU R&F PROPERTIES - H	70,369	7,767	-	13,832	64,304
HOPSON DEVELOPMENT HOLDINGS	27,984	3,052	-	6,001	25,035
JIANGSU ZHONGNAN CONSTRUCT-A	9,500	900	-	1,800	8,600
JINKE PROPERTIES CO - A	13,300	1,300	-	2,400	12,200
KAISA GROUP HOLDINGS LTD	102,473	21,879	-	17,515	106,837
KE HOLDINGS INC	5,109	10,386	-	1,850	13,645
KWG GROUP HOLDINGS LTD	53,102	5,917	-	10,571	48,448
LOGAN GROUP CO LTD	57,762	6,324	-	11,524	52,562
LONGFOR GROUP HOLDINGS LTD	74,971	8,551	-	14,229	69,293
POLY PROPERTY SERVICES CO LT	4,706	680	-	715	4,671
POLY REAL ESTATE GROUP CO -A	29,925	3,400	-	6,000	27,325
POWERLONG REAL ESTATE HOLD	-	62,654	-	7,441	55,213
RISESUN REAL ESTATE DEVEL-A	10,800	1,100	-	2,000	9,900
SEAZEN GROUP LTD	90,987	10,459	-	18,675	82,771
SEAZEN HOLDINGS CO LTD-A	5,600	600	-	1,000	5,200
SHANGHAI LINGANG HOLDINGS-A	3,300	400	620	700	3,620
SHANGHAI LUJIAZUI FIN&TRAD-B	295,894	177,800	-	202,748	270,946
SHANGHAI ZHANGJIANG HIGH-A	3,800	400	-	700	3,500
SHENZHEN INVESTMENT LTD	129,369	12,373	-	40,065	101,677
SHIMAO GROUP HOLDINGS LTD	51,552	5,818	-	10,217	47,153
SHIMAO SERVICES HOLDINGS LTD	-	25,321	-	2,815	22,506
SUNAC CHINA HOLDINGS LTD	106,943	11,010	-	20,252	97,701
SUNAC SERVICES HOLDINGS LTD	-	32,187	-	2,640	29,547
WHARF HOLDINGS LTD	63,081	6,335	-	17,076	52,340
XINHU ZHONGBAO CO LTD-A	21,400	-	-	21,400	-
YANGO GROUP CO LTD-A	10,300	1,000	-	1,800	9,500
YOUNGOR GROUP CO-A	11,600	1,100	-	2,100	10,600
YUEXIU PROPERTY CO LTD	289,955	6,401	(212,188)	31,105	53,063
ZHEJIANG CHINA COMMODITIES-A	-	13,900	-	1,393	12,507
ZHENRO PROPERTIES GROUP LTD	64,026	6,126	-	20,252	49,900
ZHONGTIAN FINANCIAL GROUP CO LTD	17,500	1,700	-	3,200	16,000
Utilities					
BEIJING ENTERPRISES HLDGS	21,227	2,469	-	4,472	19,224
BEIJING ENTERPRISES WATER GR	209,373	19,556	-	57,191	171,738
CGN POWER CO LTD-H	391,400	79,228	-	66,754	403,874
CHINA GAS HOLDINGS LTD	108,422	27,743	-	18,782	117,383
CHINA LONGYUAN POWER GROUP-H	131,681	17,024	-	21,511	127,194
CHINA NATIONAL NUCLEAR POW-A	36,300	3,900	-	7,000	33,200
CHINA POWER INTERNATIONAL	183,789	18,268	-	52,672	149,385
CHINA RESOURCES GAS GROUP	38,707	3,802	-	7,260	35,249
CHINA RESOURCES POWER HOLDIN	80,049	9,392	-	16,165	73,276
CHINA YANGTZE POWER CO LTD-A	55,000	6,300	-	9,300	52,000
ENN ECOLOGICAL HOLDINGS CO-A	-	4,900	-	600	4,300
ENN ENERGY HOLDINGS LTD	32,847	3,669	-	6,411	30,105
GUANGDONG INVESTMENT LTD	121,903	13,462	-	23,328	112,037
HUADIAN POWER INTL CORP-A	17,000	1,600	-	3,100	15,500
HUANENG POWER INTL INC-A	18,400	1,800	-	3,400	16,800
HUANENG POWER INTL INC-H	146,943	15,695	-	28,389	134,249
KUNLUN ENERGY COMPANY LTD	162,037	17,169	-	30,822	148,384
LUENMEI QUANTUM CO LTD-A	3,700	-	-	3,700	-
SDIC POWER HOLDINGS CO LTD-A	16,900	1,600	-	2,600	15,900
SHANGHAI ELECTRIC POWER CO-A	6,600	-	-	6,600	-
SHENERGY COMPANY LIMITED-A	12,300	1,100	-	2,200	11,200

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Utilities (continued)					
SHENZHEN ENERGY GROUP CO L-A	9,980	900	-	1,800	9,080
SICHUAN CHUANTOU ENERGY CO-A	11,000	900	-	3,500	8,400
Warrants & Rights					
Information Technology					
LEGEND HOLDINGS CORP-H-RTS	3,349	-	-	-	3,349

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

Hang Seng High Dividend Yield ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities					
Communication Services					
CHINA MOBILE LTD	22,366	58,016	-	4,170	76,212
HKBN LTD 1310	-	443,389	-	20,854	422,535
PCCW LTD	382,344	777,255	-	54,254	1,105,345
Consumer Discretionary					
CHOW TAI FOOK JEWELRY LTD	189,255	-	-	189,255	-
DONGFENG MOTOR GROUP CO LTD-H 'H' CNY1	298,777	-	-	298,777	-
SANDS CHINA LTD USD0.01	48,667	-	-	48,667	-
YUE YUEN INDUSTRIAL HOLDING LTD-HKD0.25	151,533	-	-	151,533	-
Energy					
CHINA PETROLEUM & CHEMICAL CORP	602,237	407,669	-	279,201	730,705
CHINA SHENHUA ENERGY CO - H	120,357	290,043	-	19,342	391,058
CNOOC LTD	188,299	181,985	-	43,730	326,554
PETROCHINA CO LTD-H	-	1,117,085	-	53,256	1,063,829
YANZHOU COAL MINING CO-H	253,568	180,037	-	113,429	320,176
Financials					
AGRICULTURAL BANK OF CHINA-H	400,536	916,229	-	61,478	1,255,287
BANK OF CHINA LTD-H	501,404	1,109,353	-	74,988	1,535,769
BANK OF COMMUNICATIONS CO-H	295,297	510,710	-	38,002	768,005
BOC HONG KONG HOLDINGS LTD	68,198	53,443	-	26,107	95,534
CHINA CINDA ASSET MANAGEMENT-H	1,039,763	1,645,326	-	124,366	2,560,723
CHINA CITIC BANK CORP LTD-H	446,515	546,116	-	46,579	946,052
CHINA CONSTRUCTION BANK-H	180,061	372,174	-	26,334	525,901
CHINA EVERBRIGHT BANK CO L-H	469,909	876,467	-	62,832	1,283,544
CHINA EVERBRIGHT LIMITED	86,667	249,239	-	17,347	318,559
CHINA MINSHENG BANKING-H	276,400	571,693	-	39,457	808,636
CHONGQING RURAL COMMERCIAL-H CNY1 H SHARE	546,162	-	-	546,162	-
HAITONG INTERNATIONAL SECURITIES	1,085,984	973,820	-	306,465	1,753,339
HANG SENG BANK	11,168	7,168	-	5,611	12,725
HSBC HOLDINGS PLC ORD USD0.50(UK REG)	33,586	-	-	33,586	-
IND & COMM BK OF CHINA-H	221,801	492,438	-	33,783	680,456
PEOPLE'S INSURANCE	-	1,296,371	-	60,533	1,235,838
Industrials					
CHINA MERCHANTS PORT HOLDINGS	219,087	150,460	-	102,846	266,701
CHINA STATE CONSTRUCTION INT	-	793,561	-	38,379	755,182
CITIC LTD	-	396,905	-	19,200	377,705
COSCO PACIFIC LTD	435,370	294,255	-	203,351	526,274
NWS HOLDINGS LTD	301,742	261,701	-	93,630	469,813
SHANGHAI INDUSTRIAL HOLDING LTD	-	312,580	-	15,319	297,261
XINYI GLASS HOLDING CO LTD HKD0.1	156,542	-	-	156,542	-
ZHEJIANG EXPRESSWAY CO-H 'H' CNY1	256,611	-	-	256,611	-
Information Technology					
KINGBOARD HOLDINGS LTD	51,842	46,765	-	15,030	83,577
LENOVO GROUP LTD HKD0.025	362,709	-	-	362,709	-
VTECH HOLDINGS LTD	48,204	21,439	-	31,247	38,396

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

Hang Seng High Dividend Yield ETF (Continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Materials					
CHINA RESOURCES CEMENT	-	584,170	-	28,762	555,408
LEE & MAN PAPER MANUFACTURIN	324,299	223,377	-	147,288	400,388
NINE DRAGONS PAPER HOLDINGS HKD0.1	156,124	-	-	156,124	-
SINOPEC SHANGHAI PETROCHEM-H 'HCNY1	691,504	-	-	691,504	-
Real Estate					
AGILE GROUP HOLDINGS LTD	285,123	326,044	-	29,995	581,172
CHINA AOYUAN GROUP LTD	-	605,138	-	28,764	576,374
CHINA JINMAO HOLDINGS GROUP LTD	-	1,904,660	-	89,451	1,815,209
FORTUNE REIT	224,312	239,483	-	33,722	430,073
GUANGZHOU R&F PROPERTIES - H	212,526	489,013	-	32,626	668,913
KWG GROUP HOLDINGS LTD	131,786	-	-	131,786	-
LOGAN GROUP CO LTD	119,769	294,356	-	19,986	394,139
MIDEA REAL ESTATE HOLDING LTD	-	298,650	-	13,917	284,733
NEW WORLD DEVELOPMENT	42,377	39,772	-	11,408	70,741
SINO LAND CO	133,658	122,211	-	38,469	217,400
SINO-OCEAN GROUP HOLDING LTD	-	3,668,585	-	169,093	3,499,492
SWIRE PACIFIC LTD 'A' 'A'HKD0.60	36,693	-	-	36,693	-
TIMES CHINA HOLDINGS LTD	-	523,722	-	25,026	498,696
YUEXIU PROPERTY CO LTD	1,152,337	221,354	(784,270)	191,180	398,241
YUZHOU GROUP HOLDINGS CO LTD	595,060	3,803,936	-	491,392	3,907,604
Utilities					
CHINA POWER INTERNATIONAL	991,289	1,399,829	-	110,852	2,280,266
CK INFRASTRUCTURE HOLDINGS LTD	32,275	36,142	-	4,581	63,836
CLP HOLDINGS LTD	11,612	20,856	-	1,968	30,500
HUANENG POWER INTL INC-H	-	1,616,787	-	76,218	1,540,569
POWER ASSETS HOLDINGS LTD	32,426	47,923	-	4,166	76,183

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

CSI 300 ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities					
Communication Services					
CHINA SATELLITE COMMUNICAT-A	1,000	-	-	300	700
CHINA UNITED NETWORK-A	21,501	18,600	-	8,000	32,101
FOCUS MEDIA INFORMATION TE-A	23,676	400	-	6,300	17,776
GIANT NETWORK GROUP CO LTD-A	1,860	700	-	500	2,060
MANGO EXCELLENT MEDIA CO L-A	1,690	100	-	500	1,290
PERFECT WORLD CO LTD-A	2,700	-	-	700	2,000
SHANGHAI ORIENTAL PEARL MEDIA CO LTD	4,773	-	-	4,773	-
WANDA FILM HOLDING CO LTD-A	2,100	-	-	600	1,500
WUHU SANQI INTERACTIVE ENT-A	2,900	100	-	700	2,300
ZHEJIANG CENTURY HUATONG -A	8,609	100	-	2,300	6,409
Consumer Discretionary					
BYD CO LTD -A	2,043	-	-	500	1,543
CHANGZHOU XINGYU AUTOMOTIV-A	-	300	-	100	200
CHINA GRAND AUTOMOTIVE SER-A 600297	9,370	-	-	9,370	-
CHINA SHIPBUILDING INDUSTRY GR	1,500	-	-	400	1,100
CHINA TOURISM GROUP DUTY F-A	2,218	100	-	600	1,718
CHONGQING CHANGAN AUTOMOB-A	5,187	100	1,915	1,800	5,402
FAW CAR COMPANY LIMITED-A	-	2,000	-	400	1,600
FUYAO GROUP GLASS INDUSTR-A	3,196	-	-	800	2,396
GREAT WALL MOTOR CO LTD-A	2,803	-	-	700	2,103
GREE ELECTRIC APPLIANCES I-A	11,070	200	-	3,000	8,270
GUANGZHOU AUTOMOBILE GROUP-A	2,140	2,500	-	900	3,740
HAIER SMART HOME CO LTD-A	8,755	100	-	2,300	6,555
HANGZHOU ROBAM APPLIANCES-A	1,100	-	-	300	800
HUAYU AUTOMOTIVE SYSTEMS -A	3,658	-	-	1,000	2,658
MIDEA GROUP CO LTD-A	11,378	100	-	3,000	8,478
OFFCN EDUCATION TECHNOLOGY-A	1,600	2,400	-	800	3,200
OPPEIN HOME GROUP INC-A	440	-	-	200	240
SAIC MOTOR CORPORATION LTD-A	8,052	100	-	2,100	6,052
SHANGHAI YUYUAN TOURIST-A	3,600	-	-	1,000	2,600
SHENZHEN OVERSEAS CHINESE-A	9,500	100	-	2,600	7,000
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	3,580	100	-	1,000	2,680
SUNING APPLIANCE CO LTD-A	8,597	100	-	2,300	6,397
TCL TECHNOLOGY GROUP CORP	32,365	500	-	8,700	24,165
ZHEJIANG SUPOR COOKWARE CO-A	400	100	-	200	300
Consumer Staples					
ANHUI GUJING DISTILLERY CO-A	300	100	-	200	200
BEIJING SHUNXIN AGRICULT-A	1,200	-	-	300	900
CHONGQING BREWERY CO-A 'A'CNY1	-	500	-	100	400
DASHENLIN PHARMACEUTICAL G-A 603233	-	500	-	100	400
FOSHAN HAITIAN FLAVOURING -A	2,260	100	678	800	2,238
GUANGDONG HAID GROUP CO-A	1,800	-	-	400	1,400
HEBEI YANGYUAN ZHIHUI BEVE-A	1,168	-	-	1,168	-
HENAN SHUANGHUI INVESTMENT-A	2,352	600	-	600	2,352
INNER MONGOLIA YILI INDUS-A	14,072	200	-	3,800	10,472
JIANGSU KING'S LUCK BREWER-A	1,400	-	-	400	1,000
JIANGSU YANGHE BREWERY -A	1,347	-	-	300	1,047
JIANGXI ZHENGBO TECH -A	2,900	500	-	700	2,700
JONJEE HIGH-TECH INDUSTRIA-A	1,213	-	-	200	1,013
JUEWEI FOOD CO LTD-A	-	700	-	100	600

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STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Consumer Staples (continued)					
KWEICHOW MOUTAI CO LTD-A	1,182	200	-	300	1,082
LUZHOU LAOJIAO CO LTD-A	1,719	100	-	500	1,319
MUYUAN FOODSTUFF CO LTD-A	4,310	100	1,724	1,600	4,534
NEW HOPE LIUHE CO LTD-A	5,240	100	-	1,500	3,840
SHANXI XINGHUACUN FEN WINE-A	800	100	280	300	880
TONGWEI CO LTD-A	6,200	100	-	1,700	4,600
TSINGTAO BREWERY CO LTD-A	800	-	-	200	600
WENS FOODSTUFFS GROUP CO - A	10,280	100	-	2,700	7,680
WULIANGYE YIBIN CO LTD-A	4,499	100	-	1,200	3,399
YIFENG PHARMACY CHAIN CO L-A	-	800	-	200	600
YONGHUI SUPERSTORES CO LTD-A	8,785	100	-	2,300	6,585
Energy					
CHINA MERCHANTS ENERGY -A	4,700	1,100	1,140	1,400	5,540
CHINA OILFIELD SERVICES-A	1,400	-	-	400	1,000
CHINA PETROLEUM & CHEMICAL-A	30,843	400	-	8,200	23,043
CHINA SHENHUA ENERGY CO -A	7,600	100	-	2,000	5,700
PETROCHINA CO LTD-A	22,411	400	-	6,100	16,711
SHAANXI COAL INDUSTRY CO L-A	9,200	100	-	2,400	6,900
SHANXI MEIJIN ENERGY CO LT-A 000723	4,700	-	-	4,700	-
Financials					
AGRICULTURAL BANK OF CHINA-A	66,355	1,000	-	18,000	49,355
AVIC INDUSTRY-FINANCE HOLD-A (Formerly known as AVIC CAPITAL CO LTD -A 'A'CNV1)	12,352	100	-	3,300	9,152
BANK OF BEIJING CO LTD -A	34,186	500	-	9,300	25,386
BANK OF CHANGSHA CO LTD-A	2,400	-	-	2,400	-
BANK OF CHENGDU CO LTD-A	5,000	-	-	1,300	3,700
BANK OF CHINA LTD-A	48,700	800	-	13,200	36,300
BANK OF COMMUNICATIONS-A	63,455	1,000	-	17,100	47,355
BANK OF HANGZHOU CO LTD-A	6,840	100	-	1,900	5,040
BANK OF JIANGSU CO LTD-A	19,200	6,200	-	5,100	20,300
BANK OF NANJING CO LTD -A	13,836	100	-	5,300	8,636
BANK OF NINGBO CO LTD -A	6,983	800	-	1,600	6,183
BANK OF SHANGHAI CO LTD-A	22,934	400	-	6,200	17,134
BOC INTERNATIONAL CHINA CO-A	700	1,100	-	400	1,400
CAITONG SECURITIES CO LTD-A	5,800	100	-	1,600	4,300
CHANGJIANG SECURITIES CO L-A	8,933	100	-	2,400	6,633
CHINA CITIC BANK CORP LTD-A	7,045	100	-	1,900	5,245
CHINA CONSTRUCTION BANK-A	15,474	300	-	4,200	11,574
CHINA EVERBRIGHT BANK CO-A	36,801	600	-	8,900	28,501
CHINA GALAXY SECURITIES CO-A	3,000	100	-	900	2,200
CHINA GREAT WALL SECURITIE-A	2,100	-	-	500	1,600
CHINA INTERNATIONAL CAPTAL-A	-	600	-	100	500
CHINA LIFE INSURANCE CO- A	3,800	100	-	1,000	2,900
CHINA MERCHANTS BANK-A	28,619	400	-	7,700	21,319
CHINA MERCHANTS SECURITIES-A	8,614	200	-	2,400	6,414
CHINA MINSHENG BANKING-A	49,156	700	-	13,300	36,556
CHINA PACIFIC INSURANCE GR-A	7,947	100	-	2,200	5,847
CHINA ZHESHANG BANK CO LTD-A	7,700	10,300	-	3,600	14,400
CHINALIN SECURITIES CO LTD-A	600	-	-	100	500
CHONGQING RURAL COMMERCIAL-A	4,100	9,200	-	2,700	10,600
CITIC SECURITIES CO-A SHARES	19,652	300	-	5,300	14,652
CSC FINANCIAL CO LTD-A	2,200	-	-	500	1,700
DONGXING SECURITIES CO LT-A 601198	3,200	-	-	3,200	-

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CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Financials (continued)					
EAST MONEY INFORMATION CO-A	15,911	300	3,182	5,200	14,193
EVERBRIGHT SECURITIE CO -A	4,558	-	-	1,200	3,358
FOUNDER SECURITIES CO LTD-A	9,454	100	-	2,500	7,054
GF SECURITIES CO LTD-A	6,876	100	-	1,900	5,076
GUOSEN SECURITIES CO LTD-A	6,705	100	-	1,800	5,005
GUOTAI JUNAN SECURITIES CO-A	10,400	200	-	2,800	7,800
GUOYUAN SECURITIES CO LTD-A	6,050	500	-	1,300	5,250
HAITONG SECURITIES CO LTD-A	17,855	2,900	-	4,200	16,555
HITHINK ROYALFLUSH INFORMA-A	500	-	-	100	400
HONGTA SECURITIES CO LTD-A	1,700	500	-	600	1,600
HUATAI SECURITIES CO LTD-A	13,603	200	-	4,900	8,903
HUAXIA BANK CO LTD-A	14,163	200	-	3,800	10,563
HUBEI BIOCAUSE PHARMACEUTI-A 000627	4,600	-	-	4,600	-
IND & COMM BK OF CHINA - A	80,895	1,300	-	21,800	60,395
INDUSTRIAL BANK CO LTD	33,570	500	-	9,100	24,970
INDUSTRIAL SECURITIES CO-A	12,407	200	-	3,400	9,207
MINMETALS CAPITAL CO LTD-A 600390	5,220	-	-	5,220	-
NANJING SECURITIES CO LTD-A	3,400	600	-	800	3,200
NEW CHINA LIFE INSURANCE C-A	1,912	-	-	500	1,412
ORIENT SECURITIES CO LTD-A	9,600	200	-	2,600	7,200
PICC HOLDING CO-A	4,900	400	-	1,100	4,200
PING AN BANK CO LTD-A	22,372	300	-	6,000	16,672
PING AN INSURANCE GROUP CO-A	25,002	400	-	6,800	18,602
POSTAL SAVINGS BANK OF CHI-A	7,700	15,700	-	4,700	18,700
QINGDAO RURAL COMMERCIAL B-A 002958	6,400	-	-	6,400	-
SDIC ESSENCE HOLDINGS CO L-A	3,900	100	1,872	1,400	4,472
SHANGHAI PUDONG DEVEL BANK-A	27,116	400	-	7,300	20,216
SHENWAN HONGYUAN GROUP CO-A	20,812	300	-	5,600	15,512
SINOLINK SECURITIES CO LTD-A	5,600	100	-	1,500	4,200
SOOCHOW SECURITIES CO LTD-A	7,191	100	-	1,900	5,391
SOUTHWEST SECURITIES CO LT-A 'A'CNY1	7,700	-	-	7,700	-
TIANFENG SECURITIES CO LTD-A	7,700	1,600	-	1,900	7,400
WESTERN SECURITIES CO LTD-A	4,060	100	-	1,100	3,060
ZHESHANG SECURITIES CO LTD-A	4,200	-	-	1,600	2,600
ZHONGTAI SECURITIES CO LTD-A	1,800	100	-	500	1,400
Health Care					
AIER EYE HOSPITAL GROUP CO-A	3,763	800	1,294	1,200	4,657
ASYMCHAM LABORATORIES TIAN-A	300	-	-	100	200
AUTOBIO DIAGNOSTICS CO LTD-A	300	-	90	100	290
BEIJING TIANTAN BIOLOGICAL-A	1,400	100	-	300	1,200
BEIJING TONGRENTANG CO-A	1,309	200	-	300	1,209
BEIJING WANTAI BIOLOGICAL-A	100	-	40	-	140
BETTA PHARMACEUTICALS CO L-A	-	500	-	100	400
BGI GENOMICS CO LTD-A	500	-	-	200	300
BLOOMAGE BIOTECHNOLOGY COR-A	-	200	-	-	200
CHANGCHUN HIGH & NEW TECH-A	700	-	-	200	500
CHENGDU KANGHONG PHARMACEU-A	850	-	-	300	550
CHONGQING ZHIFEI BIOLOGICA-A	1,500	200	-	300	1,400
GAN & LEE PHARMACEUTICALS -A	100	-	-	-	100
GUANGZHOU BAIYUNSHAN PHAR-A	1,299	200	-	300	1,199
GUANGZHOU KINGMED DIAGNOST-A	-	600	-	100	500

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CSI 300 ETF (continued)

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Listed equities (continued)					
Health Care (continued)					
HANGZHOU TIGERMED CONSULTI-A	1,200	-	-	300	900
HUADONG MEDICINE CO LTD-A	1,990	-	-	500	1,490
HUALAN BIOLOGICAL ENGINEER-A	2,532	-	-	700	1,832
INTCO MEDICAL TECHNOLOGY C-A	-	500	200	100	600
JAFRON BIOMEDICAL CO LTD-A	900	-	-	200	700
JIANGSU HENGRUI MEDICINE C-A	8,594	100	1,719	2,700	7,713
JOINTOWN PHARMACEUTICAL-A	1,700	-	-	1,700	-
LEPU MEDICAL TECHNOLOGY-A	2,900	-	-	700	2,200
MEINIAN ONEHEALTH HEALTHCA-A	6,376	100	-	1,800	4,676
OVCTEK CHINA INC-A	-	1,100	-	200	900
SHANGHAI FOSUN PHARMACEUTI-A	2,742	100	-	700	2,142
SHANGHAI PHARMACEUTICALS-A	2,674	-	-	700	1,974
SHANGHAI RAAS BLOOD PRODUC-A	6,260	1,000	-	1,500	5,760
SHENZHEN KANGTAI BIOLOGICA-A	800	100	-	200	700
SICHUAN KELUN PHARMACEUTIC-A	2,300	-	-	2,300	-
TOPCHOICE MEDICAL INVESTME-A	500	-	-	100	400
WALVAX BIOTECHNOLOGY CO-A	3,600	100	-	1,000	2,700
WUHAN HUMANWELL HEALTHCARE-A	-	2,100	-	400	1,700
WUXI APTEC CO LTD-A	2,992	300	599	800	3,091
YUNNAN BAIYAO GROUP CO LTD-A	1,217	-	-	300	917
ZHANGZHOU PIENZHEHUANG PHA-A	700	-	-	200	500
ZHEJIANG HUAHAI PHARMACEUT-A	-	1,900	-	400	1,500
ZHEJIANG NHU CO LTD-A	3,000	-	600	1,000	2,600
Industrials					
AECC AVIATION POWER CO LTD	2,432	100	-	700	1,832
AIR CHINA LTD-A	6,894	100	-	1,900	5,094
AVIC SHENYANG HEIBAO CO LT-A	1,000	-	360	400	960
AVIC XI'AN AIRCRAFT INDUST-A	3,178	-	-	800	2,378
BEIJING NEW BUILDING MATER-A	2,300	100	-	600	1,800
BEIJING-SHANGHAI HIGH SPE-A	7,900	34,300	-	8,400	33,800
CHANGSHA ZOOMLION HEAVY INDS	10,601	100	-	3,400	7,301
CHINA COMMUNICATIONS CONST-A	8,100	-	-	2,100	6,000
CHINA COSCO HOLDINGS-A	11,200	300	3,060	3,700	10,860
CHINA CSSC HOLDINGS LTD-A	1,500	2,400	-	800	3,100
CHINA EASTERN AIRLINES CO-A	10,320	100	-	2,700	7,720
CHINA NATIONAL CHEMICAL-A 'A'CNY1	5,700	-	-	5,700	-
CHINA RAILWAY GROUP LTD -A	23,529	400	-	6,400	17,529
CHINA RAILWAYS CONSTRUCTIO-A	10,620	-	-	2,800	7,820
CHINA SHIPBUILDING INDUSTR-A	21,020	-	-	5,600	15,420
CHINA SOUTH LOCOMOTIVE -A	28,123	400	-	7,600	20,923
CHINA SOUTHERN AIRLINES CO-A	10,175	4,200	-	3,500	10,875
CHINA SPACESAT CO LTD	1,350	-	-	400	950
CHINA STATE CONSTRUCTION -A	48,449	800	-	13,100	36,149
DAQIN RAILWAY CO LTD -A	13,718	200	-	3,700	10,218
EVE ENERGY CO LTD-A	2,600	-	-	700	1,900
GEZHOUBA CO LTD-A 'A'CNY1	6,400	-	-	6,400	-
GONGNIU GROUP CO LTD-A	100	100	-	-	200
GUANGZHOU BAIYUN INTERNATI-A 'A'CNY1	2,700	-	-	2,700	-
HAN'S LASER TECHNOLOGY IN-A	1,953	-	-	500	1,453
JIANGSU HENGLI HIGHPRESSUR-A	876	200	-	200	876
JIANGSU ZHONGTIAN TECHNOLO-A	5,700	100	-	1,600	4,200
METALLURGICAL CORP OF CHIN-A	16,463	300	-	4,500	12,263
NARI TECHNOLOGY DEVELOPMEN-A	5,345	200	969	1,700	4,814
POWER CONSTRUCTION CORP OF-A	17,700	300	-	4,800	13,200

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CSI 300 ETF (continued)

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Listed equities (continued)					
Industrials (continued)					
S F HOLDING CO LTD-A	4,200	-	-	1,100	3,100
SANY HEAVY INDUSTRY CO LTD-A	13,700	200	-	3,700	10,200
SHANGHAI ELECTRIC GRP CO L-A	8,391	2,600	-	2,200	8,791
SHANGHAI INTERNATIONAL AIR-A	2,185	-	-	500	1,685
SHANGHAI INTERNATIONAL POR-A	8,000	100	-	2,100	6,000
SHANGHAI M&G STATIONERY IN-A	900	-	-	300	600
SHENZHEN INOVANCE TECHNOLO-A	2,398	-	1,200	1,000	2,598
SPRING AIRLINES CO LTD-A	800	-	-	200	600
SUNGROW POWER SUPPLY CO LT-A	-	2,200	-	400	1,800
WEICHAI POWER CO LTD-A	11,034	200	-	3,000	8,234
XCMG CONSTRUCTION MACHIN-A	10,889	100	-	2,900	8,089
XINJIANG GOLDWIND SCI&TECH-A	6,368	100	-	1,700	4,768
YTO EXPRESS GROUP CO LTD-A	2,900	-	-	700	2,200
YUNDA HOLDING CO LTD-A	2,638	100	-	700	2,038
ZHEJIANG CHINT ELECTRICS-A	2,500	-	-	700	1,800
ZHEJIANG DINGLI MACHINERY -A	-	500	-	100	400
ZHEJIANG SANHUA INTELLIGEN-A	4,180	100	-	1,200	3,080
ZHENGZHOU YUTONG BUS CO - A	3,087	-	-	3,087	-
Information Technology					
360 SECURITY TECHNOLOGY IN-A	4,700	100	-	1,100	3,700
ADVANCED MICRO-FABRICATION-A	-	600	-	-	600
AISINO CO LTD-A 'A'CNY1	2,530	-	-	2,530	-
AVARY HOLDING SHENZHEN CO -A	1,600	-	-	400	1,200
AVIC JONHON OPTRONIC TECH-A	1,320	-	-	400	920
BEIJING KINGSOFT OFFICE SO-A	-	400	-	100	300
BEIJING SHIJI INFORMATION -A	776	-	270	300	746
BOE TECHNOLOGY GROUP CO LT-A	62,500	9,200	-	20,100	51,600
CHAOZHOU THREE-CIRCLE GROU-A	2,500	-	-	700	1,800
CHINA GREATWALL TECHNOLOGY-A	4,100	100	-	1,200	3,000
CHINA RAILWAY SIGNAL & COM-A	-	5,500	-	1,100	4,400
CHINA RESOURCES MICROELECT-A	-	600	-	-	600
DAWNING INFORMATION INDUST-A	2,340	-	-	600	1,740
FIBERHOME TELECOM TECH CO-A 'A'CNY1	1,600	-	-	1,600	-
FOXCONN INDUSTRIAL INTERNE-A	4,600	1,800	-	1,300	5,100
GIGADEVICE SEMICONDUCTOR B-A	780	100	312	200	992
GLODON CO LTD	2,200	-	-	600	1,600
GOERTEK INC -A	5,216	100	-	1,200	4,116
GUANGDONG LY INTELLIGENT M-A	6,500	100	-	1,700	4,900
GUANGZHOU SHIYUAN ELECTRON-A	800	-	-	200	600
HANGZHOU FIRST APPLIED MAT-A	-	800	-	200	600
HANGZHOU HIKVISION DIGITAL-A	8,588	1,300	-	2,000	7,888
HENGTONG OPTIC-ELECTRIC CO-A 600487	3,200	-	-	3,200	-
HUNDSUN ELECTRONIC CO LTD-A	1,939	100	696	700	2,035
IFLYTEK CO LTD	4,068	100	-	1,100	3,068
INSPUR ELECTRONIC INFORMAT-A	2,300	-	-	500	1,800
JCET GROUP CO LTD	2,600	100	-	500	2,200
LENS TECHNOLOGY CO LTD-A	2,999	200	-	600	2,599
LONGI GREEN ENERGY TECHNOL-A	6,142	600	2,617	1,900	7,459
LUXSHARE PRECISIONIndustr-A	9,630	900	-	2,100	8,430
MONTAGE TECHNOLOGY CO LTD-A	-	1,000	-	200	800
NATIONAL SILICON INDUSTRY -A	-	1,100	-	200	900
NAURA TECHNOLOGY GROUP CO-A	600	-	-	200	400
O-FILM TECH CO LTD	5,017	100	-	1,400	3,717

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CSI 300 ETF (continued)

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Listed equities (continued)					
Information Technology (continued)					
SANAN OPTOELECTRONICS CO L-A	5,215	600	-	1,200	4,615
SHANGHAI BAOSIGHT SOFTWARE-A	600	200	180	200	780
SHENGYI TECHNOLOGY CO LTD -A	2,600	100	-	700	2,000
SHENNAN CIRCUITS CO LTD-A	480	-	-	200	280
SHENZHEN HUIDING TECHNOLOG-A	500	-	-	100	400
SHENZHEN SUNWAY COMMUNICAT-A	1,800	300	-	400	1,700
SHENZHEN TRANSSION HOLDING-A	-	500	-	100	400
SUZHOU DONGSHAN PRECISION-A	2,800	100	-	600	2,300
TIANJIN ZHONGHUAN SEMICOND-A	4,200	400	-	900	3,700
TONGFANG GUOXIN ELECTRONIC-A	1,000	-	-	300	700
TSINGHUA UNISPLENDOUR CO-A	3,260	-	-	800	2,460
UNIVERSAL SCIENTIFIC INDUS-A	1,500	-	-	400	1,100
WILL SEMICONDUCTOR CO LTD-A	1,000	100	-	200	900
WINGTECH TECHNOLOGY CO LTD-A	1,200	100	-	300	1,000
WUHAN GUIDE INFRARED CO LT-A	1,500	-	600	500	1,600
WUS PRINTED CIRCUIT KUNSHA-A	2,800	-	260	800	2,260
WUXI LEAD INTELLIGENT EQUI-A	-	2,200	-	600	1,600
YEALINK NETWORK TECHNOLOGY-A	600	-	-	100	500
YONYOU NETWORK TECHNOLOGY CO LTD	3,790	-	-	1,000	2,790
ZHEJIANG DAHUA TECHNOLOGY-A	4,146	-	-	1,100	3,046
ZTE CORP-A	6,258	400	-	1,300	5,358
Materials					
ALUMINUM CORP OF CHINA LTD	15,125	300	-	4,100	11,325
ANHUI CONCH CEMENT CO LTD-A	5,590	100	-	1,500	4,190
BAOSHAN IRON & STEEL CO-A	20,551	300	-	5,500	15,351
BEIJING ORIENTAL YUHONG-A	3,800	100	-	900	3,000
BLUESTAR ADISSEO CO-A	700	200	-	200	700
CHINA JUSHI CO LTD -A	5,700	-	815	2,400	4,115
CHINA MOLYBDENUM CO LTD-A	16,340	200	-	4,400	12,140
CHINA NORTHERN RARE EARTH-A	5,071	100	-	1,400	3,771
CITI PACIFIC SPECIAL STEE-A	2,300	100	-	600	1,800
HENGLI PETROCHEMICAL CO L-A	4,880	100	-	1,300	3,680
HENGYI PETROCHEMICAL CO -A	4,260	500	-	1,000	3,760
INNER MONGOLIA JUNZHENG EN-A	7,770	100	-	2,100	5,770
INNER MONGOLIAN BAOTOU STEEL	52,666	700	-	14,200	39,166
JIANGXI COPPER CO LTD-A	2,347	100	-	600	1,847
JIANGXI GANFENG LITHIUM CO-A	1,750	-	-	400	1,350
KINGFA SCI.& TECH CO LTD-A	-	3,900	-	800	3,100
LB GROUP CO LTD-A (Formerly known as LOMON BILLIONS GROUP CO -A 002601)	2,800	-	-	700	2,100
NINGXIA BAOFENG ENERGY GRO-A	1,700	3,000	-	900	3,800
RONGSHENG PETRO CHEMICAL-A	4,700	100	2,350	1,900	5,250
SHANDONG GOLD MINING CO LT-A	4,214	100	-	1,200	3,114
SHANDONG HUALU HENGSHENG-A	-	3,200	-	600	2,600
SHANGHAI PUTAILAI NEW ENER-A	-	400	-	100	300
WANHUA CHEMICAL GROUP CO LTD	3,634	-	-	1,000	2,634
YUNNAN ENERGY NEW MATERIAL-A	1,000	100	-	200	900
ZHEJIANG HUAYOU COBALT CO-A	1,580	200	-	400	1,380
ZHEJIANG LONGSHENG GROUP C-A	6,042	-	-	1,600	4,442
ZHONGJIN GOLD CORP-A	4,523	100	-	1,200	3,423
ZIJIN MINING GROUP CO LTD-A	31,697	500	-	8,400	23,797

GLOBAL X ETF SERIES

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

CSI 300 ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities (continued)					
Real Estate					
CHINA FORTUNE LAND DEVELOP-A	3,578	700	-	900	3,378
CHINA MERCHANTS SHEKOU INDUSTRY	7,318	100	-	2,000	5,418
CHINA VANKE CO LTD -A	15,685	300	-	4,200	11,785
GEMDALE CORP-A	5,228	100	-	1,500	3,828
GREENLAND HOLDINGS CORP LT-A	8,400	100	385	2,300	6,585
JIANGSU ZHONGNAN CONSTRUCT-A 'A'CNY1	4,400	-	-	4,400	-
JINKE PROPERTIES GROUP CO -A	7,400	100	-	2,000	5,500
POLY REAL ESTATE GROUP CO -A	16,600	200	-	4,500	12,300
RISESUN REAL ESTATE DEVEL-A 'A'CNY1	4,058	-	-	4,058	-
SEAZEN HOLDINGS CO LTD-A	2,100	100	-	600	1,600
SHANGHAI LINGANG HOLDINGS-A	1,400	300	340	400	1,640
XINHU ZHONGBAO CO LTD-A 'A'CNY1	9,878	-	-	9,878	-
YANGO GROUP CO LTD-A	3,800	-	-	3,800	-
YOUNGOR GROUP CO-A 'A'CNY1	6,425	-	-	6,425	-
Utilities					
CGN POWER CO LTD-A	27,200	400	-	7,300	20,300
CHINA NATIONAL NUCLEAR POW-A	14,400	200	-	5,600	9,000
CHINA YANGTZE POWER CO LTD-A	20,958	3,500	-	4,900	19,558
GD POWER DEVELOPMENT CO -A	27,265	400	-	7,400	20,265
HUADIAN POWER INTL CORP-A 'A'CNY1	7,500	-	-	7,500	-
HUANENG LANCANG RIVER HYDR-A	4,200	100	-	1,200	3,100
HUANENG POWER INTL INC-A	10,200	200	-	2,800	7,600
SDIC POWER HOLDINGS CO LTD-A	6,440	-	-	1,700	4,740

GLOBAL X ETF SERIES

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

China Biotech ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities					
Health Care					
3SBIO INC	3,098,500	258,500	-	3,357,000	-
ANHUI ANKE BIOTECHNOLOGY G-A	2,889,343	408,508	576,048	1,164,500	2,709,399
BEIGENE LTD-ADR	128,717	10,669	-	33,317	106,069
BEIJING TIANTAN BIOLOGICAL-A	951,226	173,801	-	228,000	897,027
BEIJING WANTAI BIOLOGICAL-A	-	125,524	-	10,800	114,724
BERRY GENOMICS CO LTD-A	373,550	28,900	-	402,450	-
BETTA PHARMACEUTICALS CO L-A	823,053	84,400	-	151,500	755,953
BGI GENOMICS CO LTD-A	770,362	82,300	-	136,800	715,862
CANSINO BIOLOGICS INC-A	-	223,933	-	23,217	200,716
CANSINO BIOLOGICS INC-H	176,600	12,000	-	188,600	-
CHINA BIOLOGIC PRODUCTS HOLD	40,841	332	(41,173)	-	-
CHINA MEDICAL SYSTEM HOLDING	2,404,000	393,000	-	418,000	2,379,000
CHINA NATIONAL MEDICINES-A	635,955	69,200	-	156,100	549,055
DA AN GENE CO LTD SUN YAT-A	1,059,336	137,000	631,341	317,500	1,510,177
GENSCRIPT BIOTECH CORP	2,258,000	243,531	-	740,000	1,761,531
HANSOH PHARMACEUTICAL GROUP	1,916,000	378,000	-	316,000	1,978,000
HUALAN BIOLOGICAL ENGINEER-A	1,588,793	293,700	-	306,200	1,576,293
HUTCHMED CHINA LTD (Formerly known as HUTCHISON CHINA MEDITECH-ADR HCM)	111,760	11,423	-	24,271	98,912
HYGEIA HEALTHCARE HOLDINGS C	-	392,362	-	43,200	349,162
INNOVENT BIOLOGICS INC	2,323,500	420,803	-	382,500	2,361,803
JIANGSU HENGRUI MEDICINE C-A	1,965,427	1,812,904	399,465	525,300	3,652,496
LIVON PHARMACEUTICAL GROU-A	655,787	107,419	-	108,400	654,806
NANJING KING-FRIEND BIOCHE-A	500,502	57,385	136,916	156,700	538,103
PHARMABLOCK SCIENCES NANJI-A	248,170	101,514	77,871	60,700	366,855
REMEGEN CO LTD-H	-	77,500	-	-	77,500
SHANGHAI JUNSHI BIOSCIENCE-H	287,800	99,800	-	48,200	339,400
SHANGHAI RAAS BLOOD PRODUC-A	3,837,394	833,800	-	661,600	4,009,594
SHENZHEN KANGTAI BIOLOGICA-A	1,380,412	148,909	-	352,300	1,177,021
SINO BIOPHARMACEUTICAL	20,060,000	2,536,356	-	4,731,000	17,865,356
WALVAX BIOTECHNOLOGY CO-A	3,027,240	278,045	-	917,700	2,387,585
WUXI APTEC CO LTD-A	1,545,191	215,842	309,898	569,200	1,501,731
WUXI BIOLOGICS CAYMAN INC	2,856,000	308,000	-	1,146,948	2,017,052
ZAI LAB LTD-ADR	153,017	12,525	-	43,623	121,919
ZHEJIANG WOLWO BIO-PHARMAC-A	1,065,682	91,933	-	269,000	888,615

GLOBAL X ETF SERIES

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2021 to 30 September 2021

China Cloud Computing ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2021	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2021
Listed equities					
Communication Services					
KINGSOFT CORP LTD	621,000	316,200	-	266,200	671,000
NETEASE INC-ADR	61,566	25,382	-	34,206	52,742
TENCENT HOLDINGS LTD	232,000	105,500	-	105,400	232,100
Consumer Discretionary					
ALIBABA GROUP HOLDING-SP ADR					
BABA	72,478	33,732	-	27,975	78,235
DADA NEXUS LTD-ADR	183,098	74,915	-	80,796	177,217
GAOTU TECHEDU INC (Formerly known as GSX TECHEDU INC- ADR GSX)	135,228	41,120	-	118,311	58,037
MEITUAN-CLASS B	418,100	186,200	-	156,600	447,700
YOUDAO INC	3,765	2,232	-	1,273	4,724
Financials					
FUTU HOLDINGS LTD-ADR	16,707	24,200	-	7,831	33,076
HITHINK ROYALFLUSH INFORM-A	-	443,867	-	65,600	378,267
LUFAX HOLDING LTD	-	1,982,413	-	295,947	1,686,466
Health Care					
B-SOFT CO LTD-A	1,094,477	238,162	342,743	1,675,382	-
WINNING HEALTH TECHNOLOGY-A	1,892,870	754,947	-	1,310,900	1,336,917
Information Technology					
21VIANET GROUP INC-ADR	66,534	27,126	-	32,876	60,784
BEIJING E-HUALU INFO TECH-A	482,675	98,624	-	581,299	-
BEIJING SHIJI INFORMATION -A	232,254	102,300	91,701	261,800	164,455
BEIJING SINNET TECHNOLOGY-A	1,300,545	505,070	-	801,800	1,003,815
CHINA NATIONAL SOFTWARE -A	236,600	99,043	-	164,300	171,343
CHINA TRANSINFO TECHNOLOGY-A	671,605	291,100	-	428,100	534,605
CHINA YOUZAN LTD	9,276,000	4,460,000	-	3,900,000	9,836,000
DHC SOFTWARE CO LTD -A	1,478,300	608,900	-	967,800	1,119,400
GDS HOLDINGS LTD - ADR	139,742	59,539	-	76,743	122,538
GLODON CO LTD-A	-	476,755	-	476,755	-
HUNDSUN ELECTRONIC CO LTD-A	638,645	302,993	185,611	444,900	682,349
IFLYTEK CO LTD	1,227,566	562,604	-	821,100	969,070
KINGDEE INTERNATIONAL SFTWR	2,346,000	881,000	-	1,371,000	1,856,000
KINGSOFT CLOUD HOLDINGS-ADR	186,819	72,199	-	98,187	160,831
MING YUAN CLOUD GROUP HOLDIN	-	565,000	-	108,000	457,000
SANGFOR TECHNOLOGIES INC-A	323,722	146,608	-	205,300	265,030
TAIJI COMPUTER CORP LTD-A	262,969	56,400	-	319,369	-
WANGSU SCIENCE & TECHNOLOGY-A	2,199,632	451,200	-	2,650,832	-
WEIMOB INC	1,027,000	676,000	-	371,000	1,332,000
YONYOU SOFTWARE CO LTD-A	1,233,636	496,170	-	755,300	974,506
Real Estate					
KE HOLDINGS INC	-	464,601	-	69,360	395,241

GLOBAL X ETF SERIES

PERFORMANCE RECORD (UNAUDITED)

NET ASSET VALUE

	<u>As at 30 September 2021</u>		<u>As at 31 March 2021</u>		<u>As at 31 March 2020</u>	
	Dealing net asset value	Dealing net asset value per unit	Dealing net asset value	Dealing net asset value per unit	Dealing net asset value	Dealing net asset value per unit
MSCI China ETF (in HK\$)	799,124,560	36.9965	995,159,186	44.0336	844,977,236	30.8386
Hang Seng High Dividend Yield ETF (in HK\$)	182,355,594	26.0508	98,530,157	28.9795	129,729,289	23.1659
CSI 300 ETF (in RMB)	34,381,897	17.1909	44,212,130	17.6849	102,629,062	12.8286
China Biotech ETF (in RMB)	2,221,104,313	95.3264	2,490,238,419	99.4107	852,102,267	61.9711
China Cloud Computing ETF (in RMB)	881,201,579	67.2673	1,080,395,975	93.9475	450,284,267	68.7457

HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER UNIT

	<u>For the period ended 30 September 2021</u>	
	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) ¹	45.7042	36.0560
Hang Seng High Dividend Yield ETF (in HK\$) ¹	30.2194	25.3266
CSI 300 ETF (in RMB) ²	18.6805	16.7853
China Biotech ETF (in RMB) ³	116.5631	92.2066
China Cloud Computing ETF (in RMB) ³	97.3897	68.7065

	<u>For the year ended 31 March 2021</u>		<u>For the year ended 31 March 2020</u>		<u>For the year ended 31 March 2019</u>		<u>For the year ended 31 March 2018</u>	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) ¹	52.5877	30.2310	36.2498	28.0868	37.6964	27.2491	39.7705	25.4109
Hang Seng High Dividend Yield ETF (in HK\$) ¹	29.3399	22.4517	30.8182	21.0591	32.9142	25.8052	34.6248	25.2672
CSI 300 ETF (in RMB) ²	20.3230	12.8129	14.6885	12.2945	15.5503	10.2400	14.9729	11.2490
China Biotech ETF (in RMB) ³	121.3202	61.7807	67.9412	48.8418	–	–	–	–
China Cloud Computing ETF (in RMB) ³	126.9259	68.4645	81.6336	47.2181	–	–	–	–

GLOBAL X ETF SERIES

PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER UNIT (CONTINUED)

	<u>For the year ended</u> <u>31 March 2017</u>		<u>For the year ended</u> <u>31 March 2016</u>			<u>For the year ended</u> <u>31 March 2015</u>			<u>For the year ended</u> <u>31 March 2014</u>		
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	
MSCI China ETF (in HK\$) ¹	25.9516	19.9304	30.7717	18.1352	25.9101	20.0785	23.1139	17.8478			
Hang Seng High Dividend Yield ETF (in HK\$) ¹	26.1597	20.8090	28.5089	19.3450	23.1866	19.7785	21.0082	18.4980			
CSI 300 ETF (in RMB) ²	12.0336	10.2150	17.8005	9.5570	13.5582	7.9497	–	–			
China Biotech ETF (in RMB) ³	–	–	–	–	–	–	–	–			
China Cloud Computing ETF (in RMB) ³	–	–	–	–	–	–	–	–			

¹ The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2014, commences from 11 June 2013 (date of inception) to 31 March 2014.

² The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2015, commences from 24 September 2014 (date of inception) to 31 March 2015.

³ The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2020, commences from 24 July 2019 (date of inception) to 31 March 2020.

GLOBAL X ETF SERIES

PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

PERFORMANCE OF THE SUB-FUNDS

	<u>For the period ended 30 September 2021</u>							
	Sub-Fund performance (%)	Index performance (%)						
MSCI China ETF ^{1#^}	(15.98)	(16.38)						
Hang Seng High Dividend Yield ETF ^{1#^}	(4.28)	(3.42)						
CSI 300 ETF ^{2#^}	(2.79)	(3.60)						
China Biotech ETF ^{3#^}	(4.11)	(3.83)						
China Cloud Computing ETF ^{3#^}	(25.85)	(25.71)						
	<u>For the year ended 31 March 2021</u>		<u>For the year ended 31 March 2020</u>		<u>For the year ended 31 March 2019</u>		<u>For the year ended 31 March 2018</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF ^{1#^}	42.79	42.57	(7.28)	(6.33)	(6.29)	(6.18)	39.72	39.94
Hang Seng High Dividend Yield ETF ^{1#^}	32.53	33.85	(23.16)	(22.41)	(5.35)	(4.89)	25.96	32.75
CSI 300 ETF ^{2#^}	37.61	36.95	(3.32)	(4.81)	(0.69)	(0.56)	13.63	12.67
China Biotech ETF ^{3#^}	60.41	62.65	23.94	23.39	–	–	–	–
China Cloud Computing ETF ^{3#^}	36.66	37.14	37.49	37.60	–	–	–	–
	<u>For the year ended 31 March 2017</u>		<u>For the year ended 31 March 2016</u>		<u>For the year ended 31 March 2015</u>		<u>For the year ended 31 March 2014</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF ^{1#^}	19.36	19.88	(17.85)	(18.81)	23.90	23.94	23.90	23.94
Hang Seng High Dividend Yield ETF ^{1#^}	21.88	23.35	(4.42)	(4.13)	16.94	17.56	16.94	17.56
CSI 300 ETF ^{2#^}	8.38	7.39	(20.05)	(20.56)	63.64	65.91	63.64	65.91
China Biotech ETF ^{3#^}	–	–	–	–	–	–	–	–
China Cloud Computing ETF ^{3#^}	–	–	–	–	–	–	–	–

¹The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2014, commences from 11 June 2013 (date of inception) to 31 March 2014.

²The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2015, commences from 24 September 2014 (date of inception) to 31 March 2015.

³The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2020, commences from 24 July 2019 (date of inception) to 31 March 2020.

^{*}The respective tracking indices of these Sub-Funds are price return indices. A price return index calculates the performance of index constituents on the basis that any dividends or distributions are not reinvested.

[#]The respective tracking indices of these Sub-Funds are net total return indices. A net total return index calculates the performance of the index constituents on the basis that any dividends or distributions are reinvested after the deduction of any withholding taxes that may apply.

[^]The Sub-Funds' performances are calculated on the basis that any dividends or distributions are reinvested.

GLOBAL X ETF SERIES

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^ In respect of CSI 300 ETF only.

In respect of MSCI China ETF and Hang Seng High Dividend Yield ETF only.

* In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF and CSI 300 ETF only.

+ In respect of China Biotech ETF and China Cloud Computing ETF only.

GLOBAL X ETF SERIES

MANAGEMENT AND ADMINISTRATION (CONTINUED)

Participating Dealers (continued)

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[^] In respect of CSI 300 ETF only.

[#] In respect of MSCI China ETF and Hang Seng High Dividend Yield ETF only.

^{*} In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF and CSI 300 ETF only.

⁺ In respect of China Biotech ETF and China Cloud Computing ETF only.